

# FLEXIBLE APPROXIMATORS FOR APPROXIMATING FIXPOINT THEORY

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# AFT and Motivation for Flexible approximators

- AFT framework to study operators on bi-lattices.
  - study various nonmonotonic semantics uniformly ( $\dots$ ).
  - prove general results
    - avoid syntactical details + "prove for one"  $\rightarrow$  "obtain several"
    - no need to prove for slightly diff. circumstances.
- Stable Revision op (with two params).  $\rightarrow$  uses default negation.
  - **Problem:** combine various formalisms  $\rightarrow$  missing entailed negation
  - **Solution:** Flexible approximators  $\rightarrow$  ternary op.
    - third param. aids to access entailed negation.
  - **Question:** is the extended AFT correct?

# Background

- **Poset**: Elements of a set are partially ordered.
- **Lattice**: a poset  $\langle \mathcal{L}, \leq \rangle$  ... has a LUB (Join) and a GLB (Meet)
- **Chain**: a linearly ordered subset of  $\mathcal{L}$
- **Chain Complete**: a poset  $\langle \mathcal{L}, \leq \rangle$ , has least element  $\perp$  and  $C \subseteq \mathcal{L}$  has a LUB.
- **Complete Lattice**: if every  $S \subseteq \mathcal{L}$  has LUB and GLB.
- **BiLattice**:  $\langle \mathcal{L}^2, \leq, \leq_p \rangle$ , precision order ( $\leq_p$ ) and truth order  $\leq$ 
  - for all  $x, y, x', y' \in \mathcal{L}$ ,  $(x, y) \leq_p (x', y')$  if  $x \leq x'$  and  $y' \leq y$
- **Consistent Pair**: For  $(x, y) \in \mathcal{L}^2$ , if  $x \leq y$  and exact: if  $x = y$ .
- $\mathcal{L}^2$ : set of all consistent pair
- **Interval**:  $(x, y) \in \mathcal{L}^2$  defines  $[x, y] = \{z \mid x \leq z \leq y\}$ ,
- **Operator**:  $\mathcal{O}$  is monotone  $\rightarrow (x, y \in \mathcal{L}, x \leq y \rightarrow \mathcal{O}(x) \leq \mathcal{O}(y))$ .
  - possesses fixpoints and a least fixpoint.

# Stable Revision Operator

- a Semantic in NM formalism  $\rightarrow$  an approximator (monotone in bi-lattice)
- kripkee-klenee fixpoint  $\mathcal{A}$ 
  - leave as "unknown", what cannot be proven (3-valued)
- "Unfounded atoms" - key features of ASP
  - unfounded atoms  $\rightarrow$  those can be assigned to false.
  - a pair  $(u, v)$ ,  $u$ : true,  $v$ : possibly true,  $\bar{u}$ : false
  - computation of unfounded : Stable Revision Op.
    - Given a  $(u, v)$ , maps  $(u, v)$  to  $(lfp(\mathcal{A}^1(\cdot, v)), lfp(\mathcal{A}^2(u, \cdot)))$   
 $\mathcal{A}^1(\cdot, v)$  : computes what must be true with a fixed  $v$ .  
 $\mathcal{A}^2(u, \cdot)$  : computes what is possible true with a fixed  $u$ .

# Issues with entailed negation

- Given a  $(u, v)$ , *false* atoms are in both  $u$  and  $v$ 
  - (i)  $a \notin v$  (**entailed**) and
  - (ii)  $a \notin u$ , but  $a \in v$  (**default**)
- $\mathcal{A}^2(u, \cdot)$  : no access  $v$  (no access in the entailed negation).
- Lack of access to  $v$  is problematic (while computing  $\mathcal{A}^2(u, \cdot)$ )
  - (i) **Underestimation**
  - (ii) **Overestimation**

# Overestimation Example

- FOL-program  $KB = (L, \Pi)$ ;  $L = \{P(a)\}$ ;  
 $\Pi = \{P(a) \leftarrow P(a); P(b) \leftarrow F\}$
- Given  $(\perp, \top)$  (i.e.  $(u, v)$ ) as least atom, compute  
 $(\text{Ifp}(\mathcal{A}^1(\cdot, \top)), \text{Ifp}(\mathcal{A}^2(\perp, \cdot)))$ 
  - $\mathcal{A}^2(\perp, \perp)$ : first step to compute  $\text{Ifp}(\mathcal{A}^2(\perp, \cdot))$
  - $P(a)$  is false in  $(\perp, \perp)$  - contradiction with  $L$ .
  - **Overestimation**, as  $\mathcal{A}^2$  does not have access to  $v$ .

