

# CMPUT 325 - Functional Programming

Dr. B. Price & Dr. R. Greiner

14th September 2004

# Functional Programming in *Lisp*

- ▶ The Theory of Functions

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*
  - ▶ Overview

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*
  - ▶ Overview
  - ▶ Data Structures (The S-expression)

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*
  - ▶ Overview
  - ▶ Data Structures (The S-expression)
  - ▶ Built-in Functions + Predicates

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*
  - ▶ Overview
  - ▶ Data Structures (The S-expression)
  - ▶ Built-in Functions + Predicates
  - ▶ Evaluation (Forms)

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*
  - ▶ Overview
  - ▶ Data Structures (The S-expression)
  - ▶ Built-in Functions + Predicates
  - ▶ Evaluation (Forms)
  - ▶ Lambda-Expressions

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*
  - ▶ Overview
  - ▶ Data Structures (The S-expression)
  - ▶ Built-in Functions + Predicates
  - ▶ Evaluation (Forms)
  - ▶ Lambda-Expressions
  - ▶ Special Forms

# Functional Programming in *Lisp*

- ▶ The Theory of Functions
- ▶ *LispBasics*
  - ▶ Overview
  - ▶ Data Structures (The S-expression)
  - ▶ Built-in Functions + Predicates
  - ▶ Evaluation (Forms)
  - ▶ Lambda-Expressions
  - ▶ Special Forms
  - ▶ Functional Arguments + Label Lambda-Expressions

# Issues in Functional Programming

- ▶ Issues

# Issues in Functional Programming

- ▶ Issues
  - ▶ Recusion, Variables, Efficiency

# Issues in Functional Programming

## ► Issues

- ▶ Recusion, Variables, Efficiency
- ▶ Funarg Problem (Scoping)

# Issues in Functional Programming

## ► Issues

- ▶ Recusion, Variables, Efficiency
- ▶ Funarg Problem (Scoping)
- ▶ Program=Data (EVAL, NLAMBDA, OOP)

# Issues in Functional Programming

## ► Issues

- ▶ Recusion, Variables, Efficiency
- ▶ Funarg Problem (Scoping)
- ▶ Program=Data (EVAL, NLAMBDA, OOP)
- ▶ Lambda Calculus

# Issues in Functional Programming

## ► Issues

- ▶ Recusion, Variables, Efficiency
- ▶ Funarg Problem (Scoping)
- ▶ Program=Data (EVAL, NLAMBDA, OOP)
- ▶ Lambda Calculus
- ▶ SECD Machine

# Issues in Functional Programming

## ► Issues

- ▶ Recusion, Variables, Efficiency
- ▶ Funarg Problem (Scoping)
- ▶ Program=Data (EVAL, NLAMBDA, OOP)
- ▶ Lambda Calculus
- ▶ SECD Machine
- ▶ Lazy evaluation and Series

# Issues in Functional Programming

- ▶ Issues

- ▶ Recusion, Variables, Efficiency
- ▶ Funarg Problem (Scoping)
- ▶ Program=Data (EVAL, NLAMBDA, OOP)
- ▶ Lambda Calculus
- ▶ SECD Machine
- ▶ Lazy evaluation and Series

- ▶ Example (polynomials)

# "Non-Functional" *Lisp*

- ▶ Practical “Extensions” to *Lisp*

# "Non-Functional" *Lisp*

- ▶ Practical “Extensions” to *Lisp*
  - ▶ Functions with Side effects

# "Non-Functional" *Lisp*

- ▶ Practical “Extensions” to *Lisp*
  - ▶ Functions with Side effects
  - ▶ Numbers

# "Non-Functional" *Lisp*

- ▶ Practical “Extensions” to *Lisp*
  - ▶ Functions with Side effects
  - ▶ Numbers
  - ▶ Dotted-Pair, Association & Property Lists

# "Non-Functional" *Lisp*

- ▶ Practical “Extensions” to *Lisp*
  - ▶ Functions with Side effects
  - ▶ Numbers
  - ▶ Dotted-Pair, Association & Property Lists
  - ▶ Lisp qua Procedural Languages

## Relations – Definition

- ▶ A n-ary relation relates items drawn from sets

## Relations – Definition

- ▶ A n-ary relation relates items drawn from sets
- ▶ The set of performer and tune can be defined by a relation

## Relations – Definition

- ▶ A n-ary relation relates items drawn from sets
- ▶ The set of performer and tune can be defined by a relation
- ▶ A relation has two parts:

## Relations – Definition

- ▶ A n-ary relation relates items drawn from sets
- ▶ The set of performer and tune can be defined by a relation
- ▶ A relation has two parts:
  - ▶ The sets in the relation  $X_1, X_2, \dots, X_n$ .

