

RN, Chapter  
7.4 - 7.8



# Propositional Logic

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# Logical Agents

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- Reasoning [Ch 7 – 7.3]
- Propositional Logic [Ch 7.4 - 7.8]
  - Syntax
  - Semantics
    - Models
    - Entailment
  - Proof Process
    - Forward / Backward chaining
    - Resolution
- Predicate Calculus
  - Representation [Ch 8]
  - Inference [Ch 9]
- Implemented Systems [Ch 10]
- Applications [Ch 8.4,10]
- Planning [Ch 11]

# Logic in General

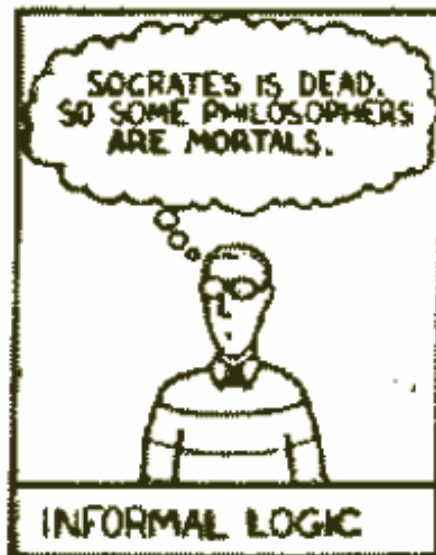
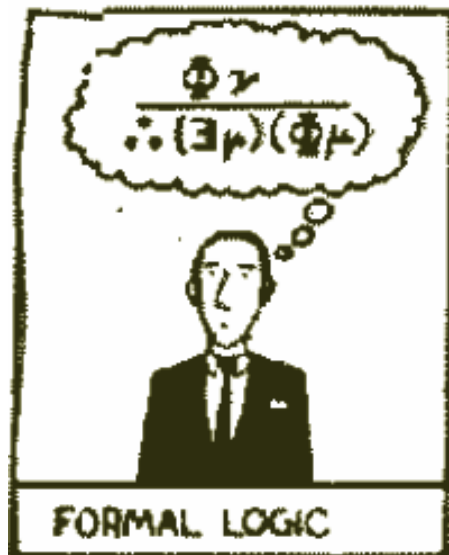
Logics are formal languages  
for representing information  
such that conclusions can be drawn





"Well, I dunno... Okay, sounds good to me." <sub>4</sub>

# EUREKA



# Components of a Logic

- Syntax defines the sentences in the language  
... what does it look like?
- Semantics define “meaning” of sentences;  
i.e., define truth of a sentence in a world.  
How is it linked to the world?
- Proof Process “new facts from old”  
find implicit information... “pushing symbols”
- Eg, wrt arithmetic
  - $x+2 \geq y$  is sentence;  ~~$x^2+y >$~~  is not
  - $x+2 \geq y$  is true iff  
the number  $x+2$  is no less than the number  $y$
  - $x+2 \geq y$  is *true* in a world where  $x = 7; y = 1$
  - $x+2 \geq y$  is *false* in a world where  $x = 0; y = 6$

# Propositional Logic: Syntax

- Atomic Propositions... “basic statements about world”
  - $W_{3,4}$ : Wumpus at location [ 3, 4 ]
  - $S_{1,1}$ : Stench at location [ 1, 1 ]
  - ...
- Build sentences from atomic propositions using connectives

$\wedge$	$\vee$	$\neg$	$\Rightarrow$	$\Leftrightarrow$
and	or	not	implies	equivalence
			if... then	(biconditional)

- Eg:
  - $\neg S_{1,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1}$
  - $\neg S_{2,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{2,1} \wedge \neg W_{2,2} \wedge \neg W_{3,1}$
  - $\neg S_{1,2} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,2} \wedge \neg W_{1,3}$
  - $S_{1,2} \Rightarrow W_{1,1} \vee W_{1,2} \vee W_{2,2} \vee W_{1,3}$

# Semantics... based on Models

- “Model”  $\equiv$  “completely specified possible world”  
Every claim is either true or false

$m \models \varphi$  means  
formula  $\varphi$  holds in model  $m$   
Otherwise  $m \not\models \varphi$

- **Propositional case:** Complete assignment

- Eg,

$$m_1 \models A$$

	A	B	C	D
$m_1$	+	0	0	+

“A is true in  $m_1$ ” ... “ $m_1$  is a model of A”

- Also...  $m_1 \models D$      $m_1 \not\models C$
- What about...  $\neg B$ ?  $A \vee B$ ? ...  $A \& \neg C \& D$ ?



# Propositional logic: Semantics

- Each model specifies { true, false } for each proposition symbol

- Eg,

	A	B	C	D
$m_1$	0	+	0	+

- Rules for evaluating truth wrt model  $m$ :

$\neg S$	is true iff	$S$	is false
$S_1 \wedge S_2$	is true iff	$S_1$	is true <u>and</u> $S_2$ is true
$S_1 \vee S_2$	is true iff	$S_1$	is true <u>or</u> $S_2$ is true
$S_1 \Rightarrow S_2$	is true iff	$S_1$	is false <u>or</u> $S_2$ is true
"	is false iff	$S_1$	is true <u>and</u> $S_2$ is false

$m \models \neg S$	$\equiv$	$m \not\models S$
$m \models S_1 \wedge S_2$	$\equiv$	$m \models S_1$ and $m \models S_2$
$m \models S_1 \vee S_2$	$\equiv$	$m \models S_1$ or $m \models S_2$
$m \models S_1 \Rightarrow S_2$	$\equiv$	$m \not\models S_1$ or $m \models S_2$

# Propositional logic: Semantics

	A	B	C	D
$m_1$	0	0	0	+

$m \models? A \vee (\sim B \ \& \ C)$

True if either  $m \models A$  or  $m \models \sim B \ \& \ C$

■ But  $m \not\models A$

So need  $m \models \sim B \ \& \ C$

■ True if  $m \models \sim B$  and  $m \models C$

■  $m \models \sim B$  holds if  $m \not\models B$  True...

■ So need only  $m \models C$

■ Fails...

$\neg S$  is true iff  $S$  is false  
 $S_1 \wedge S_2$  is true iff  $S_1$  is true and  $S_2$  is true  
 $S_1 \vee S_2$  is true iff  $S_1$  is true or  $S_2$  is true  
 $S_1 \Rightarrow S_2$  is true iff  $S_1$  is false or  $S_2$  is true  
 " is false iff  $S_1$  is true and  $S_2$  is false

$m \models \neg S \equiv m \not\models S$   
 $m \models S_1 \wedge S_2 \equiv m \models S_1$  and  $m \models S_2$   
 $m \models S_1 \vee S_2 \equiv m \models S_1$  or  $m \models S_2$   
 $m \models S_1 \Rightarrow S_2 \equiv m \not\models S_1$  or  $m \models S_2$

# Semantics of Connectives

P	Q	$\neg P$	$P \& Q$	$P \vee Q$	$P \Rightarrow Q$	$P \Leftrightarrow Q$
0	0	+	0	0	+	+
0	+	+	0	+	+	0
+	0	0	0	+	0	0
+	+	0	+	+	+	+

- Just need  $\&$ ,  $\neg$  :
  - $P \vee Q$  means  $\neg(\neg P \& \neg Q)$
  - $P \Rightarrow Q$  means  $\neg P \vee Q$ 
    - ... counterintuitive: truth value of "5 is even  $\Rightarrow$  Sam is smart" ?
- $P \Leftrightarrow Q$  means  $(P \Rightarrow Q) \& (Q \Rightarrow P)$
- " $\&$ " relatively easy, as *complete knowledge*
- " $\vee$ ", " $\neg$ " more difficult, as *partial information*<sub>1</sub>

# Models of a Formula

- Initially all  $2^n$  models are possible

	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>
$m_1$	0	0	0	0
$m_2$	0	0	0	+
$m_3$	0	0	+	0
⋮	⋮	⋮	⋮	⋮
$m_{2^n-1}$	+	+	+	0
$m_{2^n}$	+	+	+	+

- Assertion  $\alpha$  ELIMINATES possible worlds

Eg,  $\neg A$  eliminates models  $m$  where  $m \models A$

- $M(\alpha) = \{ m \mid m \models \alpha \}$  is set of all models of  $\alpha$

- $M(\neg A) =$

	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>
$m_1$	0	0	0	0
$m_2$	0	0	0	+
$m_3$	0	0	+	0
⋮	⋮	⋮	⋮	⋮
$m_{2^n-1}$	0	+	+	+

# Example of Entailment

- Initially:
- Background knowledge:  
Tell( KB, " $S_{12} \Rightarrow W_{11} \vee W_{13}$ ")
- Alive at start...  
Tell( KB, " $\neg W_{11}$ ")
- Smell something. . .  
Tell( KB, " $S_{12}$ ")
- Is **Wumpus @ [ 1, 3 ]** ?
- Is **Gold @ [ 4, 3 ]** ?