# Underestimation Example ..

$$-KB = (L, \Pi)$$

$$L = \{\forall x C(x) \supset (A(x) \vee D(x))\}$$

and

$$\Pi = \{A(a) \leftarrow A(a). B(a) \leftarrow \text{not } A(a).$$

$$D(a) \leftarrow \text{not } B(a). C(a) \leftarrow \text{not } C'(a). C'(a) \leftarrow \text{not } C(a).\}$$

$$(\perp, \top) \Rightarrow (\perp, \{c, c', b, d\}) \Rightarrow (\{b\}, \{c, c', b, d\}) \Rightarrow (\{b\}, \{c, c', b\}) \Rightarrow \\ (\{b\}, \{c', b\}) \Rightarrow \dots$$

- $C(a), C'(a), B(a), D(a)$  in second pair are possibly true.
- $C(a)$  is not possibly true because  $\neg C(a)$  is entailed by  $L$
- (if) infer  $\neg C(a)$ , block derivation of  $C(a)$
- but, without access to  $v$  of  $(u, v)$ ,  $\neg C(a)$  is not derivable.

- 1) Original AFT on  $\mathcal{L}^c$ , Our AFT on  $\mathcal{L}^2$ 
  - changes notion of approximator + enrich algebraic manipulation
- 2) Enable  $\mathcal{A}^2$  to have access to  $v$ 
  - fix Underestimation and Overestimation.
- 3) Prove correctness.



# Extend to $\mathcal{L}^2$

- Approximator  $\mathcal{A}$  is  $\leq_p$ -monotone ....  $\mathcal{A}(z, z) = (\mathcal{O}(z), \mathcal{O}(z))$
- (What if)  $\mathcal{A}(z, z)$  inconsistent?
- So, we define  $\mathcal{A}(z, z)$  on  $\mathcal{L}^2$ .

## Definition

Let  $\mathcal{O}$  be an op. on  $\mathcal{L}$  ;  $\mathcal{A} : \mathcal{L}^2 \rightarrow \mathcal{L}^2$  is an *approximator* of  $\mathcal{O}$  iff.

- For all  $x \in \mathcal{L}$ , if  $\mathcal{A}(x, x)$  is consistent then  $\mathcal{A}(x, x) = (\mathcal{O}(x), \mathcal{O}(x))$ .
- $\mathcal{A}$  is  $\leq_p$ -monotone.

-Stable Revision Op. ( $St_{\mathcal{A}}$ ) : persistently reachable / non-reachable

- has fixpoints (stable models) and a least fix point (well founded fixpoints)
- $St_{\mathcal{A}}(u, v) = (lfp(\mathcal{A}^1(\cdot, v)), lfp(\mathcal{A}^2(u, \cdot)))$ .

- $\text{lfp}(\mathcal{A}^1(\cdot, v))$  is well defined as  $\mathcal{A}^1$  is monotone on  $\mathcal{L}$
- But, two issues with  $\text{lfp}(\mathcal{A}^2(u, \cdot))$ 
  - $\mathcal{A}^2(u, \cdot)$  no access to  $v$ .
  - $\mathcal{A}^2(u, \cdot) \notin [u, \top]$  ( $\text{lfp}(\mathcal{A}^2(u, \cdot))$  is ill defined)

## Adding third param. to $St_A$ ...

-  $St_A(u, v, v) = (lfp(\mathcal{A}_v^1(\cdot, v)), lfp(\mathcal{A}_v^2(u, \cdot)))$ .

