

CMPUT 325 : Lambda Calculus Basics

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Lambda Calculus

- ▶ Lambda calculus serves as a formal technique for defining the semantics of functional programming:
 - ▶ Defines referentially transparent naming mechanism by formally specifying parameter passing mechanisms and scoping rules
 - ▶ Represents basic data types in terms of functions
 - ▶ Implements recursion without violating referential transparency
 - ▶ Provides a model for interpreters for functional languages

Computation as Rewriting

- ▶ Computation transforms existing values into resulting values
- ▶ In λ -Calculus
 - ▶ Represent initial values as λ -Calculus expressions
 - ▶ Transform λ -Calculus expressions into new expressions
 - ▶ Interpret resulting λ -Calculus expression to get result
- ▶ Transformations \equiv rewriting expressions according to rules
- ▶ If rule ρ transforms λ -Calculus expression E_1 to E_2 write

$$E_1 \xrightarrow{\rho} E_2$$

Preservation of Semantics

- ▶ Every transformed expression preserves semantics of expression
 - ▶ Represent: $2+0$ as λ -calculus expression E_1
 - ▶ Transform $E_1 \xrightarrow{\rho} E_2$
 - ▶ Eg, transform “ $2+0$ ” into “ 2 ”, or transform “ $4*(2+0)$ ” into “ $4*2$ ”
 - ▶ Now E_2 must still represent $2+0$ (perhaps “ 2 ”)

Syntax

- ▶ Lambda Calculus expressions use
 - ▶ lower case letters: $\{ a, b, c, d, \dots \}$
 - ▶ four symbols: $\lambda \mid ()$
- ▶ Each letter represents a function
- ▶ Three kinds of expressions
 - ▶ function constant
 - ▶ function definition
 - ▶ function application

Examples of Lambda Calculus Expressions

f	a <i>function</i> identifier
$(f\ g)$	an application of <i>function</i> f to g
$(\lambda x \mid (x\ y))$	definition of <i>function</i> with parameter x and body $(x\ y)$ Body is an application!
$(\lambda y \mid (\lambda x \mid (y\ (y\ x))))$	definition of <i>function</i> with parameter y and body $(\lambda x \mid (y\ (y\ x)))$

Formal BNF Grammar

$\langle \text{expression} \rangle := \langle \text{identifier} \rangle \mid \langle \text{application} \rangle \mid \langle \text{function} \rangle$

$\langle \text{application} \rangle := "(" \langle \text{expression} \rangle \langle \text{expression} \rangle ")"$

$\langle \text{function} \rangle := "(\lambda" \langle \text{identifier} \rangle "|" \langle \text{expression} \rangle ")"$

$\langle \text{identifier} \rangle := a \mid b \mid c \mid \dots$

The λ Definition

- ▶ Function definitions have the form: $(\lambda \langle \text{identifier} \rangle \mid \langle \text{expression} \rangle)$
- ▶ λ is followed by a *single* identifier, called a *formal parameter* or *variable*
- ▶ When the λ is applied to an argument **E**, the *formal parameter* will bind to **E**.
Below the $\langle \text{identifier} \rangle x$ binds to value y

$((\lambda x \mid \langle \text{body} \rangle) y)$

- ▶ Appearances of the identifier in the body of the λ are called *instances*

- ▶ Every instance refers to the same expression — the one λ was called on. In the example below, each instance of x refers to y .

$((\lambda x \mid (\underbrace{x} \quad \underbrace{x})) y)$

instance instance

Notational Conveniences

- ▶ Where order of operations is clear, can drop brackets
- ▶ Can use spacing arbitrarily to aid readability
 - ▶ In function definition

$$(\lambda x | (x y)) \equiv (\lambda x | x y) \equiv (\lambda x | xy)$$

- ▶ In function application

$$(f g) \equiv f g \equiv fg$$

Associativity of λ -calculus operators

- ▶ *Associative* operators like integer addition can be composed in any order

$$(1+2)+3 = 1 + (2+3)$$

- ▶ *Non-associative* operators like subtraction *cannot* be composed in any order

$$(5-3)-2 \neq 5-(3-2)$$

- ▶ λ -application is not associative
(λC must be able to represent non-associative functions!)
- ▶ By convention, λ -application is *left-associative*... terms group *from the left*

$$f g h \equiv ((f g) h) \neq (f (g h))$$

More Left-associativity Examples

$f\ g\ h \stackrel{?}{\equiv} (f\ g)\ h$ **YES**

$(\lambda a\ | (a\ (a\ b))) \stackrel{?}{\equiv} (\lambda a\ | a\ a\ b)$ **NO**

$(\lambda z\ | (a\ (\lambda y\ | b))) \stackrel{?}{\equiv} (\lambda z\ | a\ (\lambda y\ | b))$ **YES**

$a\ b\ (c\ d) \stackrel{?}{\equiv} a\ b\ c\ d$ **NO**

$(a\ b)\ c\ d \stackrel{?}{\equiv} a\ b\ c\ d$ **YES**



Free and Bound Variables

- ▶ An instance of variable v is *bound* in expression E when it is:
 - ▶ a formal parameter of a λ
 - ▶ it is enclosed by a λ with parameter v within the expression E

