

RN, Chapter 9

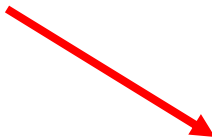
# Predicate Calculus -- Inference

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# Logical Agents

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- Reasoning [Ch 6]
  - Propositional Logic [Ch 7]
  - Predicate Calculus
    - Representation [Ch 8]
      - Syntax, Semantics, Expressiveness
      - Example: Circuits
    - Inference [Ch 9]
      - Resolution
  - Implemented Systems [Ch 10]
  - Planning [Ch 11]
- 

# Proof Process for Predicate Calculus

- Why not “Model Checking” approach?
- Still use

## Inference Rules

to obtain new facts from existing info

- Still seeking...

If  $\vdash$  is SOUND+COMPLETE,

$$\Rightarrow \vdash \equiv \models$$

$\Rightarrow$  Computer can IGNORE SEMANTICS  
and just push symbols!

- Still exploiting  
SOUND inference rules  
MONOTONICITY

# (Sound) Inference Rules

$[MP]$	$\frac{P \Rightarrow Q}{P} \quad \frac{P}{Q}$	$[\vee D]$	$\frac{P \vee Q}{\neg P} \quad \frac{\neg P}{Q}$
$[\vee E]$	$\frac{\forall x. \varphi(x)}{\varphi(A)} \text{ for any } A$	$[MT]$	$\frac{P \Rightarrow Q}{\neg Q} \quad \frac{\neg Q}{\neg P}$
$[\&I]$	$\frac{P}{Q} \quad \frac{Q}{P \wedge Q}$	$[\&E]$	$\frac{P \wedge Q}{P}$
$[RC]$	$\frac{P \Rightarrow Q}{Q \Rightarrow R} \quad \frac{Q \Rightarrow R}{P \Rightarrow R}$	$[\vee I]$	$\frac{P}{P \vee Q}$
$[MG]$	$\frac{P \Rightarrow Q}{\neg P \Rightarrow Q} \quad \frac{\neg P \Rightarrow Q}{Q}$	$[\boxtimes]$	$\frac{P}{\neg P} \quad \frac{\neg P}{\text{Contradiction}}$

...

# Resolution Rule (PC)

## ■ Simple example:

$\neg \text{Man}(x)$	$\vee$	$\text{Mortal}(x)$
$\text{Man}(\text{Socrates})$		
		$\text{Mortal}(\text{Socrates})$

using binding list  $\lambda = \{ x/\text{Socrates} \}$

- $\text{Subst}(\text{Man}(\text{Socrates}), \lambda) = \text{Subst}(\text{Man}(x), \lambda)$
- $\text{Subst}(\text{Mortal}(x), \lambda) = \text{Mortal}(\text{Socrates})$

## ■ General:

$\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_n$		$\beta_1 \vee \beta_2 \vee \dots \vee \beta_m$
$\alpha'_1 \vee \alpha'_2 \vee \dots$	$\vee$	$\beta'_2 \vee \dots \vee \beta'_m$

where there is a binding list,  $\lambda$ , s.t.

$$\text{Subst}(\alpha_n, \lambda) = \neg \text{Subst}(\beta_1, \lambda)$$

$$\text{Subst}(\alpha_i, \lambda) = \alpha'_i \quad \forall i$$

$$\text{Subst}(\beta_j, \lambda) = \beta'_j \quad \forall j$$

+ Subsumption:

if KB includes  $P(x)$ , remove "P(A)", "P(x)  $\vee$  Q(w)"

$A \vee B$ , remove  $A \vee B \vee C$



# Requirement of Resolution

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For Resolution to work, need:

1. Particular type of proof procedure to be "complete"

**A:** Called **Refutation Proof**

[... try to find contradiction... ]

2. To express information as Conjunction of Disjunctions

**A:** Called *Conjunctive Normal Form*

[... is universal; can eliminate  $\Rightarrow$ ,  $\exists$ , ... ]

3. Process that takes two literals  $p$ ,  $q$  and returns binding-list  $\lambda$  s.t.

$\text{Subst}(p, \lambda) = \text{Subst}(q, \lambda)$

**A:** Called **Unification**

[... is well defined, and efficient, and ... ]