$S_{12}$	$W_{11}$	$W_{13}$	...	$G_{43}$
+	+	+	...	+
+	+	+	...	0
+	+	0	...	+
+	+	0	...	0
+	0	+	...	+
+	0	0	...	0
+	0	0	...	0
0	+	+	...	+
0	+	0	...	0
0	+	0	...	+
0	0	+	...	+
0	0	+	...	0
0	0	+	...	+
0	0	0	...	0
0	0	0	...	+
0	0	0	...	0

YES!

Don't know!

# What to believe?

- Suppose you believe  $KB$ , and  $KB \models \alpha$   
Then you should believe  $\alpha$  !

- Why?

1. "Believe  $KB$ "

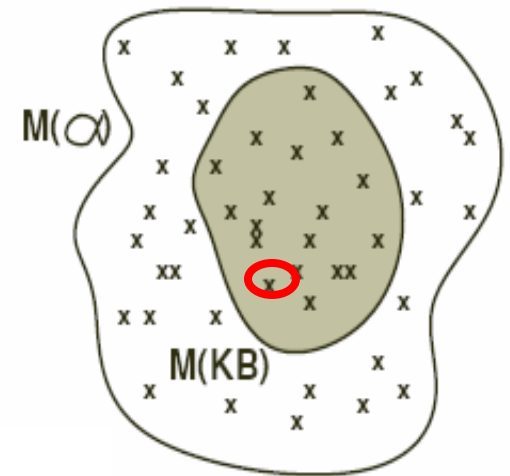
$\Rightarrow$  Real world  $m_{RW}$  in  $M(KB)$

2.  $KB \models \alpha$  means  $M(KB) \subseteq M(\alpha)$

$\Rightarrow m_{RW} \in M(\alpha)$

...  $m_{RW} \models \alpha$

Ie,  $\alpha$  holds in the Real World,  
so you should believe it!





# Translate Knowledge into Action

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- Include LOTS of rules like

$$A_{1,1} \ \& \ \text{East}_A \ \& \ W_{2,1} \ \Rightarrow \ \neg\text{Forward}$$

- Observations re World

- Try to prove one of...

*{ Forward, Turn Left, ..., Shoot }*

- After proof

$\text{KB} \vdash \text{Action}$

perform **Action**



# Comments on Logic

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1. Why reason?
2. Entailment  $\models$  vs Inference  $\vdash$
3. Relation to world...
4. Succinct Representation ?



# Issue#2: Entailment vs Derivation

- **Entailment**  $KB \models \alpha$   
Semantic Relation:  
 $\alpha$  MUST hold whenever  $KB$  holds
- **Derivation**  $KB \vdash_i \alpha$   
Computational (Syntactic) Process:  
Maps  $\langle KB, \alpha \rangle$  to  $\{ \text{Yes}, \text{No} \}$
- $\vdash_i$  can be arbitrary but...  
want  $\vdash_i$  that corresponds to  $\models$  !
- GOAL:  $\vdash_{SC}$  that returns all+only entailments:  
For any  $KB, \alpha$ ,  
 $KB \vdash_{SC} \alpha$  if-and-only-if  $KB \models \alpha$

$$\vdash_N(KB, \alpha) = \text{No}$$

$$\vdash_A(KB, \alpha) =$$

$$\text{Yes iff } |\alpha| = 1$$

$$\vdash_{1S}(KB, \alpha) =$$

$$\text{Yes iff} \\ \text{1-step derivation}$$

# Properties of Derivation Process

- Only 1  $\models$ , but many possible proof procedures  $\vdash_i$

- $\vdash_i$  is **Sound** iff

$\vdash_i$  ONLY returns facts that must be true

$$\forall KB, \rho \quad KB \vdash_i \rho \Rightarrow KB \models \rho$$

- $\vdash_i$  is **Complete** iff

$\vdash_i$  returns every fact that must be true

$$\forall KB, \rho \quad KB \models \rho \Rightarrow KB \vdash_i \rho$$

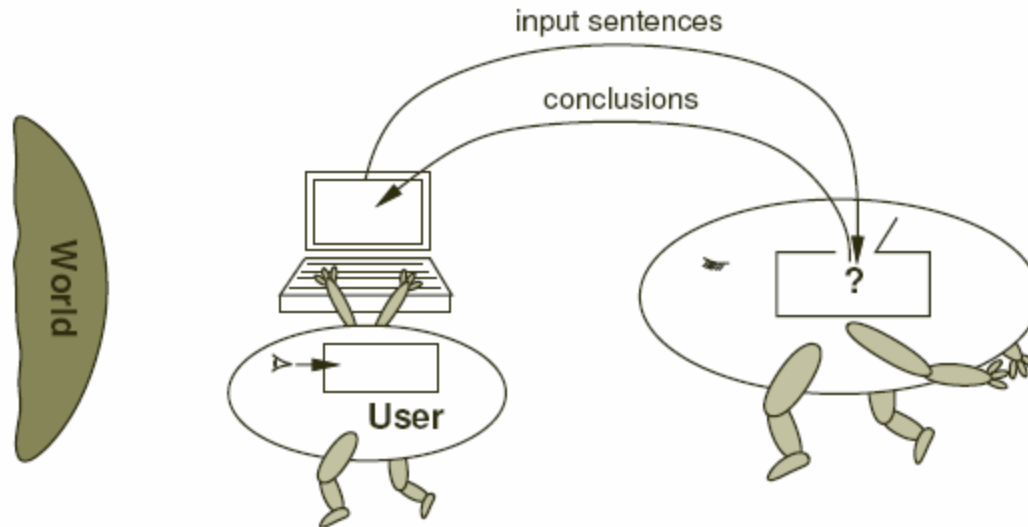
If  $\vdash$  is SOUND+COMPLETE,

$$\Rightarrow \vdash \equiv \models$$

$\Rightarrow$  Computer can IGNORE SEMANTICS  
and just push symbols!

# Tenuous Link to Real World

- Challenge: "world" is not in computer  
... only a "representation" of world



- Computer only has sentences  
(hopefully about world)  
... sensors can provide some grounding

# Proof Process

- $KB = \{\phi_j\}$  ... = SET of information "pieces"  
... called "propositions"  $\phi_j$ 
  - Any rep'n will only explicitly include SOME of the true propositions
- Proof process specifies which other propositions to believe

Agent that believes KB,  
will also believe DERIVED propositions

written  $KB \vdash_i \rho$

... called "derives" (deduces, proves, ... )

- Eg:  $\left\{ \begin{array}{l} \text{Socrates is man} \\ \text{All men are mortal} \end{array} \right\} \vdash_i \text{Socrates is mortal}$



# Proof Methods

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- **Model checking** ... “truth table enumeration”

(sound and complete for propositional)

Compute complete truth table over  $k$  variables

$S_{1,1}, S_{1,2}, \dots, W_{1,1}, \dots, B_{1,1}, \dots$

Here,  $\geq 12$  variables  $\Rightarrow \geq 2^{12} = 4096$  rows

Find subset where KB holds; see if  $\alpha$  holds in all

- **Application of inference rules**

Generate “legitimate” (sound) new sentences from old

Proof = a sequence of inference rule applications

Can use inference rules as operators in a standard search alg

# Example of Model Checking

- $\alpha \equiv A \vee B$

$$KB = (A \vee C) \& (B \vee \neg C)$$

- $KB \models? \alpha$  ?

- Check all possible models:

- $KB \models \alpha$  means

$\alpha$  must be true wherever  $KB$  is true

As  $\alpha$  is True every time  $KB$  is true,  
conclude  $KB \models \alpha$  !