$(\underbrace{\lambda x}_{\text{bound}}\ | z)$
 $(\underbrace{\lambda x}_{\text{bound}}\ | \underbrace{x}_{\text{bound}})$
 $(\underbrace{\lambda x}_{\text{bound}}\ | y\ \underbrace{x}_{\text{bound}}\ z)$
 $(\underbrace{\lambda x}_{\text{bound}}\ | (\lambda \underbrace{y}_{\text{bound}}\ | \underbrace{x}_{\text{bound}}\ \underbrace{y}_{\text{bound}}))$
 $(\underbrace{\lambda x}_{\text{bound}}\ | (\lambda \underbrace{y}_{\text{bound}}\ | \underbrace{x}_{\text{bound}}\ \underbrace{y}_{\text{bound}}))$



Free and Bound Variables

- ▶ A variable that is not bound is *free*

$$\begin{array}{c}
 \underbrace{y}_{\text{free}} \\
 (\underbrace{\lambda x}_{\text{bound}} \mid \underbrace{y}_{\text{free}}) \\
 (\underbrace{y}_{\text{free}} (\underbrace{\lambda y}_{\text{bound}} \mid \underbrace{y}_{\text{free}})) \\
 (\underbrace{\lambda x}_{\text{bound}} \mid (\underbrace{\mid}_{\text{bound}} \underbrace{q}_{\text{free}} \underbrace{y}_{\text{free}}))
 \end{array}$$

- ▶ Bound and free instances of same variable within an expression:

$$\begin{array}{c}
 (\underbrace{\lambda x}_{\text{bound}} \mid \underbrace{x}_{\text{bound}} \underbrace{y}_{\text{free}} (\underbrace{\lambda y}_{\text{bound}} \mid \underbrace{x}_{\text{bound}} \underbrace{y}_{\text{bound}} \underbrace{z}_{\text{free}}) \underbrace{y}_{\text{free}})
 \end{array}$$

More on Variables in λ -calculus

- ▶ Free variables in an expression can be later bound in an enclosing expression

$$\begin{array}{c}
 (\underbrace{\lambda x}_{\text{bound}} \mid \underbrace{x}_{\text{bound}} \underbrace{y}_{\text{free}}) \\
 (\underbrace{\lambda y}_{\text{bound}} (\underbrace{\lambda x}_{\text{bound}} \mid \underbrace{x}_{\text{bound}} \underbrace{y}_{\text{bound}}))
 \end{array}$$

- ▶ Variables in λ -calculus derive their meaning from the argument the enclosing λ is applied to
 - ▶ They cannot be "assigned" a new "value"

More Convenience: Collapsing Enclosing λ 's

- ▶ The scope of a λ is the expression to which its bindings apply

$(\lambda x \mid (\lambda y \mid y) x) ((\lambda w \mid w) v)$

- ▶ Scope of **outer** λ includes $(\lambda y \mid y) x$

- ▶ If the scopes of nested λ 's coincide, the arguments can be coalesced into a multi-argument λ

$(\lambda x \mid (\lambda y \mid x y)) \equiv (\lambda xy \mid xy)$

- ▶ Just notational convenience!!

λ -calculus Computation

- ▶ λ -calculus computation is ...

Reducing complex expression to “simpler” form

- ▶ $(\lambda z \mid (z y)) w \rightarrow (w y)$

[Every occurrence of $z \rightarrow w$]

- ▶ $(\lambda z \mid (z y)) (\lambda w \mid w) \rightarrow ((\lambda w \mid w) y)$

[Every occurrence of $z \rightarrow (\lambda w \mid w)$]

$((\lambda w \mid w) y) \rightarrow y$

[Every occurrence of $w \rightarrow y$]

- ▶ Need to

“Replace every occurrence of z in $(z y)$ with $(\lambda w \mid w)$ ”

“Replace every occurrence of $\langle \text{identifier} \rangle$ in $\langle \text{expression} \rangle$ with $\langle \text{expression}' \rangle$ ”

- ▶

Substitution

- ▶ λ -calculus rules uses a special substitution
- ▶ Generally: write substitution of x for y in expression $\langle E \rangle$ as: $[x/y] \langle E \rangle$

$$[x/y] (z \ y) \rightarrow (z \ x)$$

$$[(a \ b)/y] (\lambda z \mid (z \ y)) \rightarrow (\lambda z \ (z \ (a \ b)))$$

- ▶ In λ -calculus, only *free* variables are replaced:

$$[x/y] (z \ (\lambda y \mid z \ y)) \rightarrow (z \ (\lambda y \mid z \ y))$$

Legal Substitution

- ▶ Legal substitutions do not change meaning of an expression
 - ▶ Legal: Substitute x for y

$$[x/y] (\lambda z \mid yz) \rightarrow (\lambda z \mid xz)$$

- ▶ *Illegal substitutions* introduce bindings not present in original expressions

$$[z/y] (\lambda z \mid yz) \not\rightarrow (\lambda z \mid zz)$$

- ▶ Illegal because variable named y in $(\lambda z \mid yz)$ was free but now, as z , is bound

$$[(\lambda x \mid xz) / y] (\lambda z \mid yz) \not\rightarrow (\lambda z \mid (\lambda x \mid xz)z)$$

- ▶ Illegal because z was free in $(\lambda x \mid xz)$ but now is bound

β (Beta Rule): Function Application

- ▶ A *function application* $((\lambda x \mid \langle E \rangle) \langle F \rangle)$ has function $(\lambda x \mid \langle E \rangle)$ and argument $\langle F \rangle$
- ▶ β -rule: apply $(\lambda x \mid \langle E \rangle)$ to $\langle F \rangle$
 \equiv
substitute $\langle F \rangle$ for every *free* occurrence of x in body $\langle E \rangle$
 $\text{eval} [(\lambda x \mid \langle E \rangle) \langle F \rangle]$
 $\equiv [\langle F \rangle / x]_{\lambda} E$ if $[\langle F \rangle / x]_{\lambda}$ is legal
- ▶ β defines a relationship between manipulation of symbols and a computation

Substitution Legality and the β -rule

- ▶ β -rule starts with application: $((\lambda x \mid \langle E \rangle) \langle F \rangle)$
- ▶ Substitution is *illegal* only if
 \exists free occurrences of variables in $\langle F \rangle$ that would become bound in $\langle E \rangle$
- ▶ Later, a way to fix things when a substitution would be illegal

β example: constant argument

$(\lambda \underline{f} \mid (\underline{f} \ x)) \ s$

$\xrightarrow{\beta} [s/\underline{f}] (\underline{f} \ x)$

free variables in s that would get bound?