-lfp construction, Given a pair  $(u, v)$ :

$$x_0 = \perp, x_1 = \mathcal{A}_v^1(x_0, v), \dots, x_{\alpha+1} = \mathcal{A}_v^1(x_\alpha, v), \dots \quad (1)$$

$$y_0 = u, y_1 = \mathcal{A}_v^2(u, y_0), \dots, y_{\alpha+1} = \mathcal{A}_v^2(u, y_\alpha), \dots \quad (2)$$

- So, given an operator  $\mathcal{O}$  on  $\mathcal{L}$ ,

- $\mathcal{A}_v : \mathcal{L}^3 \rightarrow \mathcal{L}^2$  is the approximator.

- $\mathcal{A}_v$  is  $\leq_p$  monotone.

### Lemma

Let  $\mathcal{A} : \mathcal{L}^3 \rightarrow \mathcal{L}^2$  be an approximator, and  $v, v' \in \mathcal{L}$  s.t.  $v \leq v'$ .  
For all  $(x, y), (x', y') \in \mathcal{L}^2$ , if  $(x, y) \leq_p (x', y')$ , then  
 $\mathcal{A}_{v'}(x, y) \leq_p \mathcal{A}_v(x', y')$ .

# Tackle Inconsistency ...

- Reliability :  $\mathcal{A}$ ,  $(u, v) \in \mathcal{L}^2$  is called  $\mathcal{A}$ -reliable if  $(u, v) \leq_p \mathcal{A}_v(u, v)$ .
- But, in  $\mathcal{L}^2$ , may be  $\mathcal{A}_v^2(u, \cdot) \notin [u, \top]$
- Sufficient Condition:  $\mathcal{A}_v^2(u, u) \geq u$  holds.

## Lemma

Let  $\mathcal{A} : \mathcal{L}^3 \rightarrow \mathcal{L}^2$  be an approximating operator. For any  $(u, v) \in \mathcal{L}^2$ , if  $\mathcal{A}_v^2(u, u) \geq u$ , then for every  $z \in [u, \top]$ ,  $\mathcal{A}_v^2(u, z) \in [u, \top]$ .

- Stable Revision operator for the extended AFT:

$$St_{\mathcal{A}}(u, v) = \begin{cases} (lfp(\mathcal{A}_v^1(\cdot, v)), lfp(\mathcal{A}_v^2(u, \cdot))) & \text{where } \mathcal{A}_v^2(u, \cdot) \text{ is on } [u, \top] \\ (lfp(\mathcal{A}_v^1(\cdot, v)), lfp(\mathcal{A}_v^2(u, \cdot))) & \text{where } \mathcal{A}_v^2(u, \cdot) \text{ is on } [\perp, \top] \end{cases}$$

- **Solves Inconsistency!**
- $\mathcal{A}$ -prudent:  $(u, v) \in \mathcal{L}^2$  is called  $\mathcal{A}$ -prudent if  $u \leq lfp(\mathcal{A}_v^1(\cdot, v))$  (improves  $u$ )
- $\mathcal{L}^{rp}$  the set of all  $\mathcal{A}$ -reliable and  $\mathcal{A}$ -prudent pairs in  $\mathcal{L}^2$

# Properties

- chain property:

## Lemma

$(u, v) \in \mathcal{L}^{rp}$ , let  $St(u, v) = (u', v')$ . Then,  $(u, v) \leq_p (u', v')$ , and  $(u', v')$  is  $\mathcal{A}$ -reliable and  $\mathcal{A}$ -prudent.

- $\leq_p$ -monotonicity :

## Lemma

$(u, v), (u', v') \in \mathcal{L}^{rp}$ ,  $(u, v) \leq_p (u', v')$ , then  $St(u, v) \leq_p St(u', v')$ .

## Theorem

structure  $\langle \mathcal{L}^{rp}, \leq_p \rangle$  is a chain-complete poset, has least element  $(\perp, \top)$ , and  $St$  well-defined, increasing, and  $\leq_p$ -monotone op.