## Relations – Definition

- ▶ A n-ary relation relates items drawn from sets
- ▶ The set of performer and tune can be defined by a relation
- ▶ A relation has two parts:
  - ▶ The sets in the relation  $X_1, X_2, \dots, X_n$ .
  - ▶ A "graph" over the tuples taken from the elements which maps each tuple to true or false:  $G : X_1 \times X_2 \times \dots \times X_n \rightarrow \mathcal{B}$

## Relations – Definition

- ▶ A n-ary relation relates items drawn from sets
- ▶ The set of performer and tune can be defined by a relation
- ▶ A relation has two parts:
  - ▶ The sets in the relation  $X_1, X_2, \dots, X_n$ .
  - ▶ A "graph" over the tuples taken from the elements which maps each tuple to true or false:  $G : X_1 \times X_2 \times \dots \times X_n \rightarrow \mathcal{B}$
- ▶ E.g. Perhaps:  $G(\text{rolling\_stones}, \text{start\_me\_up}) \rightarrow \text{true}$

## Relations – Definition

- ▶ A n-ary relation relates items drawn from sets
- ▶ The set of performer and tune can be defined by a relation
- ▶ A relation has two parts:
  - ▶ The sets in the relation  $X_1, X_2, \dots, X_n$ .
  - ▶ A "graph" over the tuples taken from the elements which maps each tuple to true or false:  $G : X_1 \times X_2 \times \dots \times X_n \rightarrow \mathcal{B}$
- ▶ E.g. Perhaps:  $G(\text{rolling\_stones}, \text{start\_me\_up}) \rightarrow \text{true}$
- ▶ Note each performer has many songs, each song can have multiple performers

## Functions – Definition

- ▶ Def'n: A function  $f$  is a *mapping*
  - ▶ from one set,  $D$  (the domain),
  - ▶ to another set,  $R$  (the range),
  - ▶ where  $f$  has **exactly one** value for **every** domain element

# Functions – Definition

- ▶ Def'n: A function  $f$  is a *mapping*
  - ▶ from one set,  $D$  (the domain),
  - ▶ to another set,  $R$  (the range),
  - ▶ where  $f$  has **exactly one** value for **every** domain element
- ▶ Formally:
  - ▶  $f(d)$  defines **at least one** value:  $\forall d \in D. \exists r \in R. f(d) = r$
  - ▶  $f(d)$  defines **at most one** value:  
$$\forall d \in D. \forall r, s \in R. [f(d) = r \wedge f(d) = s] \Rightarrow r = s$$

## Examples of Functions

- ▶ The age of a person is a function.
  - ▶ Formally: **age-of(Mary)=15**

## Examples of Functions

- ▶ The age of a person is a function.
  - ▶ Formally: **age-of**(Mary)=15
- ▶ The address of a department is a function.
  - ▶ Formally: **birth-mother**(russ)=claire

## Examples of Functions

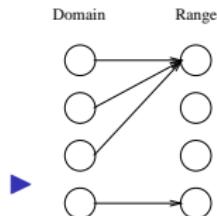
- ▶ The age of a person is a function.
  - ▶ Formally: **age-of**(Mary)=15
- ▶ The address of a department is a function.
  - ▶ Formally: **birth-mother**(russ)=claire
- ▶ The square of a number is a function.
  - ▶ Formally:  $3^2 = 9$

# Example Functions as Maps

Function	Domain Set	Range Set
age-of	all persons	positive reals
birth-mother	all people	people
square	numbers	numbers

# Functions and Non-functions

Are these examples functions?



# Functions and Non-functions

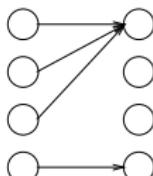
Are these examples functions?

- Domain                  Range
- 
- ```
graph LR; D1(( )) --> R1(( )); D2(( )) --> R1(( )); D3(( )) --> R1(( )); D4(( )) --> R2(( ));
```
- ▶ Yes. Every  $d$  has an  $r$  (e.g.  $\text{age-of}(d)$ )

# Functions and Non-functions

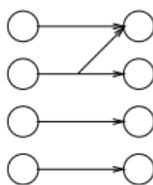
Are these examples functions?