No, go ahead and substitute

$\equiv (s \ x)$

Can we do more? No - normal form

β example: λ argument

$((\lambda \underline{f} \mid (\underline{f} \ x)) (\lambda y \mid y))$

$\xrightarrow{\beta} [(\lambda y \mid y)/\underline{f}] (\underline{f} \ x)$

Free vars in $(\lambda y \mid y)$ get bound? No.

$\equiv ((\lambda y \mid y) \ x)$

$((\lambda y \mid y) \ x)$

$\xrightarrow{\beta} [x / y] \ y$

Free vars in x get bound? No.

$\equiv x$

β example: constant substitutions

$((\lambda \underline{f} \mid (\underline{f} (\underline{f} \ x))) \ s)$

$\xrightarrow{\beta} [\underline{s} / \underline{f}] (\underline{f} (\underline{f} \ x))$

Free vars in \underline{s} get bound? No.

$\equiv (\underline{s} (\underline{s} \ x))$

Can we do more? No - in normal form

β example: λ substitutions

$((\lambda \underline{f} \mid (\underline{f} (\underline{f} \ x))) (\lambda \underline{y} \mid \underline{y}))$

$\xrightarrow{\beta} [(\lambda \underline{y} \mid \underline{y}) / \underline{f}] (\underline{f} (\underline{f} \ x))$

Free vars in $(\underline{f} (\underline{f} \ x))$ get bound? No.

$\equiv (\lambda \underline{y} \mid \underline{y}) ((\lambda \underline{y} \mid \underline{y}) \ x)$

Are we done? No

$((\lambda \underline{y} \mid \underline{y}) ((\lambda \underline{y} \mid \underline{y}) \ x))$

$\xrightarrow{\beta} (\lambda \underline{y} \mid \underline{y}) [\underline{x} / \underline{y}] \underline{y}$

$((\lambda \underline{y} \mid \underline{y}) \ x)$

Now are we done? No

$((\lambda \underline{y} \mid \underline{y}) \ x) \xrightarrow{\beta} [\underline{x} / \underline{y}] \underline{y}$

$\rightarrow \underline{x}$

β example: complex multiple substitution

$$\begin{aligned}
 & ((\lambda \underline{f} \mid (\underline{f} (\underline{f} \ x))) \ (\lambda y \mid (g \ (g \ (g \ y)))) \) \) \\
 & \equiv (\lambda \underline{f} \mid (\underline{f} (\underline{f} \ x))) \ (\lambda y \mid (g \ (g \ (g \ y)))) \) \\
 & \xrightarrow{\beta} [(\lambda y \mid (g \ (g \ (g \ y)))) \] / \underline{f} \] \ (\underline{f} (\underline{f} \ x)) \\
 & \text{Free vars in } (\lambda y \mid (g \ (g \ (g \ y)))) \) \text{ get bound? No} \\
 & \equiv ((\lambda y \mid (g \ (g \ (g \ y)))) \ ((\lambda y \mid (g \ (g \ (g \ y)))) \ x)))
 \end{aligned}$$

$$\begin{aligned}
 & (\ (\lambda y \mid (g \ (g \ (g \ y)))) \ \ ((\lambda \underline{y} \mid (g \ (g \ (g \ \underline{y})))) \ x))) \\
 & \xrightarrow{\beta} ((\lambda y \mid (g \ (g \ (g \ y)))) \ \ [x/\underline{y}] \ (g \ (g \ (g \ \underline{y})))) \) \\
 & \equiv ((\lambda y \mid (g \ (g \ (g \ y)))) \ \ (g \ (g \ (g \ x)))) \)
 \end{aligned}$$

$$\begin{aligned}
 & ((\lambda \underline{y} \mid (g \ (g \ (g \ \underline{y})))) \ (g \ (g \ (g \ x)))) \) \\
 & \xrightarrow{\beta} [(g \ (g \ (g \ x))) \] / \underline{y} \] \ (g \ (g \ (g \ \underline{y}))) \\
 & \rightarrow (g \ (g \ (g \ (g \ (g \ (g \ x)))) \))
 \end{aligned}$$



A formal definition of β -substitution

- ▶ Let $\langle E \rangle$, $\langle F \rangle$ and $\langle G \rangle$ be λ -calculus expressions;
 x and y be distinct λ -calculus identifiers (constants)

$$\begin{aligned}
 & [\langle E \rangle \ / \ x] \ x \rightarrow \langle E \rangle \\
 & [\langle E \rangle \ / \ x] \ y \rightarrow y \\
 & [\langle E \rangle \ / \ x] \ (\langle F \rangle \ \langle G \rangle) \rightarrow (\ [\langle E \rangle \ / \ x] \ \langle F \rangle \ \ [\langle E \rangle \ / \ x] \ \langle G \rangle \) \\
 & [\langle E \rangle \ / \ x] \ (\lambda x \mid \langle F \rangle) \rightarrow (\lambda x \mid \langle F \rangle) \\
 & [\langle E \rangle \ / \ x] \ (\lambda y \mid \langle F \rangle) \text{ where } \langle E \rangle \text{ has no free instances of } y \\
 & \rightarrow (\lambda y \mid [\langle E \rangle \ / \ x] \ \langle F \rangle)
 \end{aligned}$$



