

# A New Training Algorithm to Reduce the Computational Complexity of Principal Component Analysis by Hebbian Learning\*

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## Abstract

In this work we propose a technique that reduces the computational complexity of training an Artificial Neural Network (ANN) through Hebbian Learning. We restrict the learning process to the neurons that effectively are in the convergence process. Our results indicate that the proposed method can reduce the temporal complexity of the ANN training without degrading the Principal Components obtained (PCs).

## 1 Introduction

In this work we focus in the Generalized Hebbian Algorithm (GHA) proposed by Sanger in 1989, which combines the Gram-Schmidt orthonormalization [1] to the single linear neuron model introduced by Oja in 1982 [7]. ANNs with one single layer of linear neurons trained according to the Hebbian learning rule perform Principal Components Analysis (PCA) in an input data set [7, 8, 4]

. After the convergence of the GHA algorithm, each neuron of the ANN yields:

(a) A set of synapse weights that are the eigenvector components associated to the respective eigenvalue of the input data set covariance matrix,

(b) An output value corresponding to the PC which represents the vectorial projection of the input data set over the associated eigenvector.

The PCA theory states that the set of the covariance matrix eigenvectors constitutes an orthonormal basis of the input data set [1]. The variance of the input data set projections are maximum at the eigenvectors directions, and the variance value is equal to the eigenvalue associated to that direction [7, 6].

During the convergence process the GHA extracts the PCs one by one, in variance descending order. In an ANN with  $m$  neurons  $N_j$ ,  $j = 0, 1, \dots, m - 1$ , the synapses of the neuron  $N_0$  converges to the eigenvector associated to the highest eigenvalue while the synapses of the neuron  $N_{m-1}$  converges to the eigenvector associated to the lowest eigenvalue.

It is well known that when GHA is applied, a neuron  $N_j$  will not converge until all neurons  $N_k < N_j$  have converged. However the adaptation of  $N_j$  will start before the neurons that immediately precede it have converged. Also after

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converged, it would be a waste of computational resources to keep updating its weights. Moreover, because only few neurons, which are immediately subsequent to the converging neuron  $N_c$ , will be near the convergence point, the updating of the synapse weights of the whole set of neurons  $N_s$ ,  $c < s < m - 1$ , also leads to unnecessary computations.

To avoid updating the synapses of all neurons that are not ready for convergence yet, we propose a new Training Window Algorithm (TWA). The TWA goal is to reduce the computational cost of the GHA training. This goal is achieved by applying the GHA only to a training window of size  $W_s < m$  neurons. For instance, if the neuron  $N_c$  is the first neuron in the training window, the GHA is applied only to neurons  $N_c, N_{c+1}, \dots, N_{c+W_s-1}$ . When the neuron  $N_c$  has converged, the window is slid down by incrementing the value  $N_c$ . This process is repeated until all  $m$  neurons have converged. Experimental results indicate that the TWA introduces a significant reduction of the GHA temporal complexity.

## 2 The Training Window Algorithm

In the experiments presented in this paper, we apply the TWA-GHA to digital image compression via PCA. We use images of  $N \times N$  pixels,  $N = 128$ , quantized in 256 gray levels. The images are partitioned in  $n_s = (N/8)^2$  frames of size  $l \times l$  pixels,  $l = 8$ . This  $n_s$  frames, after properly transformed, will form the ANN training set. The pixels values of the  $n^{th}$  frame are normalized to the interval  $[0, 1.0]$  and form frames  $M_n$ ,  $n = 0, 1, \dots, n_s - 1$  [5].

The ANN is made of  $p = 64$  nodes in the input layer and a single output layer with  $m = 16$  linear neurons. The goal is to extract the 16 PCs of the original image.

