

## Lecture 22: Friday March 7, 2003

### today

- disjoint set union-find problem
  - implementation: forest of rooted trees
  - improvement: union by rank
  - improvement: compressed find

### announcements

**recall: disjoint sets union-find problem** [CLRS Ch. 21]

- the DSUF ADT
- analysis: sequence of operations
- implementation: array of representatives
- application: graph components
- implementation: forest of rooted trees
  - basic imp'n
  - improvement: union by rank
  - improvement: compressed find

**disjoint sets: abstract data type**

- maintain: pairwise disjoint sets
- one element of each set is *representative*
- operations
  - **MakeSet**( $x$ )  $S_x \leftarrow \{x\}$
  - **Find**( $x$ ) return representative of set containing  $x$
  - **Union**( $x, y$ )  $S_z \leftarrow S_{f(x)} \cup S_{f(y)}$

## simplest DS implementation: array of representatives

- all  $n$  Makesets take  $\Theta(n)$  time
- each Find( $x$ ) takes  $\Theta(1)$  time
- each Union( $x,y$ ) takes  $\Theta(n)$  time



## better(?) DS implementation: forest of rooted trees

- elements of set  $\leftrightarrow$  nodes of rooted tree
- representative of set  $\leftrightarrow$  root of tree
- each node needs only parent  $\Rightarrow$  implement forest via array

```

proc allMakeSets(n)  { initialize all parents
  for x <- 1 to n do
    P[x] <- x      { parent of root is root

proc find(x) { return element at root
  while P[x]<>x do
    x <- P[x]    { parent of x
  return x

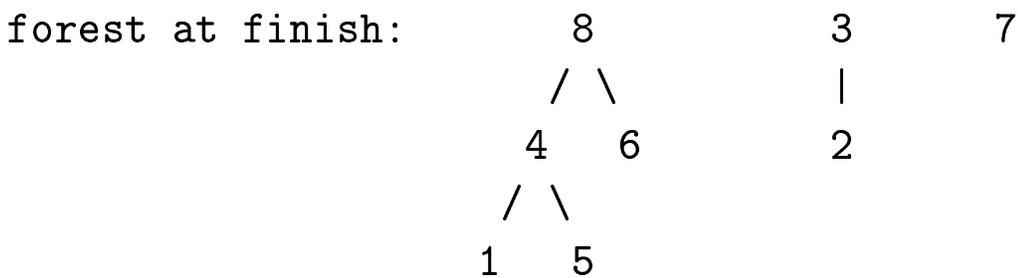
proc union(x,y) { make root of x's tree child of root of y's
  rx <- find(x)
  ry <- find(y)
  P[rx] <- ry

```

same example \*\*\*\*\*  
 sets at start {1} {2} {3} {4} {5} {6} {7} {8}

indices	1	2	3	4	5	6	7	8
P	[1	2	3	4	5	6	7	8]
U(1,4)	[4	2	3	4	5	6	7	8]
F(1)								
F(4)								
U(2,3)	[4	3	3	4	5	6	7	8]
U(5,1)	[4	3	3	4	4	6	7	8]
U(1,8)	[4	3	3	8	4	6	7	8]
U(6,5)	[4	3	3	8	4	8	7	8]
F(6)								
F(3)								

sets at finish: {1,4,5,6,8} {2,3} {7}



## DS rooted forest implementation: analysis

- recall:  $n$  MakeSets, then  $m - n$  Union/Finds
- each MakeSet:  $\Theta(1)$  time
- each Find( $x$ ):  $\Theta(\text{depth}(x)) \subseteq O(n)$  time
- each Union( $x,y$ ):  $\Theta(\text{depth}(x)+\text{depth}(y)) \subseteq O(n)$  time
- Union(1,2), Union(1,3), ..., Union(1, $n$ ), then Find(1), Find(1), ...
- total, this sequence:  $\Theta\left(n + \sum_{j=2}^n (j - 1) + (m - n - (n - 1))(n - 1)\right)$   
 $\Theta(mn)$  if  $m \gg n$
- for  $m \gg n$ , amortized cost per operation still  $\Theta(n)$  ☹️

## better(!) DS imp'n: rooted forest with union by rank

- if rUnion used with find height = rank
- if rUnion used with cFind height  $\leq$  rank

```
proc rUnion(x,y) { make smaller rank root child of other root
  rx <- find(x)  { root of x's tree
  ry <- find(y)  { root of y's tree
  if rank[rx]>rank[ry] {compare ranks; initially 0
    then P[ry] <- rx
  else { rank[rx] less/equal rank[ry]
    P[rx] <- ry
    if rank[rx]=rank[ry]
      then INC[rank[ry]]
```

## DS, union by rank, ordinary find: analysis

let  $T(x)$ : subtree rooted at  $x$       let  $|T(x)|$ : number of nodes in  $T(x)$

- claim:  $\text{rank}[x] = \text{height of } T(x)$
- claim:  $|T(x)| \geq 2^{\text{rank}[x]}$
- ...so  $\text{rank}[x] \leq \lg |T(x)| \leq \lg n$
- proofs? induction on  $|T(x)|$
  
- time for rUnion/find in  $O(\text{maximum depth})$ ,
- so time for rUnion/find in  $O(\text{maximum rank})$ ,
- so worst case time in  $O(n + (m - n) \lg n)$
- can show worst case time in  $\Theta(n + (m - n) \lg n)$
- so for  $m \gg n$ , amortized time/operation now  $O(\lg n)$