# 1. Refutation Proof

- Deduction Theory

$$\begin{aligned} \text{KB} \models \sigma & \text{ iff} \\ \text{KB} \cup \neg\sigma \text{ is inconsistent} & \text{ iff} \\ \text{KB} \cup \neg\sigma \models \{\} \end{aligned}$$

- To prove  $\sigma$ :

Add  $\neg\sigma$  to KB  
(Attempt to) Prove a contradiction

- **Resolution is Refutation Complete (in PC)**

If  $\text{KB} \models \sigma$  then

$\exists$  resolution proof of  $\{\}$  from  $\text{KB} \cup \neg\sigma$

# 2. Conversion to Conjunctive Normal Form

- 0:  $\forall x [(\forall y P(x, y)) \Rightarrow \neg \forall y Q(x, y) \Rightarrow R(x, y)]$
- 1: **Eliminate implication, iff, ...**  
 $\forall x [\neg(\forall v P(x, v)) \vee [\neg \forall v [\neg Q(x, v) \vee R(x, v)]]]$
- 2: **Move  $\neg$  inwards**  
 $\forall x [(\exists v \neg P(x, v)) \vee [\exists v Q(x, v) \wedge \neg R(x, v)]]$
- 3: **Standardize variables**  
 $\forall x [(\exists v \neg P(x, v)) \vee [\exists z Q(x, z) \wedge \neg R(x, z)]]$
- 4: **Move quantifiers left**  
 $\forall x \exists y \exists z [\neg P(x, y) \vee [Q(x, z) \wedge \neg R(x, z)]]$
- 5: **Skolemize (remove existentials); Drop  $\forall s$**   
 $\neg P(x, F_1(x)) \vee [Q(x, F_2(x)) \wedge \neg R(x, F_2(x))]$
- 6: **Distribute  $\wedge$  over  $\vee$**   
 $[\neg P(x, F_1(x)) \vee Q(x, F_2(x))] \wedge [\neg P(x, F_1(x)) \vee \neg R(x, F_2(x))]$
- 7: **Change to SET notation**  
 $\left\{ \begin{array}{l} \neg P(x, F_1(x)) \vee Q(x, F_2(x)), \\ \neg P(x, F_1(x)) \vee \neg R(x, F_2(x)) \end{array} \right\}$
- 8: **Make variables unique**  
 $\left\{ \begin{array}{l} \neg P(x_1, F_1(x_1)) \vee Q(x_1, F_2(x_1)), \\ \neg P(x_2, F_1(x_2)) \vee \neg R(x_2, F_2(x_2)) \end{array} \right\}$



# Skolemizing

- To convert arbitrary Predicate Calculus to "Conjunctive Normal Form"  
need to eliminate  $\exists$
- **A:** Just "name" it ... Using *new* name, to avoid conflicts
- Eg: "There is a rich person"  $\exists x \text{ Rich}(x)$   
becomes  $\text{Rich}(G_1)$   
where  $G_1$  is a new "Skolem constant"
- Note:  $\text{Rich}(G_1)$  will NOT unify with  
 $\text{Rich}(\text{Russ})$  nor  
 $\text{Rich}(\beta)$  for any  $\beta$  appearing in KB.
- Eg:  $\boxed{\exists k \frac{d}{dy}(k^y) = k^y} \longrightarrow \boxed{\frac{d}{dy}(e^y) = e^y}$
- Trickier when  $\exists$  is inside  $\forall$  ...

# Skolemization #2

- "Everyone has a heart."

- $\forall X \text{ person}(X) \Rightarrow \exists Y \text{ heart}(Y) \ \& \ \text{has}(X, Y)$

- **Incorrect:**  $\forall X \text{ person}(X) \Rightarrow \text{heart}(\mathbf{h}_1) \ \& \ \text{has}(X, \mathbf{h}_1)$

... ?everyone has the SAME heart  $\mathbf{h}_1$ ?

- **Correct:**

$$\forall X \text{ person}(X) \Rightarrow \text{heart}(\mathbf{h}(X)) \ \& \ \text{has}(X, \mathbf{h}(X))$$

where  $\mathbf{h}(\cdot)$  is a new symbol ("Skolem function")

- Skolem function arguments:

all enclosing universally quantified variables

- Eg:  $\forall A \forall B \exists C \forall D \exists E \ g(A, B, C, D, E)$   
 $\forall A \forall B \forall D \ g(A, B, f_C(A, B), D, f_E(A, B, D))$

# Skolemization #2

## Skolemizing procedure (to remove existentials)

For each existential  $X$ ,

let  $Y_1, \dots, Y_m$  be the universally quantified variables that are quantified to the LEFT of  $X$ 's " $\exists Y$ ".