$A$	$B$	$C$	$A \vee C$	$B \vee \neg C$	$KB$	$\alpha$
<i>False</i>	<i>False</i>	<i>False</i>	<i>False</i>	<i>True</i>	<i>False</i>	<i>False</i>
<i>False</i>	<i>False</i>	<i>True</i>	<i>True</i>	<i>False</i>	<i>False</i>	<i>False</i>
<i>False</i>	<i>True</i>	<i>False</i>	<i>False</i>	<i>True</i>	<i>False</i>	<i>True</i>
<i>False</i>	<i>True</i>	<i>True</i>	<i>True</i>	<i>True</i>	<b>True</b>	<i>True</i>
<i>True</i>	<i>False</i>	<i>False</i>	<i>True</i>	<i>True</i>	<b>True</b>	<i>True</i>
<i>True</i>	<i>False</i>	<i>True</i>	<i>True</i>	<i>False</i>	<i>False</i>	<i>True</i>
<i>True</i>	<i>True</i>	<i>False</i>	<i>True</i>	<i>True</i>	<b>True</b>	<i>True</i>
<i>True</i>	<i>True</i>	<i>True</i>	<i>True</i>	<i>True</i>	<b>True</b>	<i>True</i>



# Challenges

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- Model checking is very expensive!  
... needs to consider  $2^k$  models... or  $\infty$  !
- Decision about **No pit in [ 1, 2 ]**  
does not depend on anything dealing at **[3, 4], ...**  
but  $\vdash_{MC}$  still needs to consider combinatorial set  
of complete models
- Other inference processes can be more “local”

# #2: Applying Inference Rules

- **Proof Process** is mechanic process  
Implemented by . . .  
Applying sequence of individual Inference Rules  
to initial set of propositions, to find new propositions
- Each rule is *sound*. . .  
(Ie, if believe "antecedent", must believe conclusion)
- Uses MONOTONICITY:

If  $KB1 \models \alpha$  , then  $KB1 \cup KB2 \models \alpha$

Can just deal with subset of propositions

- Search issues. . .
  - which inference rule ?
  - which propositions ?



# New Facts from Old: Using Inference Rules

If  $\frac{\begin{array}{l} "P \Rightarrow Q" \in KB \\ "P" \in KB \end{array}}{\text{Then can add in "Q" to } KB}$

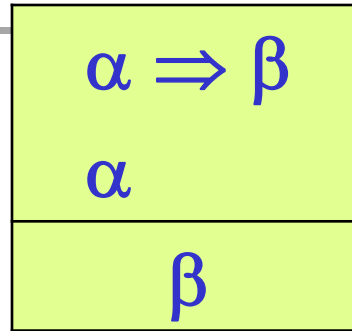
Called "Modus Ponens"

Written:

$$[MP] \frac{\begin{array}{l} P \Rightarrow Q \\ P \end{array}}{Q}$$

# Verify Soundness

- Modus Ponens:

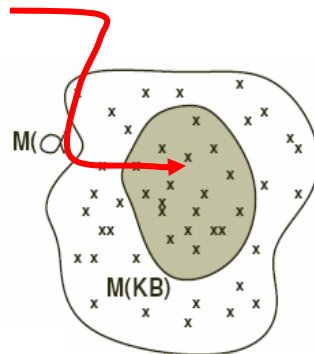


- Truth table:

$\alpha$	$\beta$	$\alpha \Rightarrow \beta$
+	+	+
+	0	0
0	+	+
0	0	+

- Consider all worlds where  $\{\alpha, \alpha \Rightarrow \beta\}$  hold
- Observe:  $\beta$  holds here as well!

$M(\alpha, \alpha \Rightarrow \beta, \beta)$



$$M \left( \begin{array}{c} \alpha \\ \alpha \Rightarrow \beta \end{array} \right) = M \left( \begin{array}{c} \alpha \\ \alpha \Rightarrow \beta \\ \beta \end{array} \right)$$

# (Sound) Inference Rules

$$[MP] \quad \frac{\alpha \Rightarrow \beta \quad \alpha}{\beta}$$

$$[&I] \quad \frac{\alpha \quad \beta}{\alpha \wedge \beta}$$

$$[RC] \quad \frac{\alpha \Rightarrow \beta \quad \beta \Rightarrow \gamma}{\alpha \Rightarrow \gamma}$$

$$[MG] \quad \frac{\alpha \Rightarrow \beta \quad \neg \alpha \Rightarrow \beta}{\beta}$$

$$[Rsln] \quad \frac{\alpha \vee \beta \quad \gamma \vee \neg \alpha}{\gamma \vee \beta}$$

$$[\vee D] \quad \frac{\alpha \vee \beta \quad \neg \alpha}{\beta}$$

$$[MT] \quad \frac{\alpha \Rightarrow \beta \quad \neg \beta}{\neg \alpha}$$

$$[&E] \quad \frac{\alpha \wedge \beta}{\alpha}$$

$$[\vee I] \quad \frac{\alpha}{\alpha \vee \beta}$$

...

# Sequence of Inference Steps

1.  $\alpha \ \& \ \beta$
2.  $\alpha \Rightarrow \gamma$
3.  $\beta \ \& \ \gamma \Rightarrow \delta$

**&E 1**  
 $\Rightarrow$

1.  $\alpha \ \& \ \beta$
2.  $\alpha \Rightarrow \gamma$
3.  $\beta \ \& \ \gamma \Rightarrow \delta$
4.  $\alpha$
5.  $\beta$

**MP 4,2**  
 $\Rightarrow$

1.  $\alpha \ \& \ \beta$
2.  $\alpha \Rightarrow \gamma$
3.  $\beta \ \& \ \gamma \Rightarrow \delta$
4.  $\alpha$
5.  $\beta$
6.  $\gamma$

**&I 5,6**  
 $\Rightarrow$

1.  $\alpha \ \& \ \beta$
2.  $\alpha \Rightarrow \gamma$
3.  $\beta \ \& \ \gamma \Rightarrow \delta$
4.  $\alpha$
5.  $\beta$
6.  $\gamma$
7.  $\beta \ \& \ \gamma$

**MP 7,3**  
 $\Rightarrow$

1.  $\alpha \ \& \ \beta$
2.  $\alpha \Rightarrow \gamma$
3.  $\beta \ \& \ \gamma \Rightarrow \delta$
4.  $\alpha$
5.  $\beta$
6.  $\gamma$
7.  $\beta \ \& \ \gamma$
8.  $\delta$

# Sequence of Inference Steps

Exactly the same worlds!!  
So if believe FIRST,  
must believe SECOND!

M

$$\left( \begin{array}{l} \alpha \ \& \ \beta \\ \alpha \Rightarrow \gamma \\ \beta \ \& \ \gamma \Rightarrow \delta \end{array} \right)$$

M

$$\left( \begin{array}{l} \alpha \ \& \ \beta \\ \alpha \Rightarrow \gamma \\ \beta \ \& \ \gamma \Rightarrow \delta \\ \alpha \\ \beta \\ \gamma \\ \beta \ \& \ \gamma \\ \delta \end{array} \right)$$

# Answering Queries

- Adding Truths (Forward Chaining)

Given  $KB_0$ , find  $KB_N$  s.t.

$$\boxed{KB_0} \xrightarrow{\sim ri1} \boxed{KB_1} \dots \xrightarrow{\sim riN} \boxed{KB_N}$$

( If  $\{ ri_j \}_j$  sound, then  $KB_0 \models KB_N$  )

- Answering Questions (Backward Chaining)

Given  $KB$ ,  $\sigma$  determine if  $KB_0 \models? \sigma$

Requires sound  $\{ ri_j \}_j$  s.t.