Variable Names in λ -calculus

- ▶ The identifier used to represent a BOUND variable is irrelevant
- ▶ Meaning of variable based on the λ that introduces it ... and how it is used in λ 's body
- ▶ If we change the identifier used in a formal parameter and all of its bound occurrences, the meaning of the expression is unaltered

$$(\lambda x \mid x) \stackrel{?}{\equiv} (\lambda y \mid y) \quad \text{YES!}$$

$$(\lambda x \mid x) \stackrel{?}{\equiv} (\lambda x \mid y) \quad \text{No!}$$

$$(\lambda x \mid (\lambda y \mid x y)) \stackrel{?}{\equiv} (\lambda a \mid (\lambda b \mid a b)) \quad \text{YES!}$$

$$(\lambda x \mid (\lambda y \mid x y)) \stackrel{?}{\equiv} (\lambda a \mid (\lambda b \mid b a)) \quad \text{NO!}$$

Variables in λ -calculus

$$(\lambda x \mid (\lambda y \mid x y)) \stackrel{?}{\equiv} (\lambda y \mid (\lambda x \mid y x)) \quad \text{YES!}$$

$$(\lambda x \mid (\lambda y \mid x y)) \stackrel{?}{\equiv} (\lambda y \mid (\lambda x \mid x y)) \quad \text{NO!}$$

$$(\lambda x \mid (\lambda w \mid w) x) \stackrel{?}{\equiv} (\lambda y \mid (\lambda w \mid w) y) \quad \text{YES !}$$

$$(\lambda x \mid (\lambda y \mid y) x) \stackrel{?}{\equiv} (\lambda y \mid (\lambda y \mid y) y)$$

YES (same as above!) ... but confusing!

Think ... $(\lambda y \mid (\lambda y \mid y) y)$

α (Alpha Rule): Motivation

- ▶ The β -rule cannot be applied in ...

$$((\lambda y \mid (\lambda z \mid yz)) z)$$

$$\xrightarrow{\beta} [z / y] (\lambda z \mid yz)$$

$$\neq (\lambda z \mid zz) \quad \text{Why not?}$$

z was free in z but *bound* in the result \Rightarrow substitution is illegal!

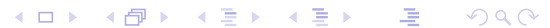
- ▶

$$(\lambda y \mid (\lambda z \mid yz)) (\lambda x \mid xz)$$

$$\xrightarrow{\beta} [(\lambda x \mid xz) / y] (\lambda z \mid yz)$$

$$\neq (\lambda z \mid (\lambda x \mid xz)z)$$

- ▶ But, variable identifiers in and of themselves are irrelevant
- ▶ The α -rule changes variable identifiers without altering meaning



α (Alpha Rule): Renaming

- ▶ α -rule: substitute a new identifier for the instances of any bound variable... as long as the substitution is legal
- ▶ Just choose an identifier *not* used in the current expression, ... and substitution guaranteed to be legal

$$(\lambda z \mid yz) \xrightarrow{\alpha: q/z} (\lambda q \mid yq)$$

- ▶ Note: Replace the formal parameter *and* EVERY instance of z with q
- ▶ Note: q is a NEW variable, never used ...



α (Alpha Rule): Examples

$(\lambda a \mid b (\lambda c \mid c a) d) \xrightarrow{\alpha:z/a} (\lambda z \mid b (\lambda c \mid c z) d)$
Legal

$(\lambda x \mid (\lambda y \mid x y z)) \xrightarrow{\alpha:y/z} (\lambda x \mid (\lambda y \mid x y y))$
Illegal

Formal definition of α

- ▶ Let $\langle E \rangle$ and $\langle F \rangle$ be λ -calculus expressions;
x and y be distinct λ -calculus constants
- ▶ Let z be a newly generated λ calculus constant

$$[\langle E \rangle / x] (\lambda y \mid \langle F \rangle) \rightarrow (\lambda z \mid [[\langle E \rangle / x] [z/y] \langle F \rangle])$$

Using α and β Together I

$$(\lambda_{\mathbf{y}} (\lambda_{\mathbf{z}} | \mathbf{yz})) (\lambda_{\mathbf{x}} | \mathbf{xz})$$

- ▶ We could use β rule to simulate applying the function

$$\xrightarrow{\beta} [(\lambda_{\mathbf{x}} | \mathbf{xz}) / \mathbf{y}] (\lambda_{\mathbf{z}} | \mathbf{yz})$$

- ▶ Legal substitution? No. Free z in $(\lambda_{\mathbf{x}} | \mathbf{xz})$ becomes bound
- ▶ Use α rule to rename variable

$$\xrightarrow{\alpha} [(\lambda_{\mathbf{x}} | \mathbf{xz}) / \mathbf{y}] [q/z] (\lambda_{\mathbf{z}} | \mathbf{yz}) \equiv [(\lambda_{\mathbf{x}} | \mathbf{xz}) / \mathbf{y}] (\lambda_{\mathbf{q}} | \mathbf{yq})$$

