

## Week 9: Dynamic programming/Graph Algorithms

Agenda:

- LCS
- Basic Graph definitions
- BFS

Reading:

- Textbook: 350-356, 527-540

## Longest common subsequence (LCS) problem:

- Definitions:
- Sequence/string:  
dynamicprogramming is a sequence over the English alphabet
  - Base/letter/character
  - Subsequence:  
the given sequence with zero or more bases left out  
e.g., dog is a subsequence of dynamicprogramming  
**WARNING:** bases appear in the same order, but not necessarily consecutive
  - Common subsequence
  - LCS problem: given two sequences  $X = x_1x_2 \dots x_n$  and  $Y = y_1y_2 \dots y_m$ , find a maximum-length common subsequence of them.
- The LCS problem has the “optimal substructure” ...
    - if  $x_n$  is NOT in the LCS (to be computed), then we only need to compute an LCS of  $x_1x_2 \dots x_{n-1}$  and  $y_1y_2 \dots y_m$  ...
    - similarly, if  $y_m$  is NOT in the LCS (to be computed), then we only need to compute an LCS of  $x_1x_2 \dots x_n$  and  $y_1y_2 \dots y_{m-1}$  ...
    - if  $x_n$  and  $y_m$  are both in the LCS (to be computed), then  $x_n = y_m$  and we need to compute an LCS of  $x_1x_2 \dots x_{n-1}$  and  $y_1y_2 \dots y_{m-1}$ ;  
and then adding  $x_n$  to the end to form an LCS for the original problem

Longest common subsequence (LCS) problem (cont'd):

- Therefore, we define  $DP[i, j]$  to be the length of LCS of  $x_1, \dots, x_i$  and  $y_1, \dots, y_j$ ; for each  $0 \leq i \leq n$  and  $0 \leq j \leq m$ .

Letting  $DP[n, m]$  to denote the length of an LCS of  $X$  and  $Y$ , then it is equal to

$$\text{max length of } \begin{cases} LCS(x_1x_2 \dots x_{n-1}, y_1y_2 \dots y_m), \\ LCS(x_1x_2 \dots x_n, y_1y_2 \dots y_{m-1}), \\ LCS(x_1x_2 \dots x_{n-1}, y_1y_2 \dots y_{m-1}) + 'x'_n, \end{cases} \text{ if } x_n = y_m$$

- Correctness
- In general, let  $DP[i, j]$  denote the length of an LCS of  $x_1x_2 \dots x_i$  and  $y_1y_2 \dots y_j$ .
- Recurrence:

$$DP[i, j] = \max \begin{cases} DP[i - 1, j], \\ DP[i, j - 1], \\ DP[i - 1, j - 1] + 1, \end{cases} \text{ if } x_i = y_j$$

- Base cases ???

Longest common subsequence (LCS) problem (cont'd)

— solving the recurrence:

- Divide-and-Conquer running time:  $\Omega(3^{\min\{n,m\}})$
- Dynamic programming:

Order of computations ???

```
procedure dpLCS(X, Y)
```

```
n ← length[X]  
m ← length[Y]  
for i ← 1 to m do  
    DP[i, 0] ← 0  
for j ← 0 to n do  
    DP[0, j] ← 0  
for i ← 1 to n do  
    for j ← 1 to m do  
        if xi = yj then  
            DP[i, j] ← DP[i − 1, j − 1] + 1  
        else if DP[i − 1, j] ≥ DP[i, j − 1] then  
            DP[i, j] ← DP[i − 1, j]  
        else  
            DP[i, j] ← DP[i, j − 1]  
return DP[n, m]
```

Longest common subsequence (LCS) problem (cont'd):

- Correctness
- Can return an associated LCS ... trace back
- Running time:  $\Theta(n \times m)$   
There are  $n \times m$  entries each takes constant time to compute.