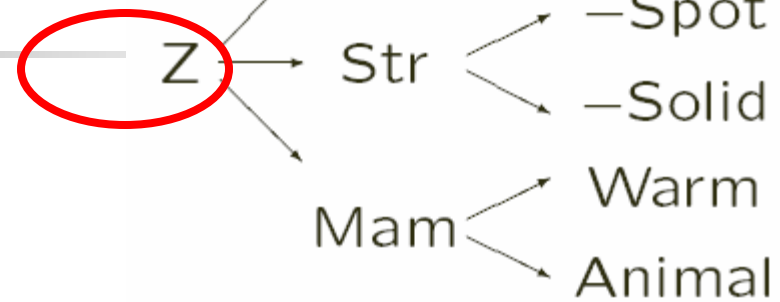
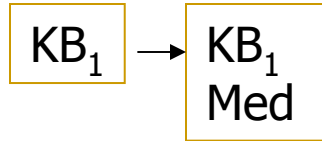
- $\boxed{KB_0} \xrightarrow{\sim ri1} \boxed{KB_1} \dots \xrightarrow{\sim riN} \boxed{KB_N}$

- $\sigma \in KB_N$

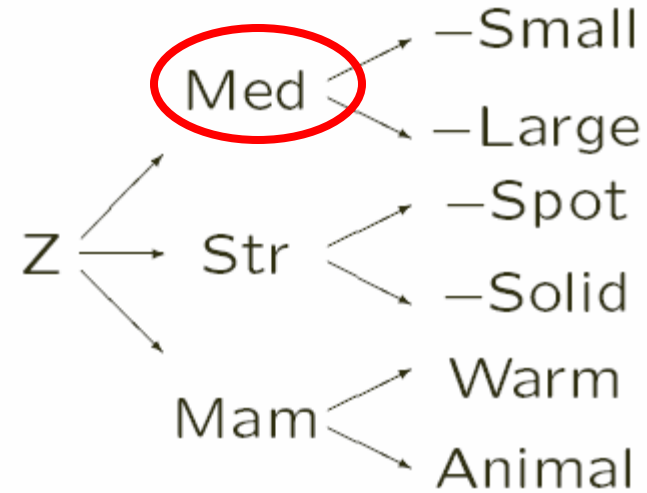
# Forward Chaining

Query: Animal ?

- KB<sub>1</sub>
- Zebra
  - Zebra ⇒ Medium
  - Zebra ⇒ Striped
  - Zebra ⇒ Mammal
  - Medium ⇒ NonSmall
  - Medium ⇒ NonLarge
  - Striped ⇒ NonSolid
  - Striped ⇒ NonSpot
  - Mammal ⇒ Animal
  - Mammal ⇒ Warm
  - ...

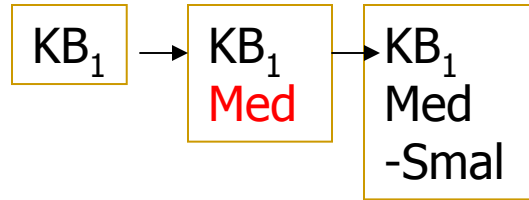


# Forward Chaining



Query: Animal ?

- KB<sub>1</sub>
- Zebra
  - Zebra ⇒ Medium
  - Zebra ⇒ Striped
  - Zebra ⇒ Mammal
  - **Medium ⇒ NonSmall**
  - Medium ⇒ NonLarge
  - Striped ⇒ NonSolid
  - Striped ⇒ NonSpot
  - Mammal ⇒ Animal
  - Mammal ⇒ Warm
  - ...





# Example: Is Wumpus at [1, 3] ?

$$\begin{aligned}
 R_1 : & \neg S_{1,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1} \\
 R_2 : & \neg S_{2,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{2,1} \wedge \neg W_{2,2} \wedge \neg W_{3,1} \\
 R_3 : & S_{1,2} \Rightarrow W_{1,1} \vee W_{1,2} \vee W_{2,2} \vee W_{1,3}
 \end{aligned}$$

$$\begin{aligned}
 F_1 : & \neg S_{1,1} \\
 F_2 : & \neg S_{2,1} \\
 F_3 : & S_{1,2}
 \end{aligned}$$

1,4	2,4	3,4	4,4
1,3 W!	2,3	3,3	4,3
1,2 A S OK	2,2 OK	3,2	4,2
1,1 V OK	2,1 B V OK	3,1 P!	4,1

- MP:  $\neg S_{1,1}, \neg S_{1,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1}$   
 $\implies \neg W_{1,1} \wedge \neg W_{1,2} \wedge \neg W_{2,1}$
- And-Elimination:  $\dots \implies \neg W_{1,1}, \neg W_{1,2}, \neg W_{2,1}$
- MP+AE:  $\neg S_{2,1}, \neg S_{2,1} \Rightarrow \neg W_{1,1} \wedge \neg W_{2,1} \wedge \neg W_{2,2} \wedge \neg W_{3,1}$   
 $\implies \neg W_{1,1}, \neg W_{2,1}, \neg W_{2,2}, \neg W_{3,1}$
- MP:  $S_{1,2}, S_{1,2} \Rightarrow W_{1,1} \vee W_{1,2} \vee W_{2,2} \vee W_{1,3}$   
 $\implies W_{1,1} \vee W_{1,2} \vee W_{2,2} \vee W_{1,3}$
- ResIn:  $\neg W_{1,1}, W_{1,1} \vee W_{1,2} \vee W_{2,2} \vee W_{1,3}$   
 $\implies W_{1,2} \vee W_{2,2} \vee W_{1,3}$
- ResIn:  $\neg W_{2,2}, W_{1,2} \vee W_{2,2} \vee W_{1,3}$   
 $\implies W_{1,2} \vee W_{1,3}$
- ResIn:  $\neg W_{1,2}, W_{1,2} \vee W_{1,3}$   
 $\implies \boxed{W_{1,3}}$



# How to Reason?

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Q: Given  $KB$ ,  $q$ , how to determine if  $KB \models q$  ?

A: Select Inference Rule  $IR$

Select fact(s)  $\{F_i\}$  from  $KB$

Apply rule  $IR$  to facts  $\{F_i\}$  ... to get new fact  $\gamma$

... Add  $\gamma$  to  $KB$

Repeat until find  $\gamma = q$

## *Issues:*

1. Lots of Inference Rules

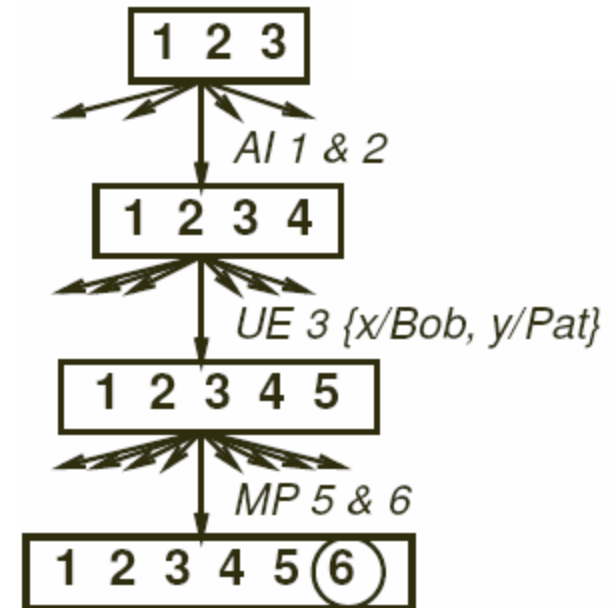
Which one to use, when?

2. Is overall system "complete"?

If  $\exists$  answer, guaranteed to find it?

# Inference $\approx$ Search

- Operators  $\approx$  inference rules
- States  $\approx$  sets of sentences
- Goal test  $\approx$   
does state contain query  
sentence?



- Problem:
  - huge branching factor!
  - large depth??

# Resolution Rule (Propositional)

- Most Simple:

$\alpha \vee \beta$ $\neg\beta$
$\alpha$

$\text{Man} \vee \text{Mouse}$ $\neg\text{Mouse}$
$\text{Man}$

- Almost as Simple:

$\text{Man} \vee \text{Mouse}$ $\neg\text{Mouse} \vee \text{CatFood}$
$\text{Man} \vee \text{CatFood}$

- General:

$\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_n$ $\neg\alpha_n \vee \beta_2 \vee \dots \vee \beta_m$
$\alpha_1 \vee \alpha_2 \vee \dots \vee \beta_2 \vee \dots \vee \beta_m$

(View as set: So  $A \vee B \vee A \rightarrow A \vee B$ )

# Conjunctive Normal Form

- Every theory can be written in **Conjunctive Normal Form (CNF)**

- conjunction of disjunctions of literals

clauses

$$(A \vee \neg B) \ \& \ (B \vee \neg C \vee \neg D) \ \& \ (B \vee E \vee \neg A)$$

- Can write as sets:

$$\{ \{A, \neg B\}, \{B, \neg C, \neg D\}, \{B, E, \neg A\} \}$$

- Note:  $\{\}$  = Falsity!