The  $n^{th}$  vector  $x(n)$  of the ANN training set is extracted from a frame  $M_n$  according to equation (1).

$$x_i(n) = M_n(a, b) - \bar{x}_i \quad (1)$$

where  $x_i(n)$  refers to the  $i^{th}$  element of the vector  $x(n)$  and

$$\bar{x}_i = \frac{1}{n_s} \sum_{n=0}^{n_s-1} M_n(a, b) \quad (2)$$

$$i = a + lb \quad (3)$$

To train the ANN, first we initialize the synapse weights randomly with uniform probability density. The learning rate  $\eta$  is initially set to a value around  $1 \times 10^{-3}$ . The presentation of one complete training set to the ANN constitutes one epoch. The ANN training is performed by presenting the training set for several epochs until the neuron synapses of the first neuron in the training window converge to its associated eigenvector. For each vector of the training set presented to the ANN the synapse weights of the neurons inside the training window are updated according to equation (4) and the neuron output values are given by equation (5). Remember that a neuron  $j$  is inside the training window if and only if  $N_c \leq j < N_c + W_s$ .

$$\Delta w_{ji}(n) = \eta y_j(n) \{x_i(n) - \sum_{k=0}^j w_{ki}(n) y_k(n)\} \quad (4)$$

$$y_j(n) = \sum_{i=0}^{p-1} w_{ji}(n) x_i(n) \quad (5)$$

In order to shuffle the training set, at the end of each epoch, we randomly select a pair of vectors in the set and permute their positions. This operation is performed  $n_s$  times using a random number generator with uniform probability density.

In order to adequate the training process to the converging neuron requirements, the learning rate applied to the neurons of the training window is changed at the end of each epoch according to equation (6).

$$\eta = \frac{1/\lambda}{\alpha} \quad (6)$$

where  $\lambda$  is the eigenvalue associated to neuron  $N_c$ , and  $\alpha$  is an arbitrary constant that usually lies in the interval  $[500, 2000]$  [2].

One iteration consists of presenting one vector of the training set to the ANN. Lets define  $\vec{W}$  as the vector that has its components defined by the weight of the neuron synapses. In this work the first neuron of the training window is considered to have converged at iteration  $n$  if the norm of  $\vec{W}$  remains approximately constant and unitary over the last 3 iterations. This convergence condition is achieved if the condition expressed in (7) holds true.

$$\frac{1}{3} \left\{ \sum_{k=0}^2 \frac{\|\vec{W}(n - \kappa - 1)\|}{\|\vec{W}(n - \kappa - 2)\|} \right\} - 1 < 1 \times 10^{-4} \quad (7)$$

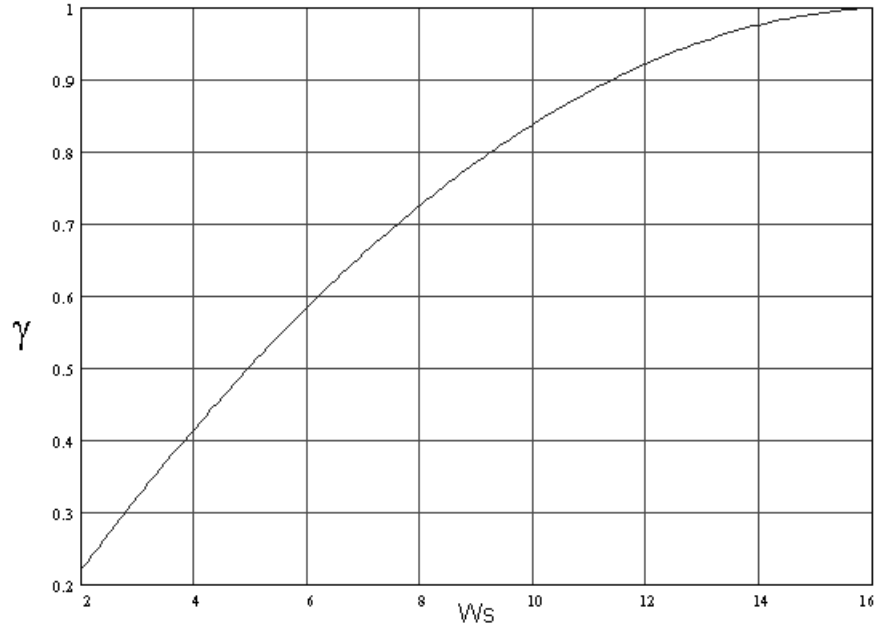


Figure 1: TWA comparative complexity performance for  $m = 16$  and  $p = 64$ .  $\gamma$  is the TWA-GHA to single GHA complexity ratio and  $W_s$  is the training window size.

