

## cmput 304 2023 study questions 5 (with hints)

1. a) Describe a brute force algorithm that takes a graph as input and returns a largest clique.  
b) Describe a polytime algorithm that takes a graph and a node subset as input and reports whether the node subset is a clique.  
c) Explain how b) is used in proving that the  $k$ -clique problem is in the class NP.

2.  $k$ -clique is this problem:

instance: a graph  $G$  and an integer  $k$

query: does  $G$  have a clique of size  $k$ ?

- a) Define the problem  $k$ -independent set.
- b) Explain why the brute force method for solving  $k$ -clique runs in polytime if  $k$  is constant but in  $\Omega(2^n/\sqrt{n})$  time when  $k = n/2$ .
- c) Give a polytime answer-preserving transformation  $T$  from  $k$ -clique to  $k$ -independent set (so  $T$  takes as input an instance of  $k$ -clique and gives as output an instance of  $k$ -independent set). Prove that  $T$  is polytime. Prove that  $T$  is answer-preserving.

3. a) Define NP-complete.

b) So far in the lectures we have seen that these problems are NP-complete: conjunctive normal form sat (cnf-sat); 3-cnf-sat, also called 3-sat;  $k$ -clique;  $k$ -independent set.

For each of these problems, explain briefly how we know that the problem is in the class NP-complete.

- c) Does there exist a polytime answer-preserving transformation from sat to  $k$ -independent set? If yes, explain briefly how you know this. If no, explain briefly why not.
- d) Is there a polytime answer-preserving transformation from  $k$ -independent set to sat? If yes, explain briefly how you know this. If no, explain briefly why not.

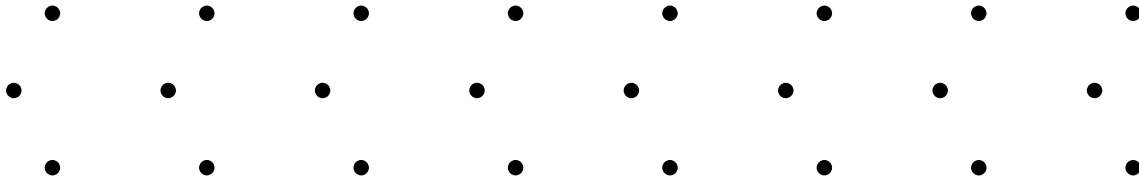
4. Let  $T$  be the transformation we saw in the lectures from cnf-sat to 3-sat. For the cnf-sat formula  $f$  represented below, give the corresponding 3-sat formula  $T(f)$ .

$f = [-1 \ 3 \ 5 \ 6] \ [2 \ 3 \ -4 \ -5 \ -6] \ [1 \ -2 \ 3 \ -4 \ 5 \ 6]$

5. Let  $T$  be the transformation we saw in the lectures from 3-sat to  $k$ -independent set.

a) For formula  $f$  below, label the nodes below and draw the edges of the graph  $T(f)$ .

$$f = (\neg x_1 \vee x_2 \vee x_3) \wedge (x_2 \vee x_3 \vee \neg x_4) \wedge (x_1 \vee x_3 \vee x_4) \wedge (\neg x_1 \vee \neg x_2 \vee \neg x_4) \wedge \\ (x_1 \vee \neg x_2 \vee \neg x_3) \wedge (x_1 \vee x_2 \vee \neg x_3) \wedge (\neg x_1 \vee \neg x_2 \vee x_4) \wedge (\neg x_1 \vee x_2 \vee \neg x_3)$$



b) If  $T(f)$  has an 8-independent set, circle each node of such a set. If it does not, explain briefly.

c) If  $f$  has a satisfying assignment, give it below. If it does not, explain briefly.

variable	1	2	3	4
truth value	--	--	--	--

6. 2-coloring is this problem.

instance: a graph  $G$

query: is there an assignment of at most 2 colors to nodes of  $G$ , such that pair of adjacent nodes have different colors?

a) Give a graph with 3 nodes that is not 2-colorable, and a graph with 6 nodes and six edges that is 2-colorable.

b) Give a polytime answer-preserving transformation  $T$  from 2-coloring to sat. Prove that  $T$  is polytime. Prove that  $T$  is answer-preserving.

c) Does b) imply that 2-coloring is in the class NP-complete? Explain briefly.

7. Is there a polytime answer-preserving transformation from  $k$ -independent set to satisfiability? If yes, explain briefly how you know this. If no, explain briefly why not (or why this is unlikely to be true).
8. Let  $T$  be the transformation we saw in the lectures from 3-sat to  $k$ -independent set.
- a) For the 3-sat formula  $f$  below, draw the graph  $T(f)$ .
- $$f = (x_1 \vee x_3 \vee \neg x_3) \wedge (\neg x_1 \vee \neg x_2 \vee x_4) \wedge (x_2 \vee x_3 \vee \neg x_4).$$
- b) Let  $f$  be any 3-sat formula with  $m$  clauses such that  $T(f)$  has an independent set of size  $m$ . (i) Prove that  $T(f)$  has no independent set of size  $m + 1$  (ii) Prove that  $f$  is satisfiable.

## hints

1. a) for each vertex subset, check whether it is a clique. track largest-so-far    b) for each pair of nodes in the subset, check whether they are adjacent. if any such pair is non-adjacent, it is not a clique    c) for any yes-instance, there exists a  $k$ -clique, so find one (take as long as you want, say exponential time) and then use it for verification (can be checked in polytime)
2. a) replace the word *clique* with *independent set*    b) the number of  $k$ -subsets of an  $n$ -set is  $n$  choose  $k$ , which is in  $O(n^k)$ , which is polynomial in  $n$  when  $k$  is constant, but in  $\Omega(2^n/\sqrt{n})$  when  $k = n/2$  (see the webnotes)    c) given a graph  $G$ , replace it with its complement  $H$  (replace each adjacent pair of nodes with a non-adjacent pair, and vice versa).
3. a) see notes    b) cnf-sat is NP-C by Cook's theorem. in class we saw that cnf-sat transforms to 3-sat, and that 3-sat transforms to  $k$ -clique, and in the previous question that  $k$ -clique transforms to  $k$ -independent set.    c) yes: by b) we know that  $k$ -ind. set is NP-complete, so any problem in NP can be transformed into it, so sat (which is in NP) can be transformed into it (and here, everytime we say *transformed* we mean *answer-preserving polytime transformed*).
4. covered in the lectures
5. covered in the lectures
6. a) triangle. 6-cycle b) one boolean variable for each node. two colors, taupe (corresponding to value true) and fuscia (corresponding to value false). for each edge, say from node  $j$  to  $k$ , have the clause  $(v_j \text{ and not } v_k)$  or  $(v_k \text{ and not } v_j)$     c) no: the transformation is going in the wrong direction for that. we know from Cook's theorem that every problem in NP (e.g. 2-coloring) can be polytime transformed into sat, so seeing this transformation does not surprise us.
7. yes: every problem in NP (e.g. ind. set) transforms in any NP-complete problem (e.g. sat)
8. a) see the lecture    bi) the graph partitions into  $m$  triangles. a triangle is a clique, so any independent set includes at most one node from each triangle, so any independent set has at most  $m$  nodes (otherwise, there would be some triangle that included at least two nodes from the IS, a contradiction)    bii) see the lecture