# Conversion to Conjunctive Normal Form

$$P \Rightarrow \neg(Q \Rightarrow R)$$

- Eliminate implication, iff, ...  
 $\neg P \vee \neg(\neg Q \vee R)$

- Move  $\neg$  inwards  
 $\neg P \vee (Q \& \neg R)$

- Distribute  $\&$  over  $\vee$   
 $(\neg P \vee Q) \& (\neg P \vee \neg R)$

- Change to SET notation

$$\begin{aligned}(\alpha \Rightarrow \beta) &\mapsto \neg\alpha \vee \beta \\(\alpha \Leftrightarrow \beta) &\mapsto (\neg\alpha \vee \beta) \\ &\quad \& (\alpha \vee \neg\beta)\end{aligned}$$

$$\begin{aligned}\neg\neg\alpha &\mapsto \alpha \\ \neg(\alpha \vee \beta) &\mapsto \neg\alpha \& \neg\beta \\ \neg(\alpha \& \beta) &\mapsto \neg\alpha \vee \neg\beta\end{aligned}$$

$$\left\{ \begin{array}{l} \neg P \vee Q \\ \neg P \vee \neg R \end{array} \right\}$$

Can be EXPONENTIALLY larger than original formula

DNF  $\Rightarrow$  CNF

# Is Resolution Sufficient?

- Subsumes:

$$[MP] \quad \frac{p \Rightarrow q \quad p}{q} \quad \frac{\neg p \vee q \quad p}{q}$$

$$[MT] \quad \frac{p \Rightarrow q \quad \neg q}{\neg p} \quad \frac{\neg p \vee q \quad \neg q}{\neg p}$$

$$[RC] \quad \frac{p \Rightarrow q \quad q \Rightarrow r}{p \Rightarrow r} \quad \frac{\neg p \vee q \quad \neg q \vee r}{\neg p \vee r}$$

$$[MG] \quad \frac{p \Rightarrow q \quad \neg p \Rightarrow q}{q} \quad \frac{\neg p \vee q \quad p \vee q}{q}$$

$$[\boxtimes] \quad \frac{\neg p \quad p}{\perp}$$

...

- Is Resolution *sufficient*?  
Complete inference process?

# Resolution $\vdash_R$ Process

Given theory  $KB$ , and query  $\sigma$ :

1. Find two clauses in  $KB$

$$\alpha \equiv A \vee Q \vee B$$

$$\beta \equiv C \vee \neg Q \vee D$$

that have complementary  
If none, return **No**... else...

2. Smash them!

$$\gamma \equiv A \vee B \vee C \vee D$$

3. Does this new  $\gamma$  match  $\sigma$  ?

- If so, return **YES**
- If not, add to  $KB \leftarrow KB + \gamma$   
Go to 1.

Is this process COMPLETE?  
Can it answer EVERY  $KB + \text{query}$ ???



# Resolution is NOT Complete

- Resolution  $\vdash_R$  smashes together clauses

Eg...  $\{ \dots, \alpha \vee A, \dots, \neg A \vee \beta, \dots \} \vdash_R \alpha \vee \beta$

- But if  $KB = \{\}$ ,  $\vdash_R$  cannot derive *anything*
- Tautologies  $p \vee \neg p$  always entailed

$$\{\} \models (p \vee \neg p)$$

But

$$\{\} \not\vdash_R (p \vee \neg p)$$

Is this process COMPLETE?  
Can it answer EVERY KB+query??

**NO!**

- Also...  $\{p\} \models (p \vee p)$  but  $\{p\} \not\vdash_R (p \vee p)$

...

$$R \subseteq S, \text{ then } R \cap \sim S = \{\}$$

# Refutation

- Resolution can still be used for entailment!  
Using Refutation Proof :
- $KB \models \sigma$  means  $\sigma$  is true in all models of  $KB$

- Now assert  $\neg\sigma$

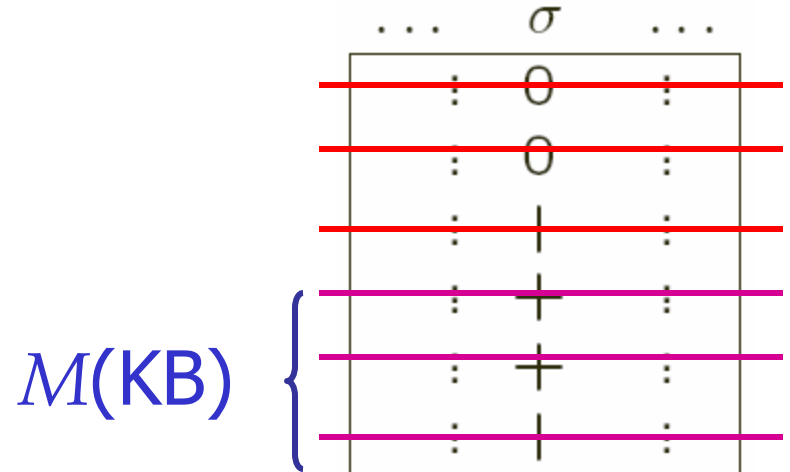
- ... ie,  $KB \cup \neg\sigma$

This removes each model where  $\sigma$  is true

$\Rightarrow$  it has NO models

- $M(KB \cup \neg\sigma) = \{\}$

$\Rightarrow KB \cup \neg\sigma \models \text{False}$





# Refutation Proof

---

- Deduction Theory

$KB \models \sigma$  *iff*  
 $KB \cup \neg\sigma$  is inconsistent *iff*  
 $KB \cup \neg\sigma \models \mathbf{False}$

- To prove  $\sigma$

Add  $\neg\sigma$  to  $KB$

If can prove a Contradiction,  $\mathbf{False}$ ,  
then  $KB \models \sigma$



# Refutation Complete

---

- $\vdash$  is Complete *iff*

$$\forall \text{ KB}, \sigma: \text{KB} \models \sigma \Rightarrow \text{KB} \vdash \sigma$$

- $\vdash$  is REFUTATION Complete *iff*

$$\forall \text{ KB}: \text{KB} \models \{\} \Rightarrow \text{KB} \vdash \{\}$$

- **Resolution  $\vdash_R$  is REFUTATION COMPLETE**

- If  $\text{KB} \models \sigma$  then

$\exists$  resolution proof of False from  $\text{KB} \cup \neg\sigma$



# Proof...

---

If  $KB \models \sigma$  then

$\exists$  resolution proof of False from  $KB \cup \neg\sigma$

Proof: Let  $RC(\Gamma)$  be deductive closure of  $\Gamma$  using Resl'n

Need only show: if  $\{\} \notin RC(\Gamma)$ ,  
then  $\Gamma$  is consistent ... i.e.,  $\Gamma$  has model.

Build model over variables  $v_1, \dots, v_k$ :

For  $i = 1..k$

- ★ if  $\exists c_j \in RC(\Gamma)$  s.t.  
 $\neg v_i \in c_j$  and assg'n to  $v_1, \dots, v_{i-1}$  false  
then  $v_i \leftarrow$  false
- ★ otherwise  $v_i \leftarrow$  true

This assignment  $\{\pm v_1, \dots, \pm v_k\}$  is model for  $\Gamma$  !



# Using Refutation Resolution

---

- Given  $KB, \sigma$

Let  $\Gamma = KB \cup \neg\sigma$  :

Try to prove False  $\{\}$ , using  $\vdash_R$

$\Gamma \vdash_R? \text{False}$

If succeed, then  $KB \models \sigma$

If fail, then  $KB \not\models \sigma$

- Problem:
  - Resolution works by smashing CLAUSES!
  - $\Rightarrow$  Need to encode  $KB, \sigma$  as clauses
- Can always be done!

# Example of Resolution Process

- Knowledge base

phd	⇒	highlyQualified
¬phd	⇒	earlyEarnings
highlyQualified	⇒	rich
earlyEarnings	⇒	rich

- Goal: rich ?