Using α and β Together II

- ▶ Now we can apply β -substitution

$$[(\lambda_{\mathbf{x}} | \mathbf{xz}) / \mathbf{y}] (\lambda_{\mathbf{q}} | \mathbf{yq}) \equiv (\lambda_{\mathbf{q}} | (\lambda_{\mathbf{x}} | \mathbf{xz}) \mathbf{q})$$

$$(\lambda_{\mathbf{q}} | (\lambda_{\mathbf{x}} | \mathbf{xz}) \mathbf{q})$$

$$\xrightarrow{\beta} (\lambda_{\mathbf{q}} | [q/x] \mathbf{xz}) \equiv (\lambda_{\mathbf{q}} | \mathbf{qz})$$

α and β Are Complete

BP: it is still unclear to me if this is more than a conjecture - as in the 'Church-Turing Thesis'.

- ▶ ***We can represent any calculation as a λ calculus expression!!***
 - ▶ Turing Equivalents!
- ▶ Computation \equiv Apply α , β rules (many times!) to reduce given expression to “unreducible” form
- ▶ Interpret value of resulting expression as result of computation
- ▶ Computation requires only two rules

η (Eta Rule): Null Application

- ▶ Special case of the β -rule: $(\lambda x | \langle E \rangle) v \xrightarrow{\beta} [v/x] \langle E \rangle$
- ▶ Accelerates Rule 5 of β substitution
- ▶ If x does not appear as a free variable in $\langle E \rangle$, then $\langle E \rangle$ doesn't change
- ▶ η -rule:
 - ▶ If x is not free in $\langle E \rangle$ then $((\lambda x | \langle E \rangle) v) \xrightarrow{\eta} \langle E \rangle$

$$((\lambda a | c d) q) \rightarrow (c d)$$

$$(\lambda x | (\lambda x | x y)) v \rightarrow (\lambda x | x y)$$

λ -calculus Interpreters

- ▶ To implement a λ -calculus interpreter
 - ▶ Must determine if each variable is free or bound
... to determine potential clashes with free variables
 - ▶ Faster to determine the status of variable x in $\langle E \rangle$, than to “build” a new expression without any changes
 $\Rightarrow \eta$ -rule

On Reductions

- ▶ λ -calculus reduces expressions to “simpler” expressions using β and η rules
 - ▶ Why scare quotes?
- ▶ β -rule and η -rule are called *reductions* (α is not a reduction)
- ▶ If we can obtain $\langle N \rangle$ from $\langle M \rangle$ using a sequence of β and η operations,
then $\langle M \rangle$ is *reducible* to $\langle N \rangle$
- ▶ An expression that can be reduced is called a *redex*
- ▶ Can only reduce applications that contain function definitions
 - ▶ Cannot reduce f , $(f\ g)$, $(\lambda f \mid (f\ g))$
 - ▶ Can reduce $(\lambda x \mid (w\ x))\ y$
- ▶ An expression containing no redexes is in *normal form*
(i.e. a completed calculation)

Theoretical Questions

- ▶ So “interpretation” \equiv “reducing to normal form”
- ▶ Questions...
 - ▶ Is there more than one way to reduce an expression?
 - ▶ Is there one unique reduction for every expression?
 - ▶ Is every expression reducible?
 - ▶ If not, what are the implications?
- ▶ First topic: Order of reductions...

Order of Reductions: Normal I

- ▶ Normative Order: leftmost application first
- ▶ Which is leftmost function?

$$\begin{aligned} & (\lambda x | (\lambda y | x)) ((\lambda u | z) u) \\ & \underbrace{(\lambda x | (\lambda y | x))}_{\text{leftmost}} ((\lambda u | z) u) \end{aligned}$$

$$\begin{aligned} & (\lambda \underline{x} | (\lambda y | \underline{x})) ((\lambda u | z) u) \\ & \xrightarrow{\beta} [((\lambda u | z) u) / \underline{x}] (\lambda y | \underline{x}) \end{aligned}$$

Free vars in $((\lambda u | z) u)$ get bound? No
 $\equiv (\lambda y | ((\lambda u | z) u))$

Order of Reductions: Normal II

$(\lambda y \mid ((\lambda u \mid z) u))$ Left application?

$(\lambda y \mid \underbrace{((\lambda u \mid z) u)})$

$(\lambda y \mid \overset{\text{leftmost}}{((\lambda \underline{u} \mid z) \underline{u})})$

$\xrightarrow{\beta} (\lambda y \mid [u / u] z)$

Any free vars in u get bound? No.

$\rightarrow (\lambda y \mid z)$

Done? Yes - normal form

Order of Reductions: Applicative I

- ▶ Applicative Order: innermost *application* first
- ▶ Like LISP: evaluate arguments first, then apply function

$(\lambda x \mid (\lambda y \mid x)) ((\lambda u \mid z) u)$

$(\lambda x \mid (\lambda y \mid x)) (\underbrace{((\lambda u \mid z) u)})$

$(\lambda x \mid (\lambda y \mid x)) \overset{\text{innermost}}{((\lambda \underline{u} \mid z) \underline{u})}$

$\xrightarrow{\beta} (\lambda x \mid (\lambda y \mid x)) [u / u] (\lambda \underline{u} \mid z)$

any free vars in u get bound? No.

$\equiv (\lambda x \mid (\lambda y \mid x)) z$

Done? Nope

Order of Reductions: Applicative II

$(\lambda x | (\lambda y | x)) z$ Innermost?