Generate new function symbol,  $g_x$ , of  $m$  variables.

Replace each  $X$  with  $g_x(Y_1, \dots, Y_m)$ .

(Write  $g_x()$  as  $g_x$ .)

$$\begin{aligned} \forall Y \exists X \phi(X) \ \& \ \rho(Y) &\rightarrow \forall Y \phi(g_x(Y)) \ \& \ \rho(Y) \\ \exists X \forall Y \phi(X) \ \& \ \rho(Y) &\rightarrow \forall Y \phi(g_x) \ \& \ \rho(Y) \end{aligned}$$



# Requirement of Resolution

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For Resolution to work, need:

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[... try to find contradiction... ]

2. To express information as Conjunction of Disjunctions

**A:** Called *Conjunctive Normal Form*

[... is universal; can eliminate  $\Rightarrow$ ,  $\exists$ , ... ]



3. Process that takes two literals  $p$ ,  $q$  and returns binding-list  $\lambda$  s.t.

$\text{Subst}(p, \lambda) = \text{Subst}(q, \lambda)$

**A:** Called **Unification**

[... is well defined, and efficient, and ... ]

# 3. Unification (Specification)

- Fancy Match
- $\text{Unify}(p, q) = \sigma$ 
  - $p, q$ : atomic propositions (w/variables)
  - $\sigma$ : binding list ...
    - *Fail* or
    - $\{V_1/t_1, V_2/t_2, \dots, V_n/t_n\}$  where
      - $V_i$ 's are distinct,
      - each  $t_j$  is { constant, variable, functional expr. }
      - no  $V_i$  appears in any  $t_j$
- If non-Fail,  
 $\text{Subst}(p, \sigma) = \text{Subst}(q, \sigma)$   
... ie,  $\sigma$  makes  $p$  and  $q$  look the same

Variables capitalized

# Substitution

- Substitution is set  $\{ V_1/t_1 \ V_2/t_2 \ \dots \ V_n/t_n \}$

where

- $V_i$  are distinct variables
- $t_i$  are terms that do not use any of the  $V_j$ s

- Examples:

$\{ X / a \}$

$\{ X / a, Y / b, Z / f(a, W) \}$

$\{ X / W, Y / f(W), Z/W \}$

~~$\{ f(X) / a \}$~~

~~$\{ X / a, X / b \}$~~

~~$\{ X / f(X) \}$~~

~~$\{ X / f(Y), Y / g(q) \}$~~

$\{ X / f( g(q) ), Y / g(q) \}$



# Applying a Substitution

- Given  $\begin{cases} t & \text{-- a term} \\ \sigma & \text{-- a substitution} \end{cases}$

" $t\sigma$ " is the term resulting from applying substitution  $\sigma$  to term  $t$ .

$$f(a, h(Y,b), X) \quad \{ X/b \} \quad = \quad f(a, h(Y,b), b)$$

$$f(a, h(Y,b), X) \quad \{ X/b \ Y/f(Z) \} \quad = \quad f(a, h(f(Z),b), b)$$

$$f(a, h(Y,b), X) \quad \{ X/Z \ Y/f(Z,a) \} \quad = \quad f(a, h(f(Z,a),b), Z)$$

$$f(a, h(Y,b), X) \quad \{ W/Z \} \quad = \quad f(a, h(Y,b), X)$$

- $\sigma$  need not include *all* variables in  $t$ ;  
■  $\sigma$  can include variables *not* in  $t$

# Composition of Substitutions

- Composition:

$\sigma \circ \theta$  is composition of substitutions  $\sigma, \theta$

For any term  $t$ ,  $t[\sigma \circ \theta] = (t \sigma) \theta$

- Example:

$$\begin{aligned} f(X) [ \{X/Z\} \circ \{Z/a\} ] &= ( f(X) \{X/Z\} ) \{Z/a\} \\ &= f(Z) \{Z/a\} \\ &= f(a) \end{aligned}$$

- $\sigma \circ \theta$  is a substitution (usually)

- Eg:

- $[ \{X/a\} \circ \{Y/b\} ] = \{X/a, Y/b\}$
- $[ \{X/Z\} \circ \{Z/a\} ] = \{X/a, Z/a\}$