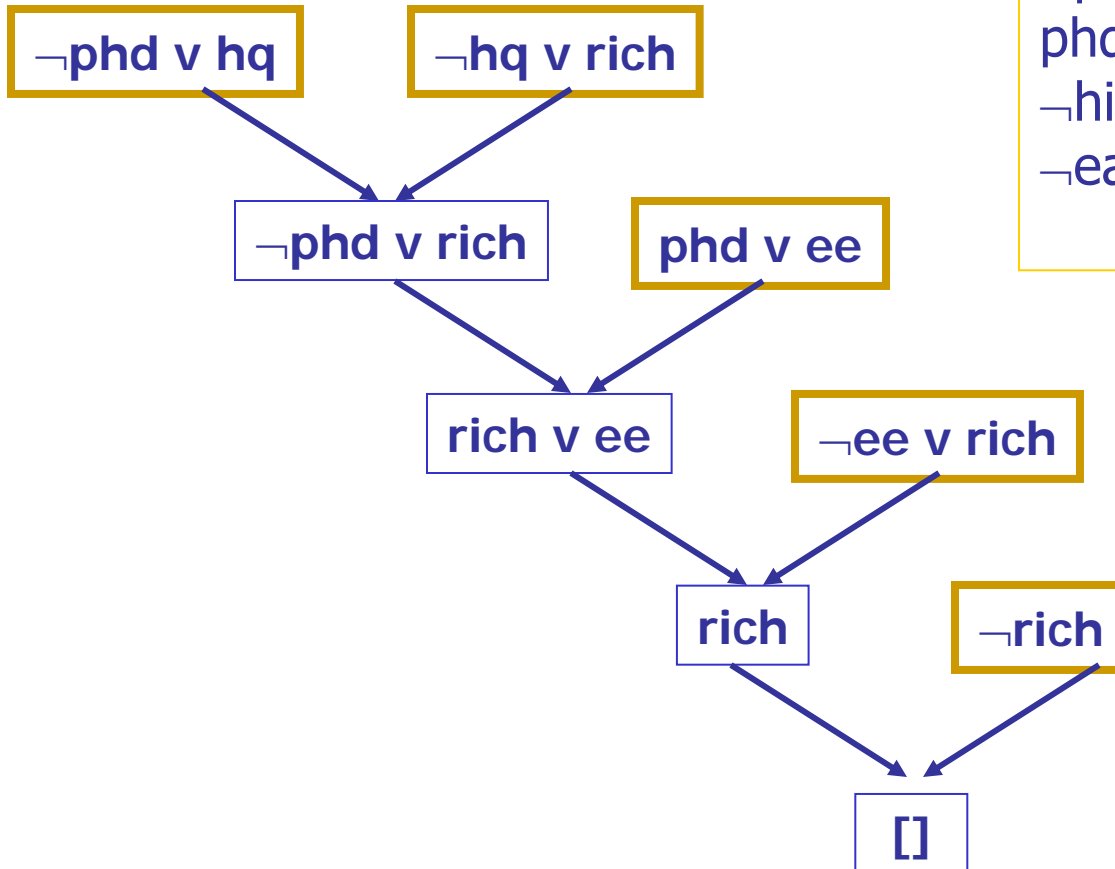
- NOTE: simple RuleChaining will NOT work!

- Now what?

- REFUTATION PROOF!
- Convert to "CNF Form" (including ¬ of goal)
- Resolve, seeking []
- (Return solution)

# Resolution Proof

- To prove *rich* from KB, add  $\neg$ *rich*



$\neg$ phd	$\vee$ highlyQualified
phd	$\vee$ earlyEarnings
$\neg$ highlyQualified	$\vee$ rich
$\neg$ earlyEarnings	$\vee$ rich



# Inference Using Resolution

Given  $KB, \sigma$

- 1. Convert  $KB$  to CNF:  $CNF(KB)$
- 2. Convert  $\neg\sigma$  to CNF:  $CNF(\neg\sigma)$
- 3.  $CNF(KB) \cup CNF(\neg\sigma) \vdash_R \{\} ?$
- If succeed, then  $KB \models \sigma$
- If fail, then  $KB \not\models \sigma$

■ For propositional logic:

- sound
- complete
- decidable

■ But

- Exponential time in general (not "just" NP-hard)
- Linear time for Horn clauses
- Linear time for 2-CNF clauses



# Length of Resolution Proof?

---

- Can Resolution be FORCED to take exponentially many steps?
  - Posed [Cook / Karp, 1971/72]... related to NP vs. co-NP
  - Resolved [Haken 1985]
- Pigeon-Hole (PH) problem:
  - Cannot place  $n+1$  pigeons in  $n$  holes (1/hole)
- PH takes exponentially many steps (for Resolution) no matter what order, strategy, . . .
- Important:
  - PH hidden in many practical problems
  - Makes theorem proving/ reasoning expensive
  - Contributed to recent move to model-based methods



# Pigeon-Hole Principle

---

- $P_{i,j}$  for Pigeon  $i$  in hole  $j$ .
- Every pigeon is in some hole:  
$$P_{1,1} \vee P_{1,2} \vee P_{1,3} \vee \dots \vee P_{1,n}$$
$$P_{2,1} \vee P_{2,2} \vee P_{2,3} \vee \dots \vee P_{2,n}$$
$$\vdots$$
$$P_{(n+1),1} \vee P_{(n+1),2} \vee P_{(n+1),3} \vee \dots \vee P_{(n+1),n}$$
- Every pigeon is in at most one hole:  
$$(\neg P_{1,1} \vee \neg P_{1,2}), (\neg P_{1,1} \vee \neg P_{1,3}), \dots (\neg P_{1,(n-1)} \vee \neg P_{1,n})$$
$$\vdots$$
$$(\neg P_{2,1} \vee \neg P_{2,2}), \dots, (\neg P_{2,(n-1)} \vee \neg P_{2,n})$$
- Every hole has at most one pigeon:  
$$(\neg P_{1,1} \vee \neg P_{2,1}), (\neg P_{1,1} \vee \neg P_{3,1}), \dots$$
$$(\neg P_{1,2} \vee \neg P_{2,2}), (\neg P_{1,2} \vee \neg P_{3,2}), \dots$$
$$\vdots$$



# Result

---

- Requires  $O(n^3)$  clauses

- Resolution proof that

PH is inconsistent

requires dealing with at least exponential # of clauses,  
no matter how clauses are resolved!

[Haken85]

⇒ “Method can't count”

- Can word in Predicate Calculus ... same problem



# Generality; Choice Points

---

- As any theory can be translated to CNF and as resolution is []-complete,  
**All deduction in terms of Resolution.**
- Only decision is...  
Which (two literals in which) two clauses to (try to) Resolve?
- Eg:
  - Insist on using an atomic literal:  
Unit Resolution (F or B)
    - Only positive atomic literal: Forward reasoning
    - Only negative atomic literal: Backward reasoning
  - Set of support
  - Ancestry filtering, ordered(lock)
  - ...

# Resolution Strategy I: Unit Preference

Goal: to find  $\{\}$  (clause w/ 0 literals)

- For  $R = \text{Resolve}(P, Q)$

$$|R| = |P| + |Q| - 2$$

- If  $|P| = 4$  and  $|Q| = 3$ , then  $|R| = 5$

... so  $|R| > |P|, |Q|$

Is this progress?

- But if  $|P| = 1$ , then  $|\text{Resolve}(P, Q)| = |Q| - 1$

PROGRESS towards 0 !

- Unit Preference:

Given KB, may resolve  $P$  and  $Q$  only if

$P$  is single literal ("unit clause")

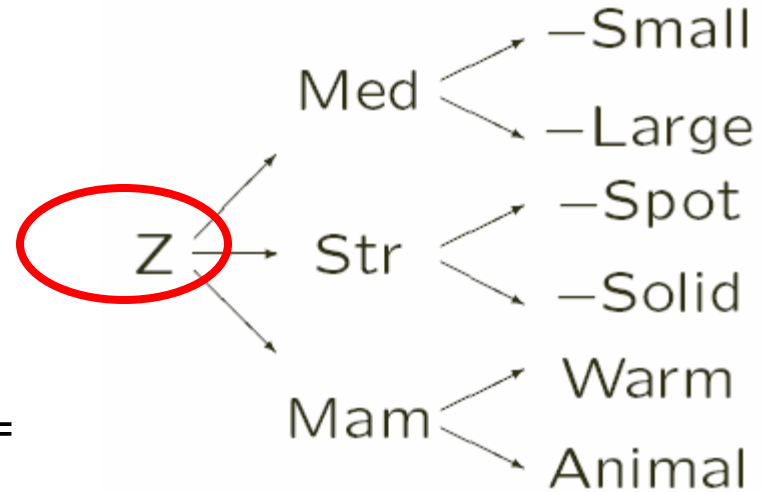
- Does it work?