### 3 Reconstructing the Estimated Image

After the convergence of all the neurons in the output layer, the set of synaptic weight of vectors  $\vec{W}_i$  is stored along with the output vectors  $\vec{Y}_i$  generated by applying each one of the  $n_s$  vectors  $\hat{x}_i$  of the original image to the input of the ANN.

To reconstruct the image from the stored information, we must build the approximations for the original frames  $\hat{M}_n(a, b)$ . This reconstruction is yielded by equations (8) and (9),

$$\hat{x}_i(n) = \sum_{j=0}^{r-1} y_j(n) q_{ji} + \bar{x}_i \quad (8)$$

$$\hat{M}_n(a, b) = 255 \times \hat{x}_i(n) \quad (9)$$

where

$$i = a + lb \quad (10)$$

$$a = 0, 1, \dots, l-1 \quad b = 0, 1, \dots, l-1 \quad n = 0, 1, \dots, n_s - 1 \quad (11)$$

and 255 is the pixel denormalization factor.

In the equations referred above,  $\hat{x}_i(n)$  represents the reconstruction of the  $n^{th}$  input vector

is the output of the  $j^{th}$  neuron to the  $n^{th}$  input vector and  $q_{ji}$  is the eigenvector associated to synapse vector  $\vec{W}$  of the  $j^{th}$  converged neuron. The index  $i = 0, 1, \dots, p-1$  represents the  $i^{th}$  element of the vectors  $\hat{x}(n)$ ,  $\bar{x}$ , and  $q_j$ .

The estimated original image is then re-assembled from the estimated frame set. The  $l \times l$  frames  $\hat{M}_n(a, b)$ ,  $l = 8$ ,  $n = 0, 1, \dots, n_s - 1$ , is assigned to the  $n^{th}$  respective frame in the  $128 \times 128$  estimated original image.

### 4 The TWA Computational Complexity

In this section we study the GHA-TWA computational complexity compared to the complexity of the original GHA [7]. Given two ANNs with identical training sets, both ANNs with  $m$  neurons and  $p$  synapses per neuron. Lets train one of the ANNs with GHA and the other with TWA-GHA with a training window of size  $W_s$ .

In order to simplify the mathematics involved, we will compare the two methods based on two assumptions:

- The difference between the number of epochs necessary to the convergence of neurons  $N_c$  and  $N_c + 1$  is a constant number  $\epsilon$ , where  $N_c$