# Unifiers

- $t_1$  and  $t_2$  are unified by  $\theta$  iff  $t_1 \theta = t_2 \theta$

Then  $\theta$  is called a unifier

Examples:  $t_1$  and  $t_2$  are unifiable

$t_1$	$t_2$	unifer	term
$f(b,c)$	$f(b,c)$		
$f(X,b)$	$f(a,Y)$		
$f(a,b)$	$f(c,d)$		
$f(a,b)$	$f(X,X)$		
$f(X,a)$	$f(Y,Y)$		
$f(g(U),d)$	$f(X,U)$		
$f(X)$	$f(g(X))$		
$f(X,g(X))$	$f(Y,Y)$		
$f(X)$	$f(Y)$		

- Both  $t_1$  and  $t_2$  can have variables

# Multiple Unifiers

Unifier for $t_1 = f(X)$ and $t_2 = f(Y)$	$t_1\theta = t_2\theta =$
$\theta$	
$\{ X/Y \}$	$f(Y)$
$\{ Y/X \}$	$f(X)$
$\{ Y/a \quad X/a \}$	$f(a)$
$\{ Y/g(b,Z) \quad X/g(b,Z) \}$	$f(g(b(Z)))$
$\{ X/Y \quad W/f(q,Z) \}$	$f(Y)$

- $\{ Y/X \}$  and  $\{ X/Y \}$  make sense, but  $\{ Y/a \quad X/a \}$  has irrelevant constant
- $\{ X/Y \quad W/g \}$  has irrelevant binding (W)
- Adding irrelevant bindings:  
 $\infty$  unifiers!
- Is there a best one ?

# Quest for Best Unifier

Wish list:

- No irrelevant constants
  - So  $\{Y/X\}$  preferred over  $\{Y/a, X/a\}$
- No irrelevant bindings
  - So  $\{Y/X\}$  preferred over  $\{Y/X, W/f(4,Z)\}$

- Spse  $\lambda_1$  has constant where  $\lambda_2$  has variable.  
Eg,  $\lambda_1 = \{X/a, Y/a\}$  ,  $\lambda_2 = \{X/Y\}$   
Then  $\exists$  substitution  $\mu$  s.t.  $\lambda_2 \circ \mu = \lambda_1$   
Eg,  $\mu = \{Y/a\}$  :  $\{X/Y\} \circ \{Y/a\} = \{X/a, Y/a\}$
- Spse  $\lambda_1$  has extra binding over  $\lambda_2$   
(Eg,  $\lambda_1 = \{X/a, Y/b\}$  ,  $\lambda_2 = \{X/a\}$  )  
Then  $\exists$  substitution  $\mu$  s.t.  $\lambda_2 \circ \mu = \lambda_1$   
Eg,  $\mu = \{Y/b\}$  :  $\{X/a\} \circ \{Y/b\} = \{X/a, Y/b\}$

INFERIOR unifier =  
composition of  
Good Unifier + another substitution

# Most General Unifier

- $\sigma$  is a *mgu* for  $t_1$  and  $t_2$  iff
  - $\sigma$  unifies  $t_1$  and  $t_2$ , and
  - $\forall \mu$ : unifier of  $t_1$  and  $t_2$ ,  
 $\exists$  substitution,  $\theta$ , s.t.  $\sigma \circ \theta = \mu$ .  
(Ie, for all terms  $t$ ,  $t\mu = (t\sigma)\theta$ .)

- Example:  $\sigma = \{X/Y\}$  is mgu for  $f(X)$  and  $f(Y)$   
Consider unifier  $\mu = \{X/a \quad Y/a\}$ .  
Use substitution  $\theta = \{Y/a\}$ :

$$\begin{aligned} f(X)\mu &= f(X)\{X/a \ Y/a\} \\ &= f(a) \end{aligned}$$

$$\begin{aligned} f(X)[\sigma \circ \theta] &= (f(X)\sigma)\theta \\ &= (f(X)\{X/Y\})\theta \\ &= f(Y)\{Y/a\} \\ &= f(a) \end{aligned}$$

Similarly,  $f(Y)\mu = f(a) = f(Y)[\sigma \circ \theta]$

( $\mu$  is NOT a mgu, as  $\neg \exists \theta' \text{ s.t. } \mu \circ \theta' = \sigma$  !)