# Unit Propagation $\approx$ Forward/Backward Reasoning

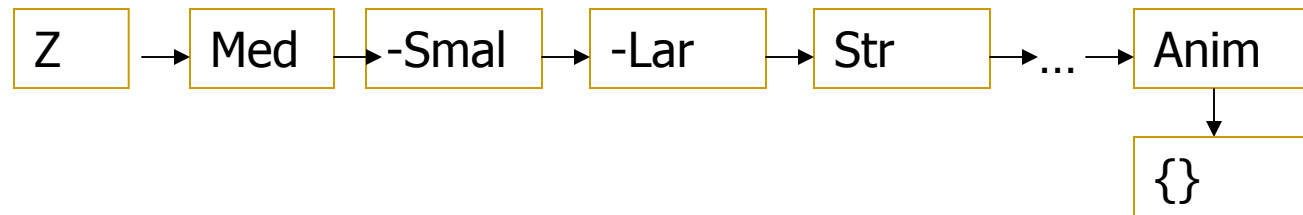
Query: Animal ?

KB<sub>1</sub>

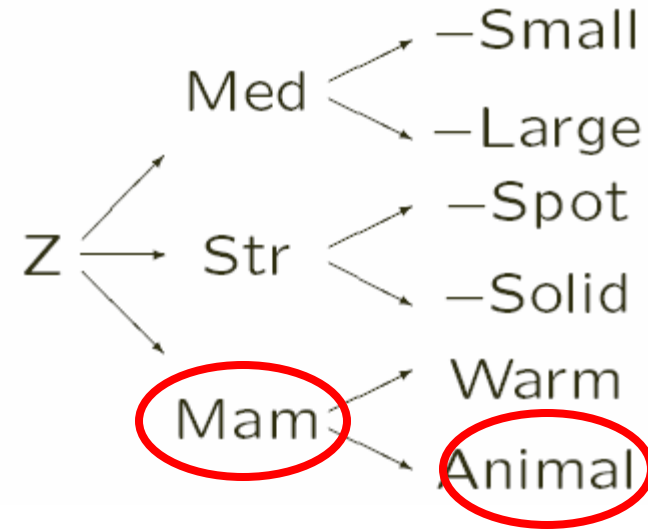
- Zebra
- $\neg$ Zebra v Medium
- $\neg$ Zebra v Striped
- $\neg$ Zebra v Mammal
- $\neg$ Medium v NonSmall
- $\neg$ Medium v NonLarg
- $\neg$ Striped v NonSolid
- $\neg$ Striped v NonSpot
- $\neg$ Mammal v Animal
- $\neg$ Mammal v Warm
- $\neg$ Animal



Forward Reasoning ==  
use POSITIVE literal



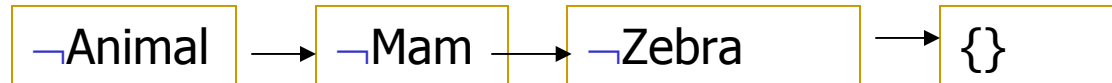
# Backward Chaining



Query: Animal ?

- KB<sub>1</sub>
- Zebra
  - $\neg$ Zebra v Medium
  - $\neg$ Zebra v Striped
  - $\neg$ Zebra v Mammal
  - $\neg$ Medium v NonSmall
  - $\neg$ Medium v NonLarg
  - $\neg$ Striped v NonSolid
  - $\neg$ Striped v NonSpot
  - $\neg$ Mammal v Animal
  - $\neg$ Mammal v Warm
  - $\neg$ Animal

Backward Reasoning ==  
use NEGATIVE literal



## ■ Forward chaining:

- Start with known facts; add in other correct facts
- Required 9 steps

## ■ Backward chaining:

- Go from Goal to subGoal to subsubGoal to ...
- Required 2 steps



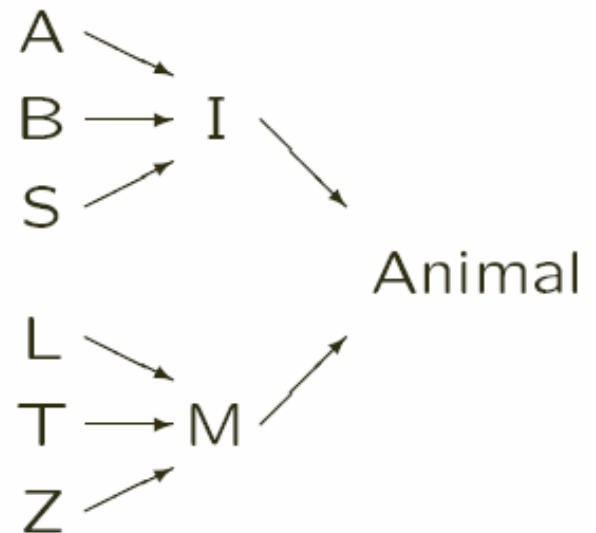
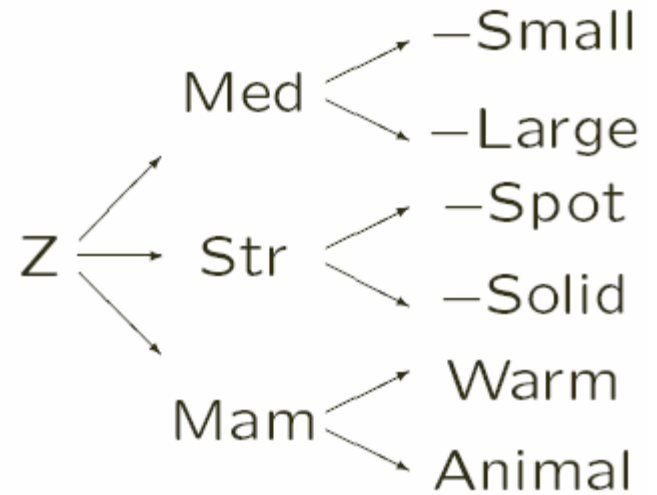
# Comparing Forward vs Backward

Query: Animal ?

- KB<sub>1</sub>
- Zebra
  - Zebra ⇒ Medium
  - Zebra ⇒ Striped
  - Zebra ⇒ Mammal
  - Medium ⇒ NonSmall
  - Medium ⇒ NonLarge
  - Striped ⇒ NonSolid
  - Striped ⇒ NonSpot
  - Mammal ⇒ Animal
  - Mammal ⇒ Warm
  - ...

- KB<sub>2</sub>
- Zebra
  - Ant ⇒ Insect
  - Bee ⇒ Insect
  - Spider ⇒ Insect
  - Insect ⇒ Animal
  - Lion ⇒ Mammal
  - Tiger ⇒ Mammal
  - Zebra ⇒ Mammal
  - Mammal ⇒ Animal...

	FC	BC
KB <sub>1</sub>	9	<b>2</b>
KB <sub>2</sub>	<b>2</b>	8



# Horn Clauses ... aka Rules

- Every theory can be written in **Conjunctive Normal Form (CNF)**

- conjunction of disjunctions of literals

clauses

$$(A \vee \neg B) \& (B \vee \neg C \vee \neg D) \& (B \vee E \vee \neg A)$$

- Some theories are **Horn**

- conjunction of Horn clauses (clauses with  $\leq 1$  positive literal)

$$(A \vee \neg B) \& (B \vee \neg C \vee \neg D)$$

- Often written as set of implications:

$$(B \Rightarrow A) \& (C \& D) \Rightarrow B$$

# Normal Forms

- Information needs to be in specific form to use inference rules. . .

- Conjunctive Normal Form** (CNF - universal)

- conjunction of disjunctions of literals

clauses

$$(A \vee \neg B) \& (B \vee \neg C \vee D)$$

- Disjunctive Normal Form** (DNF - universal)

- disjunction of conjunctions of literals

terms

$$(A \& B) \vee (A \vee \neg C) \& (A \& \neg D)$$

- Horn Form** (restricted)

- conjunction of Horn clauses (clauses with  $\leq 1$  positive literal)
- Often written as set of implications:

$$(A \vee \neg B) \& (B \vee \neg C \vee \neg D)$$

$$(B \Rightarrow A) \& (C \& D) \Rightarrow B$$

# “Chaining” Inference Processes

- In general, need to consider  $A \& B \Rightarrow C$ , not just  $A \Rightarrow C$
- Here, both F- and B- reasoning were also  
Unit Preferences  
Typical, but can be generalized ...
- **If Horn theory:**
  - **Forward Chaining** ...  
is COMPLETE for ATOMIC Queries  
DataDriven – could be done by *Tell*
  - **Backward Chaining**  
is COMPLETE for ATOMIC Queries
  - Each is worst-case  $O(n)$ ...  
but different actual run-times ...depends on Branching Factor...
- But NOT everything is HORN!
  - $S_{1,2} \Rightarrow W_{1,1} \vee W_{1,2} \vee W_{2,2} \vee W_{1,3}$
  - $\neg S_{1,2} \vee W_{1,1} \vee W_{1,2} \vee W_{2,2} \vee W_{1,3}$

# Unit Resolution

Can resolve P and Q only if . . .