Domain      Range



- ▶ Yes. Every  $d$  has an  $r$  (e.g.  $\text{age-of}(d)$ )

Domain      Range

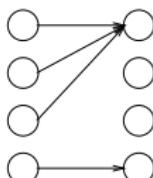


- ▶

# Functions and Non-functions

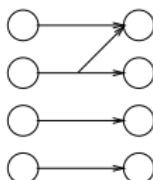
Are these examples functions?

Domain      Range



- ▶ Yes. Every  $d$  has an  $r$  (e.g.  $\text{age-of}(d)$ )

Domain      Range

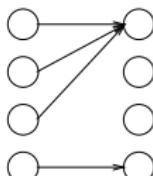


- ▶ No. Exists  $d$  with multiple  $r$  (e.g.  $\text{parent-of}(d)$ )

# Functions and Non-functions

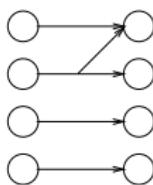
Are these examples functions?

Domain      Range



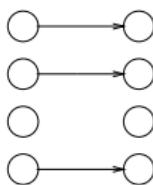
- ▶ Yes. Every  $d$  has an  $r$  (e.g.  $\text{age-of}(d)$ )

Domain      Range



- ▶ No. Exists  $d$  with multiple  $r$  (e.g.  $\text{parent-of}(d)$ )

Domain      Range

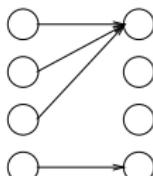


- ▶

# Functions and Non-functions

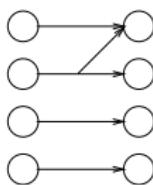
Are these examples functions?

Domain      Range



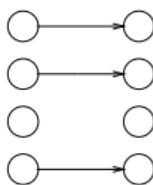
- ▶ Yes. Every  $d$  has an  $r$  (e.g.  $\text{age-of}(d)$ )

Domain      Range



- ▶ No. Exists  $d$  with multiple  $r$  (e.g.  $\text{parent-of}(d)$ )

Domain      Range



- ▶ No. Exists  $d$  with no  $r$  (e.g.  $\sqrt{d}$ )

## N-ary Functions

- ▶ N-ary domain is the cross product of unary domains

## N-ary Functions

- ▶ N-ary domain is the cross product of unary domains
  - ▶ The domain of positive integers is:  $\mathcal{Z}^+ = \{0, 1, 2, 3, \dots\}$

# N-ary Functions

- ▶ N-ary domain is the cross product of unary domains
  - ▶ The domain of positive integers is:  $\mathcal{Z}^+ = \{0, 1, 2, 3, \dots\}$
  - ▶ The domain of integer pairs is:

$$\mathcal{Z}^2 = \mathcal{Z} \times \mathcal{Z} = \begin{bmatrix} (0, 0) & (0, 1) & \dots \\ (1, 0) & (1, 1) & \\ \vdots & & \ddots \end{bmatrix}$$

# N-ary Functions

- ▶ N-ary domain is the cross product of unary domains
  - ▶ The domain of positive integers is:  $\mathcal{Z}^+ = \{0, 1, 2, 3, \dots\}$
  - ▶ The domain of integer pairs is:

$$\mathcal{Z}^2 = \mathcal{Z} \times \mathcal{Z} = \begin{bmatrix} (0, 0) & (0, 1) & \dots \\ (1, 0) & (1, 1) & \\ \vdots & & \ddots \end{bmatrix}$$

- ▶ Each element of  $\mathcal{Z}^2$  is a binary tuple

# N-ary Functions

- ▶ N-ary domain is the cross product of unary domains
  - ▶ The domain of positive integers is:  $\mathcal{Z}^+ = \{0, 1, 2, 3, \dots\}$
  - ▶ The domain of integer pairs is:  
$$\mathcal{Z}^2 = \mathcal{Z} \times \mathcal{Z} = \begin{bmatrix} (0, 0) & (0, 1) & \dots \\ (1, 0) & (1, 1) & \\ \vdots & & \ddots \end{bmatrix}$$
  - ▶ Each element of  $\mathcal{Z}^2$  is a binary tuple
- ▶ Sum is a binary function mapping tuples  $(z_1, z_2) \in \mathcal{Z}^2$  to elements in  $\mathcal{Z}$

$$+ : \mathcal{Z} \times \mathcal{Z} \rightarrow \mathcal{Z}$$

## Vector Functions

- ▶ **range** is the cross product of unary domains

# Vector Functions

- ▶ **range** is the cross product of unary domains

- ▶ The range of real pairs is:

$$\mathcal{R}^2 = \mathcal{R} \times \mathcal{R} = \left[ \begin{array}{ccc} (-\infty, -\infty) & & \dots \\ & \ddots & \\ \vdots & & (+\infty, +\infty) \end{array} \right]$$