# MGU – Example#2

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- A mgu for

$$f(W, g(Z), Z)$$

$$f(X, Y, h(X))$$

is  $\{ X/W \quad Y/g(h(W)) \quad Z/h(W) \}$



# MGU Procedure

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Recursive Procedure MGU (x,y)

```
If x=y  $\Rightarrow$  Return ( )
If Variable(x)  $\Rightarrow$  Return( MguVar(x,y) )
If Variable(y)  $\Rightarrow$  Return( MguVar(y,x) )
If Constant(x) or Constant(y)  $\Rightarrow$  Return( False )
If Not(Length(x) = Length(y))  $\Rightarrow$  Return( False )
g  $\leftarrow$  []
For i = 1..Length(x) do
  s  $\leftarrow$  MGU( Part(x,i), Part(y,i) )
  g  $\leftarrow$  Compose(g,s)
  x  $\leftarrow$  Substitute(x,g)
  y  $\leftarrow$  Substitute(y,g)
Return( g )
```

End MGU

Procedure MguVar (v,e)

```
If Includes(v,e)  $\Rightarrow$  Return( False )
Else Return( [v/e] )
```

End



# MGU (con't)

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- Notes:
  - If  $t_1$  and  $t_2$  are unifiable, then  $\exists$  a mgu
  - Can be more than 1 mgu  
but they differ only in variable names
  - Not every unifier is a mgu.
  - A mgu uses constants only as necessary.
- Implementation:  $\exists$  fast algorithm that computes a mgu of  $t_1$  and  $t_2$ , if one exists; or reports failure.
- ( Slow part is verifying legal substitution:  
none of  $v_i$  appear in any  $t_j$ .  
Avoid by resetting Prolog's *occurscheck* parameter.)

# Example

- Natural Language

*Jack owns a dog.*

*Every dog owner is an animal lover.*

*No animal lover kills an animal.*

*Either Jack or Curiosity killed the cat (named Tuna).*

*Did Curiosity kill the cat?*

- In predicate calculus:

$\exists X \text{ dog}(X) \ \& \ \text{owns}(\text{jack}, X)$

$\forall X (\exists Y \text{ dog}(Y) \ \& \ \text{owns}(X, Y)) \Rightarrow \text{animalLover}(X)$

$\forall X \text{ animalLover}(X) \Rightarrow (\forall Y \text{ animal}(Y) \Rightarrow \neg \text{kills}(X, Y))$

$\text{kills}(\text{jack}, \text{tuna}) \vee \text{kills}(\text{curiosity}, \text{tuna})$

$\text{cat}(\text{tuna})$

$\forall X \text{ cat}(X) \Rightarrow \text{animal}(X)$

$\neg \text{kill}(\text{curiosity}, \text{tuna})$

- Now what?