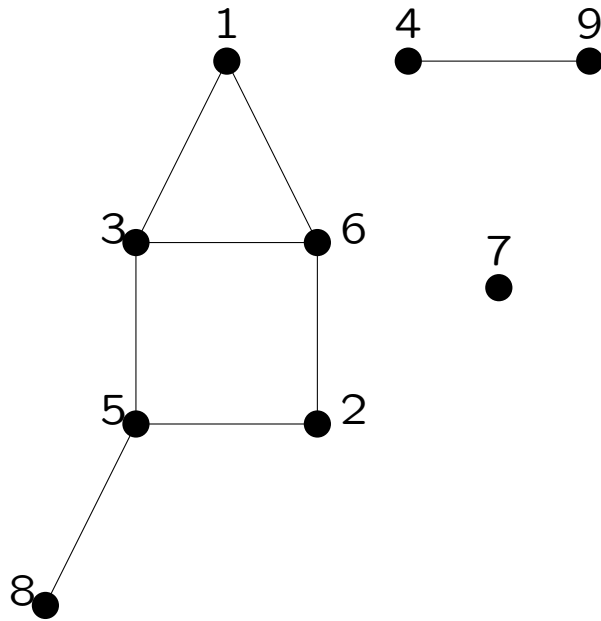
Can be reduced to  $\Theta(n \times \frac{m}{\log m})$  (CMPUT 606)

- Space requirement ...  $\Theta(n \times m)$

Can be reduced to  $\Theta(\min\{n, m\})$  (CMPUT 606)

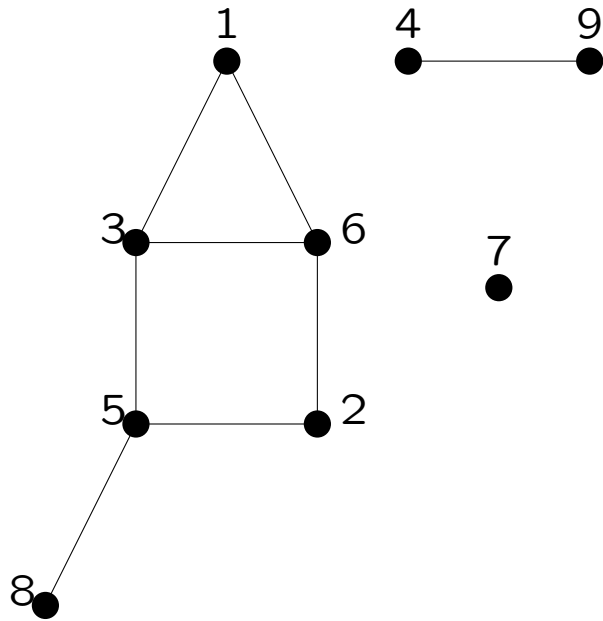
- Applications:
  - Human (and other species) Genome Project
  - Detecting cheating :-)

An example:



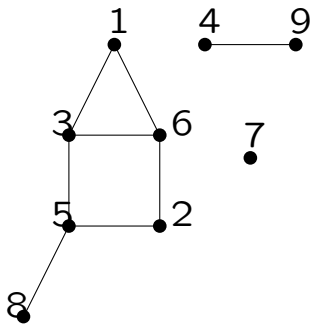
1:	3	6	
2:	5	6	
3:	1	5	6
4:	9		
<hr/>			
5:	2	3	8
<hr/>			
6:	1	2	3
7:			
8:	5		
9:	4		

An example:



	1	2	3	4	5	6	7	8	9
1			*			*			
2					*	*			
3	*				*	*			
4									*
5		*	*					*	
6	*	*	*						
7									
8					*				
9				*					

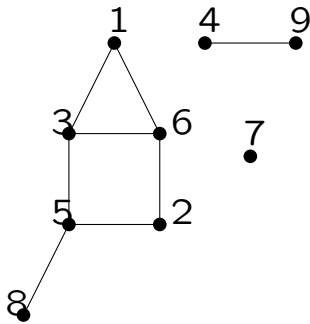
Definitions:



- (simple, undirected) graph  $G = (V, E)$ 
  - vertex set  $V$
  - edge set  $E$ 
    - \* an edge  $e$  is a pair of vertices  $v_1$  and  $v_2$
    - \* unordered — undirected
    - \*  $v_1 \neq v_2$  — simple and no repeated edges.
- $V = \{1, 2, 3, 4, 5, 6, 7, 8, 9\}$   
 $E = \{\{1, 3\}, \{1, 6\}, \{2, 5\}, \{2, 6\}, \{3, 5\}, \{3, 6\}, \{4, 9\}, \{5, 8\}\}$
- Notions:
  - adjacent (vertex – vertex, edge – edge)  
 e.g., 1 and 3 are adjacent;  $(1, 3)$  and  $(3, 5)$  are adjacent
  - incident (vertex – edge)  
 e.g., 1 is incident with  $(1, 3)$



Graph notions:



- Computer representations:
  - adjacency lists
  - adjacency matrix
- Neighborhood of a vertex
- Degree of a vertex — size of its neighborhood
- Walk (vertex – vertex), simple path
 

*e.g.*,  $\langle 1, 3, 5, 2, 6, 3, 5, 8 \rangle$  and  $\langle 1, 3, 5, 2, 6 \rangle$  the former (which has repeated nodes) is a walk and the latter is a simple path
- Connected (every pair of vertices is connected via a path)
- Subgraph  $G' = (V', E')$  of  $G = (V, E)$ 
  - it is a graph
  - $V' \subseteq V$
  - $E' \subseteq E$
- Connected component (maximal connected subgraph)

## Binary equivalence relation:

- A relation  $\sim$  involving two elements (in a set  $A$ )  
for example, " $\leq$ " relation for real numbers
- Reflexive:  $a \sim a$  for any  $a \in A$
- Symmetric:  $a_1 \sim a_2$  iff  $a_2 \sim a_1$
- Transitive:  $a_1 \sim a_2$  and  $a_2 \sim a_3$  imply  $a_1 \sim a_3$
- Binary equivalence relation:  
reflexive + symmetric + transitive  
e.g., " $=$ " relation for real numbers
- Equivalence class of  $a$

the subset of elements  $b$  such that  $a \sim b$

Therefore, the equivalence class of  $a$  contains  $b$  implies it is also the equivalence class of  $b$  ...

- The equivalence classes form a partition of  $A$ 
  - union to  $A$
  - disjoint

### Connected component:

- A binary equivalence relation  $\sim$  on vertex set  $V$

$v_1 \sim v_2$  iff “there is a path connecting  $v_1$  and  $v_2$ ”

- The connected component containing vertex  $v$  is the equivalence class of  $v$ :
  - the connected components form a partition of  $G$ , such that
  - no edge crossing the components

### Biconnected component:

- Two paths connecting  $v_1$  and  $v_2$  are vertex-disjoint if share no common internal vertex (other than  $v_1$  and  $v_2$ ).
- Biconnected graph:  $|V| \geq 2$ , connected, and every pair of vertices are connected via two vertex-disjoint (simple) paths
- Notes:
  - connectivity does NOT implies biconnectivity
  - articulation vertex — cut vertex: its removal disconnects  $G$
  - bridge — cut edge : its removal disconnects  $G$
- Biconnected component — maximal biconnected subgraph
  - a partition of  $E$  (not necessarily a partition of  $V$ )

More notions:

- Notions on simple, undirected graphs:
  - cycle — a path with two ending vertices collapsed
  - simple cycle
  - acyclic graph — a graph containing no cycles — also called *forest*
  - tree — connected forest
  - complete graph ( $|E| = \frac{|V| \times (|V| - 1)}{2}$ )  
every pair of vertices are adjacent
  - induced subgraph on a subset of vertices, say  $U \subset V$   
 $(U, E[U])$ , where  $E[U] = \{(v_1, v_2) : (v_1, v_2) \in E \&\& v_1, v_2 \in U\}$
  - clique (subset of vertices) — the induced subgraph is complete
  - independent set (of vertices) — the induced subgraph contains no edge
  