## Definition

*well-founded fixpoint of  $\mathcal{A}$*  : least fixpoint

*stable fixpoints* : the exact fixpoints of  $St_{\mathcal{A}}$

# Application to FOL programs

- FOL-program  $KB = (L, \Pi)$ 
  - $L$  is a FO theory and  $\Pi$  a *rule base*  
 $H \leftarrow A_1, \dots, A_m, \text{not } B_1, \dots, \text{not } B_n, (\Pi)$
  - $HB_{\Pi}$ : Herbrand Base,  $P(t_1, \dots, t_n)$ : ( $P$  is predicate,  $t_1, \dots, t_n$ : constants)
  - $I \subseteq HB_{\Pi}$  (Interpretation)  $\parallel \bar{I} = HB_{\Pi} - I \parallel \neg.I = \{\neg A \mid A \in I\}$
- **consistency**:  $(I, J)$  is consistent with  $L$  if  $L \cup I \cup \neg.J$  is consistent.
- **consistent extension**:  $(I', J')$  is a *consistent extension* of  $(I, J)$  if  $I \subseteq I' \subseteq J' \subseteq J$

## Definition

$(I, J) \in (2^{HB_{\Pi}})^2$ , and  $\phi$  a literal.

- $(I, J) \models_L \phi$  iff, if  $\phi$  is an atom  $A$  then  $A \in I$ , if  $\phi$  is a negative literal  $\text{not } A$  then  $A \notin I$ , and if  $\phi$  is an FOL-formula then  $L \cup I \cup \neg.J \models \phi$ . (**Entailment**)
- $(I, J) \Vdash_L \phi$  iff for all  $(I', J')$  of  $(I, J)$ ,  $(I', J') \models_L \phi$ . (**Consistency**)

# Approximation in FOL

- Operator to be approximated:

$$\mathcal{K}_{KB}(I) = \{hd(r) \mid r \in \Pi, (I, I) \models_L body(r)\} \cup \{A \in HB_{\Pi} \mid (I, I) \models_L A\}$$

- Approximator of  $\mathcal{K}_{KB}(I)$  :

## Definition

(Operator  $\Phi_{KB,v}$ : Standard Semantics) For all  $H \in HB_{\Pi}$ ,

- $H \in \Phi_{KB,v}^1(I, J)$  iff one of the following holds (**true atoms**)
  - (a)  $(I, v) \models_L H$ .
  - (b)  $\exists r \in \Pi$  with  $hd(r) = H$ , s.t.  $\forall \phi \in body(r), (I, J) \models_L \phi$ .
- $H \in \Phi_{KB,v}^2(I, J)$  iff  $(I, v) \not\models_L \neg H$  and one of the following holds (**possibly true atoms**)
  - (a)  $\exists I', J' (I \subseteq I' \subseteq J' \subseteq J), (I', J' \cup \bar{J}) \models_L H$ .
  - (b)  $\exists r \in \Pi$  with  $hd(r) = H$ , s.t.  $\forall \phi \in body(r), \exists I', J' (I \subseteq I' \subseteq J' \subseteq J), (I', J' \cup \bar{J}) \models_L \phi$ .



# Fix of Underestimation

-Example:

## Example

$KB = (L, \Pi)$ ,

$$L = \{\forall x C(x) \supset (A(x) \vee D(x))\}$$

and

$$\Pi = \{A(a) \leftarrow A(a). B(a) \leftarrow \text{not } A(a).$$

$$D(a) \leftarrow \text{not } B(a). C(a) \leftarrow \text{not } C'(a). C'(a) \leftarrow \text{not } C(a).\}$$

We use  $St_{\Phi_{KB}}$  in this case. Generates:

$$\begin{aligned} (\emptyset, HB_{\Pi}) &\Rightarrow (\emptyset, \{c, c', b, d\}) \Rightarrow (\{b\}, \{c, c', b, d\}) \\ &\Rightarrow (\{b\}, \{c, c', b\}) \Rightarrow (\{b\}, \{c', b\}) \Rightarrow (\{c', b\}, \{c', b\}) \end{aligned}$$

- $A(a)$  is false  $\rightarrow B(a)$  (Second pair)  $\rightarrow D(a)$  is false (third pair)
- $\neg C(a)$  entailed by  $L$ ,  $C(a)$  is no longer possible true.

# Future work and Question

- Multi context system (use of entailed negation?).
- Grounded Fix point (does not handle inconsistency. Use this result?)
- Question??