$(\lambda x | (\lambda y | x)) z$

innermost
 $(\lambda \underline{x} | (\lambda y | \underline{x})) z$

$\xrightarrow{\beta} [z / \underline{x}] (\lambda y | \underline{x})$

Any free vars in x get bound? No

$\equiv (\lambda y | z)$

Done? Yes - normal form

Order of Reductions: Comment

- ▶ You may choose
 - ▶ normative (left-most legal application) or
 - ▶ applicative order (innermost legal application) or
 - ▶ ...
- ▶ However, since λ calculus is left-associative,
 - ▶ at any given level within an expression, you must reduce the leftmost of a series of applications first
- ▶ So in: $abc(cde)$
 - ▶ May apply c to d (applicative) or a to b (normative)
 - ▶ CANNOT apply b to c nor c to (cde) nor d to e (violation of left-associativity)

Church and Rosser Theorem

- ▶ Let $\langle A \rangle, \langle B \rangle, \langle C \rangle, \langle D \rangle$ be λ -calculus expressions and $\xrightarrow{1}, \xrightarrow{2}, \xrightarrow{3}$ and $\xrightarrow{4}$ be reductions of *zero* or more steps
- ▶ Church and Rosser Theorem I
 - ▶ If $\langle A \rangle \xrightarrow{1} \langle B \rangle$ and $\langle A \rangle \xrightarrow{2} \langle C \rangle$,
 - ▶ Then $\exists \langle D \rangle \xrightarrow{3} \langle B \rangle$ and $\xrightarrow{4} \langle C \rangle$ s.t. $\langle B \rangle \xrightarrow{3} \langle D \rangle$ and $\langle C \rangle \xrightarrow{4} \langle D \rangle$
- ▶ i.e., different reductions of $\langle A \rangle$ can always be reduced to the same expression (function)

Uniqueness Corollary

- ▶ Corollary: Given two reductions $\langle A \rangle \xrightarrow{1} \langle B \rangle$ and $\langle A \rangle \xrightarrow{2} \langle C \rangle$
 - ▶ If $\langle B \rangle$ and $\langle C \rangle$ are in normal form, neither can be reduced further
 - ▶ By Church and Rosser I, we can reduce $\langle C \rangle$ and $\langle B \rangle$ to an identical form in *zero* or more steps
 - ▶ Since both $\langle C \rangle$ and $\langle B \rangle$ are irreducible, the required reduction must be of length zero and $\langle C \rangle$ and $\langle B \rangle$ are identical
 - ▶ **All reductions that result in a normal form, result in the same unique normal form !**
- ▶ ... does every reduction result in normal form???

Existence Theorem

- ▶ Church and Rosser Theorem II
 - ▶ If $\langle A \rangle \rightarrow \langle B \rangle$ and $\langle B \rangle$ is in normal form then $\langle A \rangle \rightarrow \langle B \rangle$ by *normative order* reduction
- ▶ If $\langle A \rangle$ can be reduced to a normal form, it can be found by normal order reduction
- ▶ Not every expression has a normal form

$$\begin{aligned} & (\lambda x | x x) (\lambda x | x x) \\ & (\lambda \underline{x} | \underline{x} \underline{x}) (\lambda x | x x) \\ \rightarrow & (\lambda x | x x) (\lambda x | x x) \end{aligned}$$

- ▶ Because reductions are not guaranteed to terminate, the equivalence of λ -calculus expressions is undecidable
- ▶ This result predates the halting problem !



Reduction Orders as Parameter Types

- ▶ Applicative order reduction evaluates innermost applications first
 - ▶ \approx evaluating arguments before passing them
 - ▶ Can be interpreted as "call by value"
- ▶ Normative order reduction evaluates leftmost applications first
 - ▶ \approx passing unevaluated expressions to function
 - ▶ Can be interpreted as "call by name"
 - ▶ Passed-in expressions must still be evaluated in body of function



Completeness of Applicative vs. Normal Order

- ▶ The argument to $(\lambda x | y)$ does not matter
 - ▶ $((\lambda x | y) \langle E \rangle) \rightarrow y$ for any $\langle E \rangle$
 - ▶ Here, expression $\langle E \rangle$ is an *unnneeded* argument
 - ▶ η -reductions
- ▶ Applicative order may evaluate *unnneeded* arguments
 - ▶ If argument does not have a normal form, evaluation of arguments will not halt
- ▶ Normal order does not evaluate unnneeded arguments
 - ▶ If only unnneeded arguments lack a normal form, then Normal order will find a normal form
- ▶ \exists formulas that have a normal form that can be found by normal order reduction, but that cannot be found by applicative order reduction

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Reducible by Normal Example

$$(\lambda z (\lambda y | y)) ((\lambda x | x x) (\lambda x | x x))$$
$$\underbrace{(\lambda z (\lambda y | y))}_{\text{leftmost application}} ((\lambda x | x x) (\lambda x | x x))$$
$$(\lambda \underline{z} (\lambda y | y)) ((\lambda x | x x) (\lambda x | x x))$$
$$\xrightarrow{\beta} [((\lambda x | x x) (\lambda x | x x)) / \underline{z}] (\lambda \underline{z} (\lambda y | y))$$

Any free vars get bound? No.

$$\equiv (\lambda y | y)$$

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Irreducible by Applicative Example

- Under Applicative order

$$\begin{aligned}
 & (\lambda z (\lambda y | y)) ((\lambda x | x x) (\lambda x | x x)) \\
 & (\lambda z (\lambda y | y)) (\underbrace{(\lambda x | x x)}_{\text{innermost application}} (\lambda x | x x))
 \end{aligned}$$

$$\begin{aligned}
 & (\lambda z (\lambda y | y)) ((\lambda \underline{x} | \underline{x} \underline{x}) (\lambda x | x x)) \\
 & \xrightarrow{\beta} (\lambda z (\lambda y | y)) [(\lambda x | x x) / \underline{x}] \underline{x} \underline{x}
 \end{aligned}$$

Any free vars in $(\lambda x | x x)$ get bound? No.

$$\equiv (\lambda z (\lambda y | y)) ((\lambda x | x x) (\lambda x | x x))$$

Notice anything fishy here?