# Vector Functions

- **range** is the cross product of unary domains

- The range of real pairs is:

$$\mathcal{R}^2 = \mathcal{R} \times \mathcal{R} = \begin{bmatrix} (-\infty, -\infty) & & \dots \\ & \ddots & \\ \vdots & & (+\infty, +\infty) \end{bmatrix}$$

- Each element of  $\mathcal{R}^2$  is a binary tuple  $(r_1, r_2)$  where  $r_1, r_2 \in \mathcal{R}$

# Vector Functions

- ▶ **range** is the cross product of unary domains

- ▶ The range of real pairs is:

$$\mathcal{R}^2 = \mathcal{R} \times \mathcal{R} = \begin{bmatrix} (-\infty, -\infty) & & \dots \\ & \ddots & \\ \vdots & & (+\infty, +\infty) \end{bmatrix}$$

- ▶ Each element of  $\mathcal{R}^2$  is a binary tuple  $(r_1, r_2)$  where  $r_1, r_2 \in \mathcal{R}$
- ▶ The rectangular-to-polar-coordinates function, `aspolar`, maps  $\mathcal{R}^2$  to radius-angle space  $\mathcal{R} \times \Theta$

$$\text{aspolar} : \mathcal{R}^2 \rightarrow \mathcal{R} \times \Theta$$

## Vector Functions

- ▶ **range** is the cross product of unary domains

- ▶ The range of real pairs is:

$$\mathcal{R}^2 = \mathcal{R} \times \mathcal{R} = \begin{bmatrix} (-\infty, -\infty) & & \dots \\ & \ddots & \\ \vdots & & (+\infty, +\infty) \end{bmatrix}$$

- ▶ Each element of  $\mathcal{R}^2$  is a binary tuple  $(r_1, r_2)$  where  $r_1, r_2 \in \mathcal{R}$
- ▶ The rectangular-to-polar-coordinates function, `aspolar`, maps  $\mathcal{R}^2$  to radius-angle space  $\mathcal{R} \times \Theta$

$$\text{aspolar} : \mathcal{R}^2 \rightarrow \mathcal{R} \times \Theta$$

- ▶ **NOTE: for each element of the domain a vector function returns a exactly one tuple**

# Function Functions

- ▶ N-ary domain of objects

# Function Functions

- ▶ N-ary domain of objects
- ▶ Range is the space of functions

# Function Functions

- ▶ N-ary domain of objects
- ▶ Range is the space of functions
- ▶ Example: Machine Learning Neural Network
  - ▶ Domain: labeled set of examples and learning algorithm
  - ▶ Range: a function  $f$  that can be used to predict labels of unseen data
  - ▶ Mapping: learner :  $\mathcal{D} \rightarrow \mathcal{R}$

# Function Functions

- ▶ N-ary domain of objects
- ▶ Range is the space of functions
- ▶ Example: Machine Learning Neural Network
  - ▶ Domain: labeled set of examples and learning algorithm
  - ▶ Range: a function  $f$  that can be used to predict labels of unseen data
  - ▶ Mapping: learner :  $\mathcal{D} \rightarrow \mathcal{R}$
- ▶ Sample Data point: ( (5,1) (-2, -1) (3,1) (11,1) (-9, -1) (-20,-1) )

# Function Functions

- ▶ N-ary domain of objects
- ▶ Range is the space of functions
- ▶ Example: Machine Learning Neural Network
  - ▶ Domain: labeled set of examples and learning algorithm
  - ▶ Range: a function  $f$  that can be used to predict labels of unseen data
  - ▶ Mapping: learner :  $\mathcal{D} \rightarrow \mathcal{R}$
- ▶ Sample Data point: ( (5,1) (-2, -1) (3,1) (11,1) (-9, -1) (-20,-1) )
- ▶ Sample Range value:  $f(x) = \text{if } x > 0 \text{ return } 1 \text{ else return } -1$

## Function Application Notation

- ▶ We say that “ $f$  is applied to an argument of  $D$  to give a value in  $R$ .”