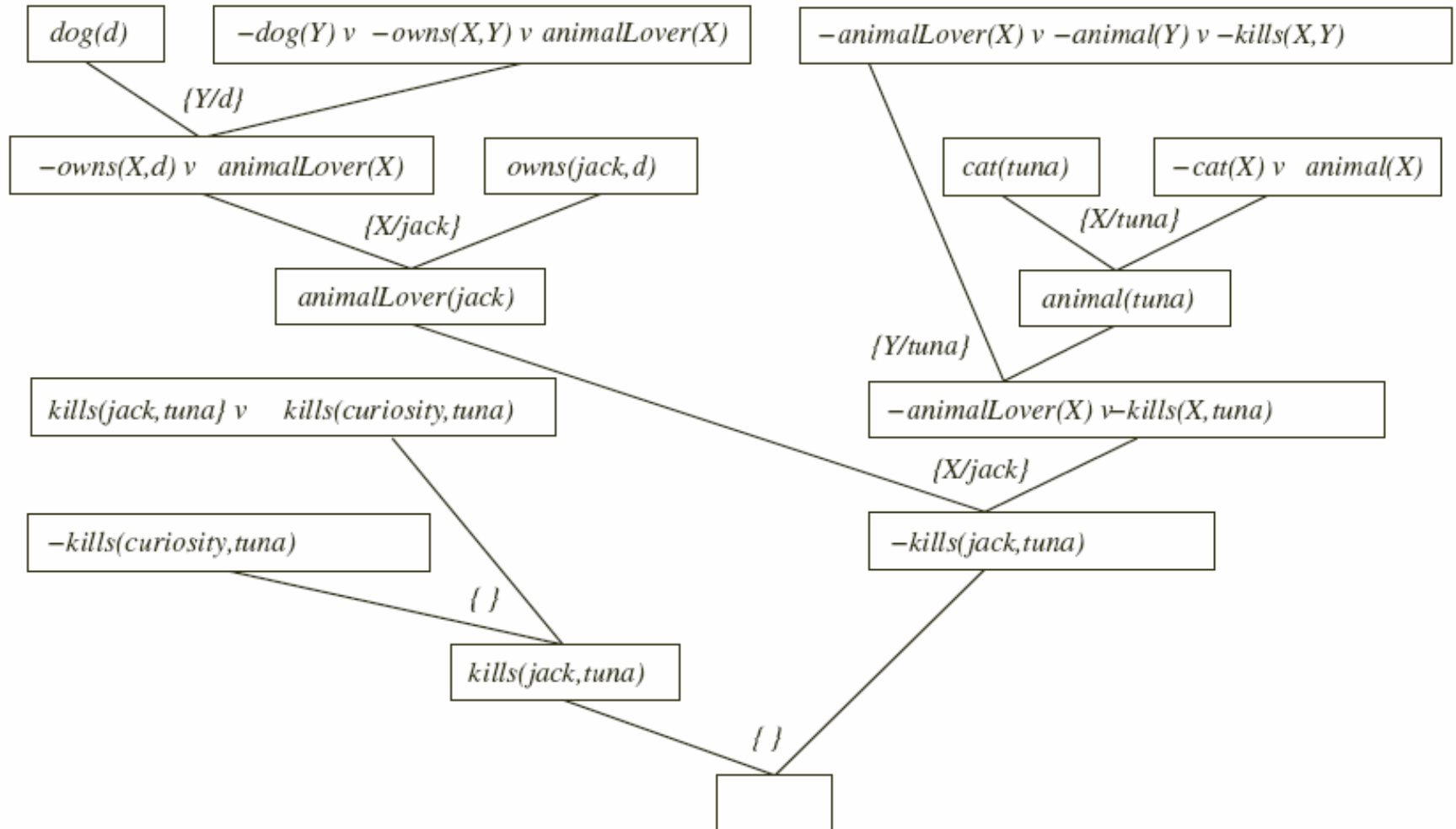
# CNF Form

- ... in Conjunctive Normal Form

$\exists X \text{ dog}(X) \ \& \ \text{owns}(\text{jack}, X)$   
 $\forall X (\exists Y \text{ dog}(Y) \ \& \ \text{owns}(X, Y)) \Rightarrow \text{animalLover}(X)$   
 $\forall X \text{ animalLover}(X) \Rightarrow (\forall Y \text{ animal}(Y) \Rightarrow \neg \text{kills}(X, Y))$   
 $\text{kills}(\text{jack}, \text{tuna}) \vee \text{kills}(\text{curiosity}, \text{tuna})$   
 $\text{cat}(\text{tuna})$   
 $\forall X \text{ cat}(X) \Rightarrow \text{animal}(X)$   
 $\neg \text{kill}(\text{curiosity}, \text{tuna})$

- Note: Uniform structure  
Use new constants / functions:  $d$   
for existentials ("Skolemizing")  
 $\Rightarrow$  easier to refer to those objects

# Refutation Proof by Resolution





# "Tricks"

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- 1. Refutation Proof
- 2. Normalization: put in CNF form
  - Skolemize ... remove  $\exists$   
(by giving arbitrary, but unique name to  $\exists$  objects)
  - remove quantifiers
- 3. Unification: matching variables/ terms  
to make literals look similar



# Properties of Resolution

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- **Sound**

- $KB \vdash_{RR} \sigma$  only if  
 $\sigma$  is true in EVERY world in which KB holds.

- **Refutation Complete**

- $KB \vdash_{RR} \sigma$  whenever  
 $\sigma$  is true in EVERY world in which KB holds.  
(as  $\vdash_{ResIn}$  is []-complete)

- **Semi-Decidable in Predicate Calculus**

- $KB \vdash_{RR}^? \sigma$ : If Yes, returns that answer eventually  
If No, may never return

- **Intractable**

Exponential in  $|KB|$  for Propositional Logic  
(Linear for Proposition HORN)