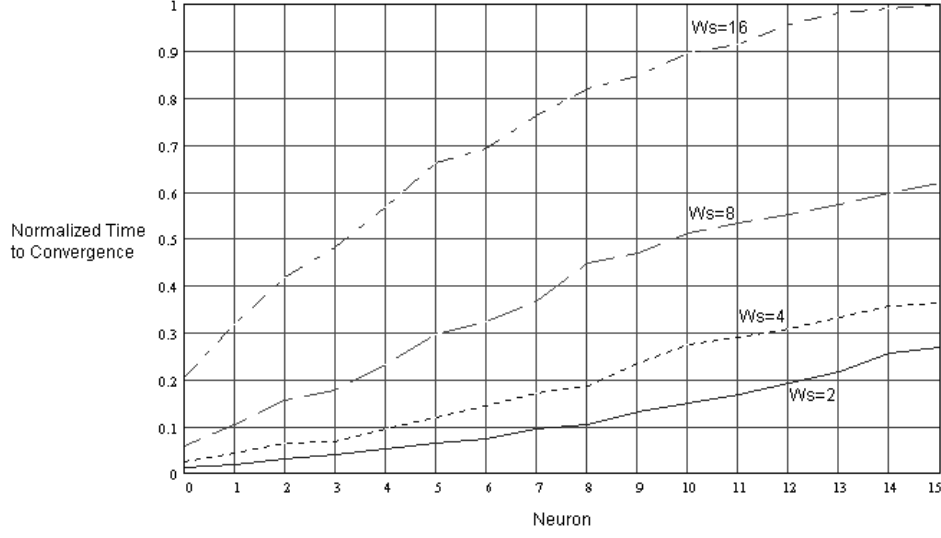


Figure 2: Convergence elapsed time normalized to the maximum value.  $W_s$  is the training window size. Training set obtained from Figure 4(a) with  $l = 8$  and  $n_s = 256$ . ANN:  $m = 16$ ,  $p = 64$ ,  $\alpha = 1000$  and initial  $\eta = 1 \times 10^{-3}$ .

window. This condition results from equation (6).

- b. The training window size  $W_s$  does not affect significantly the number of epochs necessary to the neurons convergence.

As we will see in Section 5, these are not unrealistic assumptions.

The most significant computational cost of the training is incurred in floating point operations. Therefore we will estimate the number of elementary floating point operations  $O$ , i.e., the number of sums and products, necessary to the convergence of all neurons [3].

The total number of operations  $O_{TW}$  necessary to train the ANN with the GHA-TWA, from equations (4) and (5), is giving by:

$$O_{TW} = \epsilon \left[ \frac{-1}{3} W_s^3 - (p + \frac{1}{2}) W_s^2 \right] + \epsilon W_s \left[ m^2 + 2(p + 1)m + \frac{5}{6} + p \right] \quad (12)$$

The total number of operations  $O_{GHA}$  necessary to train the ANN with the single GHA is obtained from (12) with  $W_s = m$ :

$$O_{GHA} = \epsilon \left[ \frac{2}{3} m^3 + (p + \frac{3}{2}) m^2 + (\frac{5}{6} + p) m \right] \quad (13)$$

Equation (14) defines the complexity reduction  $\gamma$  as the ratio of the GHA-TWA com-

$$\gamma = \frac{O_{TW}}{O_{GHA}} \quad (14)$$

Figure 1 shows the complexity reduction  $\gamma$  for  $m = 16$  and  $p = 64$ .

## 5 Experimental Results

In this section we present some experimental results to assess the TWA performance. The training set is obtained from the image in Figure 4(a) with  $l = 8$  and  $n_s = 256$ . Figures 4(b) and 4(c) show the decompressed image using  $W_s = 2$  and  $W_s = 16$  (without TWA). The Peak Signal to Noise Ratio (PSNR) between two images  $f(x, y)$  and  $\hat{f}(x, y)$  is defined by equation (15).

$$PSNR = 10 \log_{10} \left( \frac{255^2}{MSE} \right) \quad (15)$$

where the Mean Square Error (MSE) is defined as

$$MSE = \frac{1}{N^2} \sum_{x=0}^{N-1} \sum_{y=0}^{N-1} \left( \hat{f}(x, y) - f(x, y) \right)^2 \quad (16)$$

The PSNR is a similarity measure between images. Notice that the PSNR of images in Figure 4(b) and Figure 4(c) with respect to the orig-