- **Unit Preference:**  $|P| = 1$

STATUS: Not complete

$$\left\{ \begin{array}{ll} A \vee B & \neg A \vee B \\ A \vee \neg B & \neg A \vee \neg B \end{array} \right\}$$

But ... *Refutation Complete* for Horn clauses.

- Horn , each clause has  $\leq 1$  positive literal

Horn:  $A \quad A \vee \neg B \quad \neg B \quad \neg A \vee \neg B \dots$

NotHorn:  $A \vee B \quad A \vee \neg Q \vee W$



# Ordered Resolution

---

**Ordered Resolution:**  $\left\{ \begin{array}{ll} A \vee B & \neg A \vee B \\ A \vee \neg B & \neg A \vee \neg B \end{array} \right\}$

- Literals in each clause are ordered:  
 $P = \langle p_1 \vee p_2 \vee \dots \rangle, \quad Q = \langle q_1 \vee q_2 \vee \dots \rangle$
- Can resolve  $P$  and  $Q$  only if  
 $p_1$  corresponds to  $\neg q_1$

STATUS: Refutation complete for Horn



# Resolution Strategies, II

---

- **Set of Support:** Resolve  $P, Q$  only if  $P \in S$  where  $S$  KB is "set of support".  
... then add resolvent to  $S$ .

Complete if *Consistent(KB- S)*

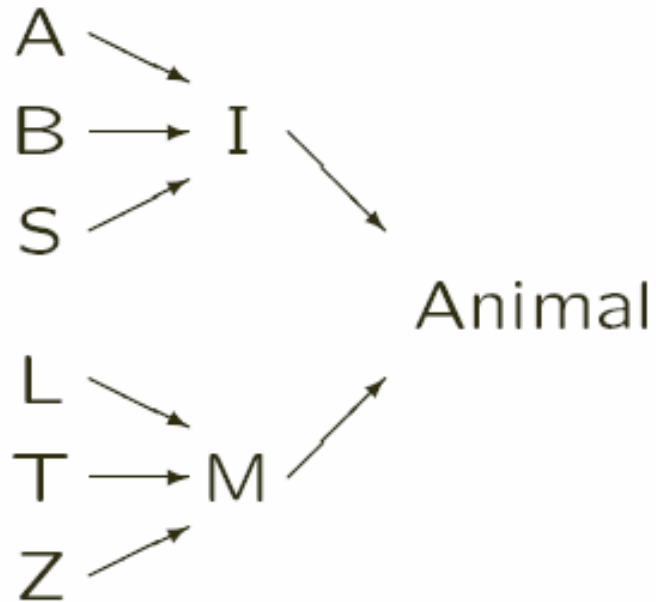
- **Backward Reasoning:**  
Initial Support:  $S = \text{negated query } \neg\sigma$
- **Forward Reasoning:**  
Initial Support:  $S = \text{original KB}$
- Q: Which is better?
- A: Depends on branching factor!

# Set-of-Support: Backward Reasoning

Zebra  
¬Ant v Insect  
¬Bee v Insect  
¬Spider v Insect  
¬Insect v Animal  
¬Lion v Mammal  
¬Tiger v Mammal  
¬Zebra v Mammal  
¬Mammal v Animal

¬Animal

Set of Support

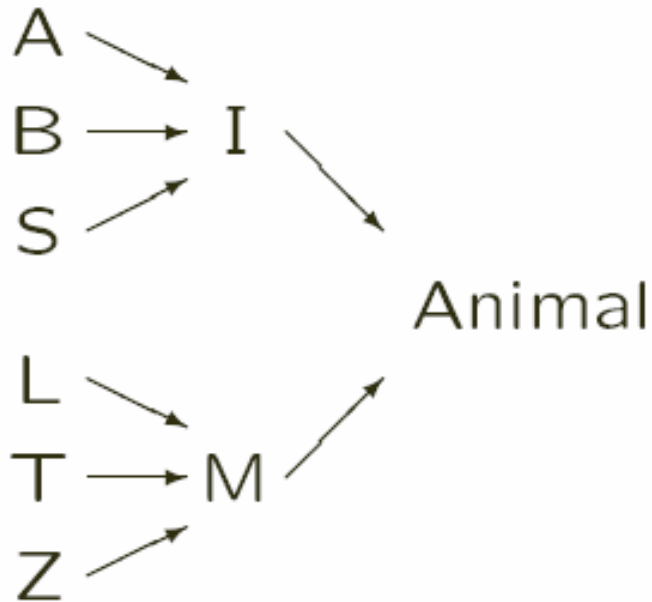




# Set-of-Support: Forward Reasoning

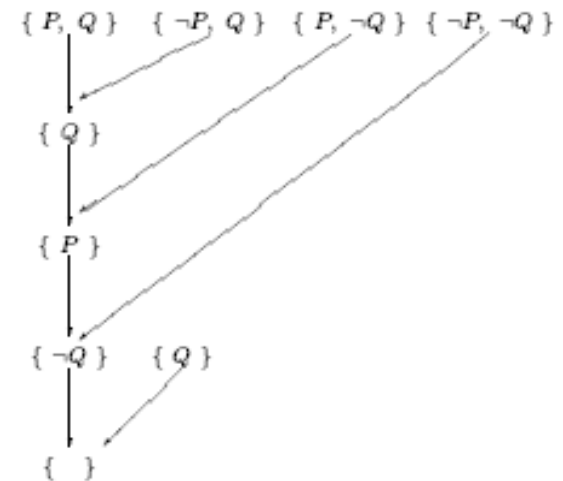
Set of Support

$\neg$ Animal  
Zebra  
 $\neg$ Ant  $\vee$  Insect  
 $\neg$ Bee  $\vee$  Insect  
 $\neg$ Spider  $\vee$  Insect  
 $\neg$ Insect  $\vee$  Animal  
 $\neg$ Lion  $\vee$  Mammal  
 $\neg$ Tiger  $\vee$  Mammal  
 $\neg$ Zebra  $\vee$  Mammal  
 $\neg$ Mammal  $\vee$  Animal



# Resolution Strategies, III

- **Input Resolution:** only if  $P$  in original KB  
STATUS: Not complete.
- **Linear resolution:** only if  $P$  in original KB  
or  $P$  is ancestor of  $Q$  in proof tree



- **STATUS:** Refutation complete  
(if KB consistent, then  $KB \cup \sim\sigma$  inconsistent  
iff LinRes, starting with  $\sigma$ , reaches  $\{\}$  )

# Deduction Theorem, Validity, Satisfiability

- Sentence is **valid** iff true in all models

Eg,  $A \vee \sim A$ ,  $A \Rightarrow A$ ,  $(A \& (A \Rightarrow B)) \Rightarrow B$

- ... related to inference via **Deduction Theorem**:

$KB \models \alpha$  iff  $(KB \Rightarrow \alpha)$  is valid

- Sentence is **satisfiable** iff true in some model

Eg,  $A \vee B$ ,  $C$

- Sentence is **unsatisfiable** iff true in no models

Eg,  $A \& \neg A$

- Satisfiability related to inference via ...

$KB \models \alpha$  iff  $(KB \& \neg \alpha)$  is unsatisfiable

.... prove  $\alpha$  by reductio ad absurdum



# Basic concepts of logic

---

- **syntax**: formal structure of sentences
- **semantics**: truth of sentences wrt models
- **entailment**: necessary truth of one sentence given another
- **derivation**: deriving sentences from other sentences
- **soundness**: derivations produce only entailed sentences
- **completeness**: derivations can produce all entailed sentences



# Summary

---

- Logical agents make inferences from a knowledge base to derive new information (used to make decisions)
- Even simple tasks (Wumpus World) require ability to
  - represent *partial* and *negated* information,
  - reason by cases, etc.
- Propositional logic often sufficient
- Resolution is sound + complete ... if exponential time