We are back to what we started with!

Example 1 : Normal Order

$$(\lambda x | (\lambda y x | x) z) (\lambda x | x y)$$

First step? Identify *leftmost* applicable function

$$\underbrace{(\lambda x | (\lambda y x | x) z)}_{\text{leftmost}} (\lambda x | x y)$$

$$(\lambda x | (\lambda y x | x) z) (\lambda x | x y)$$

Recall $(\lambda y x | x)$ means $(\lambda y | (\lambda x | x))$

$$(\lambda x | (\lambda y | (\lambda x | x) z)) (\lambda x | x y)$$

$$\xrightarrow{\beta} [(\lambda x | x y) / x] (\lambda y | (\lambda x | x) z)$$

Free vars in $(\lambda x | x y)$? get bound?

No free instances of x within $(\lambda y | (\lambda x | x) z)$

$$\equiv (\lambda y | (\lambda x | x) z)$$

$$(\lambda y | (\lambda x | x) z) \xrightarrow{\eta} (\lambda x | x)$$

Example 1 : Applicative

$(\lambda x \mid (\lambda y \ x \mid x) \ z) \ (\lambda x \mid x \ y)$

First step? Identify *innermost* applicable function

$(\lambda x \mid \underbrace{(\lambda y \ x \mid x)} \ z) \ (\lambda x \mid x \ y)$

innermost

Recall: $(\lambda y \ x \mid x)$ means $(\lambda y \mid (\lambda x \mid x))$

$(\lambda x \mid (\lambda y \ (\lambda x \mid x)) \ z) \ (\lambda x \mid x \ y)$

$\xrightarrow{\beta} (\lambda x \mid [z / y] (\lambda x \mid x)) \ (\lambda x \mid x \ y)$

No free instances of y in $(\lambda x \mid x)$

$\equiv (\lambda x \mid (\lambda x \mid x)) \ (\lambda x \mid x \ y)$

$(\lambda x \mid (\lambda x \mid x)) \ (\lambda x \mid x \ y)$

$\xrightarrow{\eta} (\lambda x \mid x)$



Example 2: Normal Order I

$(\lambda x \mid (\lambda y \mid x)) \ ((\lambda x \mid y) \ x)$

First task: find leftmost applicable function

$\underbrace{(\lambda x \mid (\lambda y \mid x))} \ ((\lambda x \mid y) \ x)$

leftmost

$(\lambda x \mid (\lambda y \mid \underline{x})) \ ((\lambda x \mid y) \ x)$

$\xrightarrow{\beta} [((\lambda x \mid y) \ x) / x] (\lambda y \mid \underline{x})$

Free vars in $((\lambda x \mid y) \ x)$ get bound? YES!

$\not\rightarrow (\lambda y \mid ((\lambda x \mid y) \ x))$

Use α rule.

$\xrightarrow{\alpha} [((\lambda x \mid y) \ x) / x] [z/y] (\lambda y \mid \underline{x})$

$\equiv [((\lambda x \mid y) \ x) / x] (\lambda z \mid \underline{x})$

$(\lambda z \mid ((\lambda x \mid y) \ x))$



Example 2: Normal Order II

$$\begin{aligned} & (\lambda z \mid (\lambda x \mid y) x) \\ & (\lambda z \mid \underbrace{(\lambda x \mid y)} \quad x) \\ & \quad \text{leftmost} \\ & (\lambda z \mid ((\lambda x \mid y) x)) \\ & \xrightarrow{\eta} (\lambda z \mid y) \end{aligned}$$

Example 2: Applicative I

$$\begin{aligned} & (\lambda x \mid (\lambda y \mid x)) ((\lambda x \mid y) x) \\ & \text{First step? Find innermost application} \\ & (\lambda x \mid (\lambda y \mid x)) \underbrace{((\lambda x \mid y) x)} \\ & \quad \text{innermost} \\ & (\lambda x \mid (\lambda y \mid x)) ((\lambda x \mid y) x) \\ & \xrightarrow{\eta} (\lambda x \mid (\lambda y \mid x)) y \end{aligned}$$

Example 2: Applicative II

$$\begin{aligned} & (\lambda x \mid (\lambda y \mid x)) y \\ & \underbrace{(\lambda x \mid (\lambda y \mid x))}_{\text{innermost}} y \\ & \xrightarrow{\beta} [y/x] (\lambda y \mid x) \\ & \quad \text{Free vars get bound? Yes} \\ & \xrightarrow{\alpha} [y/x] [z/y] (\lambda y \mid x) \\ & \equiv [y/x] (\lambda z \mid x) \\ & \equiv (\lambda z \mid y) \end{aligned}$$