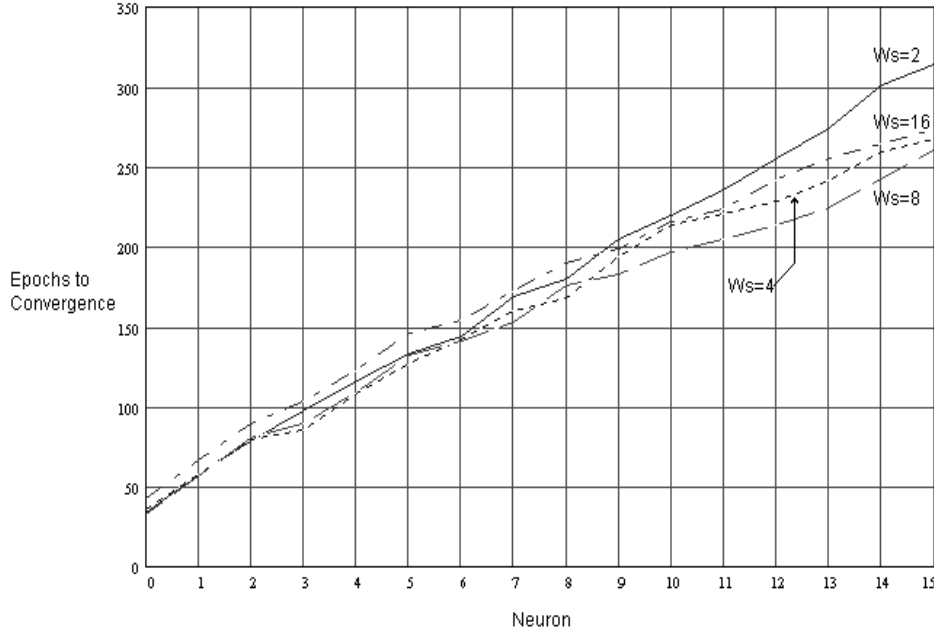


Figure 3: Number of epochs to convergence.  $W_s$  is the training window size. Training set obtained from Figure 4(a) with  $l = 8$  and  $n_s = 256$ . ANN:  $m = 16$ ,  $p = 64$ ,  $\alpha = 1000$  and initial  $\eta = 1 \times 10^{-3}$

that the TWA does not affect the precision of the obtained PCs.

Figure 2 shows the convergence time to each neuron normalized to the maximum value (without TWA). We can verify that the normalized convergence time to neuron 15 for  $W_s = 2$ ,  $W_s = 4$  and  $W_s = 8$  are quite close to the respective theoretical  $\gamma$  values in Figure 1.

With  $W_s = 2$ , we can see from Figure 1 that the theoretical complexity reduction is approximately 0.22 and from Figure 2 we can verify that the experimental complexity reduction is approximately 0.27. Thus the GHA complexity was reduced approximately by a factor of 4 with no degradation of the reconstructed image PSNR.

Because the learning rate is adjusted by equation (6) at the end of each epoch and due to the random synapses initialization values, the use of  $W_s = 1$  is not feasible. With  $W_s = 1$ , after the convergence of the neuron  $N_c$ , the initial learning rate imposed to the next neuron  $N_c$  depends on an eigenvalue obtained from random synapses values. Depending on these synapses values there will be floating point overflow due to a high learning rate. That does not happen with  $W_s > 1$  because all neurons subsequent to  $N_c$  in the training window have their synapse values already updated. Therefore, the set of synapses

value.

Figure 3 shows the number of epochs to the convergence of each neuron for  $W_s = 2$ ,  $W_s = 4$ ,  $W_s = 8$  and  $W_s = 16$ . Notice that, as assumed in Section 2, the TWA does not affect significantly this parameter and that the number of epochs per neuron is nearly constant.

## 6 Conclusion

In this work we presented the Training Window Algorithm as a proposal to reduce the computational complexity of the General Hebbian Algorithm. This training method reduces the GHA global computational complexity without any degradation of the extracted PCs. Future work will include an attempt to store the samples of the deflation term in equation (4) in an auxiliary matrix, in order to avoid its repeated recomputation.

## References

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Figure 4:  $128 \times 128$  pixels 256 gray levels images. Figure 4(b) is the re-expanded original image of Figure 4(a) previously compressed with 16 PCs and with  $W_s = 2$ . Figure 4(c) is the re-expanded original image of Figure 4(a) previously compressed with 16 PCs and without TWA. Both images present  $PSNR = 31.5dB$ .

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