- Graph variants:
  - multigraph (remove “simple”), may have loops or parallel edges.
  - digraph (remove “undirected”), every edge is an ordered pair of vertices.
  - edge-weighted graph (every edge has a weight or cost)

More notions:

- The following properties can be proved for a tree:
  - Every tree on  $n$  nodes has  $n - 1$  edges.
  - Every node of degree 1 in a tree is called a leaf; Each tree of size at least 2 has at least two leaves.
  - Adding any edge  $uv$  to a tree creates exactly one cycle which consists of the edge  $uv$  and the unique path between  $u$  and  $v$  in the tree.
  - A spanning subgraph is a subgraph containing all the vertices; A spanning tree is a spanning subgraph that is a tree
  - A graph is connected if and only if it has a spanning tree.
- Graph traversal:

The most elementary graph algorithm:

  - goal: visit all vertices, by following all edges in some order
  - e.g., maze traversal
  - the most common graph traversal with a list storing “waiting” vertices
    1. FIFO list (queue) — breadth first search
    2. LIFO list (stack) — depth first search
    3. recursive — depth first search

Two representations:

- Adjacency lists: for example,

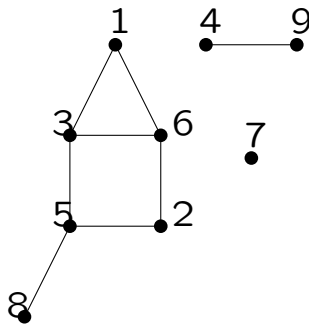
```

1:  3  6
2:  5  6
3:  1  5  6
4:  9
5:  2  3  8
7:  1  2  3
7:
8:  5
9:  4
    
```

- Adjacency matrix: for example,

	1	2	3	4	5	6	7	8	9
1			*			*			
2					*	*			
3	*				*	*			
4									*
5		*	*					*	
6	*	*	*						
7									
8					*				
9				*					

They both describe the following graph (graphical view):



## Breadth First Search (BFS):

- Input: simple undirected graph  $G = (V, E)$  and start vertex  $s$
- Output: distance (smallest number of edges) from  $s$  to each reachable vertex  
(in a same connected component, if  $G$  is not connected)
- Pseudocode:

```

procedure BFS( $G, s$ )           ** $G = (V, E)$ ,  $s \in V$  start vertex

for each  $v \in V - s$  do
     $c[v] \leftarrow$  WHITE       **unknown yet
     $d[v] \leftarrow \infty$      **distance from  $s$ 
     $p[v] \leftarrow$  NIL       **predecessor
 $Q \leftarrow \emptyset$        **waiting vertex queue
enqueue( $Q, s$ )
 $c[s] \leftarrow$  GRAY         **in queue  $Q$ 
 $d[s] \leftarrow 0$ 
while  $Q \neq \emptyset$  do
     $u \leftarrow$  dequeue( $Q$ )
    for each  $v \in Adj[u]$  do
        if  $c[v] =$  WHITE then
             $c[v] \leftarrow$  GRAY
             $d[v] \leftarrow d[u] + 1$ 
             $p[v] \leftarrow u$ 
            enqueue( $Q, v$ )
     $c[u] \leftarrow$  BLACK     **visited
    
```

- An example:

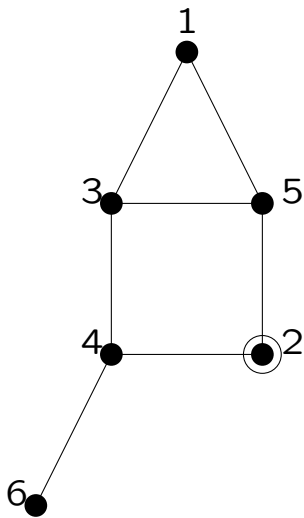
$V = \{1, 2, 3, 4, 5, 6\}$

$E = \{\{1, 3\}, \{1, 5\}, \{2, 4\}, \{2, 5\}, \{3, 4\}, \{3, 5\}, \{4, 6\}\}$

$s = 2$

BFS example:

- $V = \{1, 2, 3, 4, 5, 6\}$   
 $E = \{\{1, 3\}, \{1, 5\}, \{2, 4\}, \{2, 5\}, \{3, 4\}, \{3, 5\}, \{4, 6\}\}$   
 $s = 2$



Adjacency lists:

1:	3	5	
2:	4	5	
3:	1	4	5
4:	2	3	6
5:	1	2	3
6:	4		



Week 9: Graph Algorithms

BFS example:

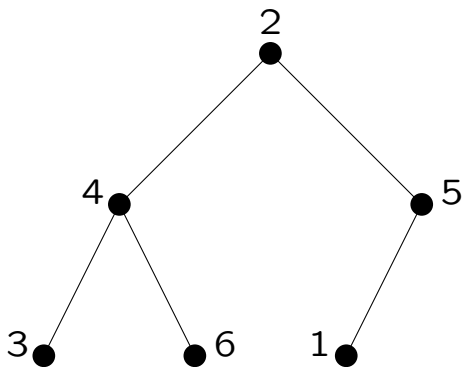
	1	2	3	4	5	6	Q
color	W	G	W	W	W	W	{2}
distance	$\infty$	0	$\infty$	$\infty$	$\infty$	$\infty$	
parent	NIL	NIL	NIL	NIL	NIL	NIL	
color	W	B	W	G	G	W	{4, 5}
distance	$\infty$	0	$\infty$	1	1	$\infty$	
parent	NIL	NIL	NIL	2	2	NIL	
color	W	B	G	B	G	G	{5, 3, 6}
distance	$\infty$	0	2	1	1	2	
parent	NIL	NIL	4	2	2	4	
color	G	B	G	B	B	G	{3, 6, 1}
distance	2	0	2	1	1	2	
parent	5	NIL	4	2	2	4	
color	G	B	B	B	B	G	{6, 1}
distance	2	0	2	1	1	2	
parent	5	NIL	4	2	2	4	
color	G	B	B	B	B	B	{1}
distance	2	0	2	1	1	2	
parent	5	NIL	4	2	2	4	
color	B	B	B	B	B	B	$\emptyset$
distance	2	0	2	1	1	2	
parent	5	NIL	4	2	2	4	

BFS example:

- Adjacency lists:

1: 3 5  
2: 4 5  
3: 1 4 5  
4: 2 3 6  
5: 1 2 3  
6: 4

- BFS tree:



Notes:

- root is the start vertex  $s$
- parent of  $x$  is predecessor  $p[x]$
- left-to-right child order depends on neighbor ordering (in  $Adj[u]$ )

## BFS analysis:

- $n = |V|$ ,  $m = |E|$
  - Handshaking Lemma:  $\sum_{v \in V} \text{degree}(v) = 2m$
  - Analysis:
    - each vertex enqueued exactly once: WHITE  $\rightarrow$  GRAY
    - each vertex dequeued exactly once: GRAY  $\rightarrow$  BLACK
    - running time:
      1. adjacency list representation:  
 $\Theta(n + \sum_{v \in V} \text{degree}(v)) = n + 2m = \Theta(n + m)$
      2. adjacency matrix representation:  
 $\Theta(n + \sum_{v \in V} n = n + n^2) = \Theta(n^2)$
    - space complexity:
      1. adjacency list representation:  
 $\Theta(n + \sum_{v \in V} \text{degree}(v)) = n + 2m = \Theta(n + m)$
      2. adjacency matrix representation:  
 $\Theta(\sum_{v \in V} n = n^2) = \Theta(n^2)$
  - BFS product:
    1. every  $s$ -to- $v$  shortest path (tracing the parents)
    2. putting these paths together forms the BFS tree
  - **Warning:** vertices in other connected components wouldn't be discovered !!!
- EXERCISE:** modify the pseudocode to discover ALL vertices