Example 3: Normal I

$$\begin{aligned} & ((\lambda x \ y \mid y) ((\lambda x \mid x \ x) (\lambda x \mid x \ x))) a \\ & \quad \text{First step? Find leftmost application} \\ & \underbrace{(\lambda x \ y \mid y)}_{\text{leftmost}} ((\lambda x \mid x \ x) (\lambda x \mid x \ x)) a \quad \text{Re-} \\ & \text{call: } (\lambda x \ y \mid y) \equiv (\lambda x \mid (\lambda y \mid y)) \\ & \underbrace{((\lambda x \mid (\lambda y \mid y)) ((\lambda x \mid x \ x) (\lambda x \mid x \ x)))}_{\text{leftmost}} a \\ & \xrightarrow{\eta} (\lambda y \mid y) a \\ & \xrightarrow{\beta} [a/y] y \equiv a \end{aligned}$$

Example 3: Applicative I

$(\lambda x y \mid y) ((\lambda x \mid x x) (\lambda x \mid x x)) a$

First step? Find innermost application.

$(\lambda x y \mid y) \underbrace{((\lambda x \mid x x) (\lambda x \mid x x))}_{\text{innermost}} a$

$\xrightarrow{\beta} ((\lambda x y \mid y) [(\lambda x \mid x x)/x] (x x)) a$

Will free vars in get $(\lambda x \mid x x)$ bound? No free vars!

$\equiv (\lambda x y \mid y) ((\lambda x \mid x x)(\lambda x \mid x x)) a$

We get the original expression back again!

Shortcuts for Multi-argument λ 's

$(\lambda x y z \mid \langle E \rangle) \langle A \rangle \langle B \rangle \langle C \rangle$

$\equiv (\lambda x \mid (\lambda y \mid (\lambda z \mid \langle E \rangle)) \langle A \rangle \langle B \rangle \langle C \rangle$

$\xrightarrow{\beta} [(\langle A \rangle/x)] (\lambda y \mid (\lambda z \mid \langle E \rangle)) \langle B \rangle \langle C \rangle$

If $\langle A \rangle$ has free y or z , must re-

name $(\lambda y \mid (\lambda z \mid \langle E \rangle))$

$\xrightarrow{\beta} [(\langle B \rangle/y)] (\lambda z \mid \langle E \rangle)$

If $\langle B \rangle$ has free z , must rename $(\lambda z \mid \langle E \rangle)$

$\xrightarrow{\beta} [(\langle C \rangle/z)] \langle E \rangle$

If $\langle C \rangle$ has free var bound in $\langle E \rangle$, must rename ...

Example of Multi-argument λ 's

- ▶ Our basic solution method

$$\begin{aligned} & (\lambda x y \mid x y) \ (\langle N \rangle y) \ \langle M \rangle \\ \equiv & (\lambda x \mid (\lambda y \mid x y)) \ (\langle N \rangle y) \ \langle M \rangle \\ \xrightarrow{\beta} & [(\langle N \rangle y) / x] \ (\lambda y \mid x y) \ \langle M \rangle \\ & \text{Free vars in } (\langle N \rangle y) \text{ get bound? Yes!} \\ & \text{Must rename } y \text{ in } (\lambda y \mid x y). \text{ Say } z \\ \xrightarrow{\alpha} & [(\langle N \rangle y) / x] \ [z/y] \ (\lambda y \mid x y) \ \langle M \rangle \\ \equiv & [(\langle N \rangle y) / x] \ (\lambda z \mid x z) \ \langle M \rangle \\ \equiv & (\lambda z \mid (\langle N \rangle y) z) \ \langle M \rangle \\ \xrightarrow{\beta} & [\langle M \rangle / z] \ (\langle N \rangle y) z \equiv (\langle N \rangle y) \ \langle M \rangle \end{aligned}$$

- ▶ Note: we replaced y with z ,
but then immediately replace z with $\langle M \rangle$

Example of Multi-argument λ 's

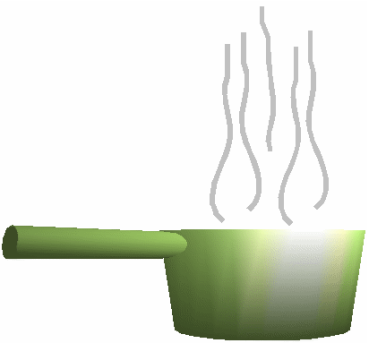
- ▶ In general, can perform multiple substitutions in parallel
- ▶ *If substituting in parallel,*
given $(\lambda x \mid (\lambda y \dots)) \ \langle A \rangle \ \langle B \rangle$,
we do not have to check for free y 's in $\langle A \rangle$ as $\langle B \rangle$ will be substituted for the " $(\lambda y$ " and any free y 's in $\langle A \rangle$ will remain free.

- ▶ Example done with multiple substitution

$$\begin{aligned} & (\lambda x y \mid x y) \ (\langle N \rangle y) \ \langle M \rangle \\ \xrightarrow{\beta} & [(\langle N \rangle y) / x, \ \langle M \rangle / y] \ (x y) \\ \equiv & (\langle N \rangle y) \ \langle M \rangle \end{aligned}$$

- ▶ N.B: still need to check for free vars that get bound when considering substitution of $\langle B \rangle$ in the body of the $(\lambda y \dots)$ clause.

Curried functions



- ▶ Can represent n -ary functions as nested unary functions
- ▶ $(\lambda x y \mid \langle E \rangle) a b$
 $\equiv (\lambda x (\lambda y \langle E \rangle)) a b$
- ▶ Can treat an n -ary function as a unary function that returns an $n-1$ -ary function
- ▶ Treating n -ary function as unary function that returns a function is called *currying*