## Function Application Notation

- ▶ We say that “ $f$  is applied to an argument of  $D$  to give a value in  $R$ .”
- ▶ Ways of indicating function application:

## Function Application Notation

- ▶ We say that “ $f$  is applied to an argument of  $D$  to give a value in  $R$ .”
- ▶ Ways of indicating function application:
  - ▶ Infix notation: function name between arguments  
General form:  $a_1 \text{ fn } a_2$  (e.g.  $5 + 7$ )

# Function Application Notation

- ▶ We say that “ $f$  is applied to an argument of  $D$  to give a value in  $R$ .”
- ▶ Ways of indicating function application:
  - ▶ Infix notation: function name between arguments  
General form:  $a_1 \text{ fn } a_2$  (e.g.  $5 + 7$ )
  - ▶ Prefix notation: function name before arguments  
General form:  $\text{fn}(a_1, a_2, \dots)$   
(e.g.  $+(5, 7)$ ,  $\text{distance-between( ottawa, edmonton) }$ )

# Function Application Notation

- ▶ We say that “ $f$  is applied to an argument of  $D$  to give a value in  $R$ .”
- ▶ Ways of indicating function application:
  - ▶ Infix notation: function name between arguments  
General form:  $a_1 \text{ fn } a_2$  (e.g.  $5 + 7$ )
  - ▶ Prefix notation: function name before arguments  
General form:  $\text{fn}(a_1, a_2, \dots)$   
(e.g.  $+(5, 7)$ ,  $\text{distance-between( ottawa, edmonton )}$ )
  - ▶ Postfix notation: function name follows arguments  
General form:  $a_1, a_2, \dots \text{ fn}$  (e.g.  $(5, 7) +$ "Reverse Polish notation")

# Equivalence of Notations

- ▶ Notations are equivalent, though there are preferred conventions:

# Equivalence of Notations

- ▶ Notations are equivalent, though there are preferred conventions:
  - ▶  $+(1, 2) \equiv (1 + 2) \equiv (1, 2)+$

# Equivalence of Notations

- ▶ Notations are equivalent, though there are preferred conventions:
  - ▶  $+(1, 2) \equiv (1 + 2) \equiv (1, 2) +$
  - ▶  $\text{grade-of(first-name, lastname)}$   
 $\equiv \text{first-name grade-of last-name}$   
 $\equiv \text{first-name last-name grade-of}$

# Image and Preimage

- ▶ Def'n: image of  $d \in D$  under function  $f$  is the result  $r \in R$

## Image and Preimage

- ▶ Def'n: image of  $d \in D$  under function  $f$  is the result  $r \in R$
- ▶ Def'n: preimage or inverse of  $r \in R$  under function  $f$  is the element(s) of  $d \in D$  that result in  $r$

# Composing Functions

- ▶ Def'n: The application of a function to result of another function

## Composing Functions

- ▶ Def'n: The application of a function to result of another function
- ▶ Notation:  $f \circ g$ , means  $f$  applied to result of  $g$

# Composing Functions

- ▶ Def'n: The application of a function to result of another function
- ▶ Notation:  $f \circ g$ , means  $f$  applied to result of  $g$
- ▶ Note: Domain of outer function must accept result of inner function  
 $\text{domain}(f) \supseteq \text{range}(g)$

# Composing Functions

- ▶ Def'n: The application of a function to result of another function
- ▶ Notation:  $f \circ g$ , means  $f$  applied to result of  $g$
- ▶ Note: Domain of outer function must accept result of inner function  
 $\text{domain}(f) \supseteq \text{range}(g)$
- ▶ Given the factorial function  $!$  and the sum function  $+$ , their composition is:  $! \circ +$

# Composing Functions

- ▶ Def'n: The application of a function to result of another function
- ▶ Notation:  $f \circ g$ , means  $f$  applied to result of  $g$
- ▶ Note: Domain of outer function must accept result of inner function  
 $\text{domain}(f) \supseteq \text{range}(g)$
- ▶ Given the factorial function  $!$  and the sum function  $+$ , their composition is:  $! \circ +$
- ▶ Example:  $! \circ +(2, 3) = ![+(2, 3)] = !(5) = 120$   $! \circ +$  is a function  
taking two real numbers, and  
returning factorial if their sum  $\in \mathcal{Z}^+$