Lecture 22: Monday October 29, 2001

today

- disjoint set union-find problem
  - implementation: forest of rooted trees
  - improvement: union by rank
  - improvement: compressed find

announcements

- assignment 6: due Monday November 5
- acknowledge references
- midterm II: Wednesday November 7
- no office hours this week
- Hallowe'en

recall: disjoint sets union-find problem [CLRS Ch. 21]

- the DSUF ADT
- analysis: sequence of operations
- implementation: array of representatives
- application: graph components
- implementation: forest of rooted trees
  - basic imp'n
  - improvement: union by rank
  - improvement: compressed find

disjoint sets: abstract data type

- maintain: pairwise disjoint sets
- one element of each set is *representative*
- operations
  - `MakeSet(x)` $S_x \leftarrow \{x\}$
  - `Find(x)` return representative of set containing x
  - `Union(x,y)` $S_z \leftarrow S_{f(x)} \cup S_{f(y)}$

simplest DS implementation: array of representatives

- all n Makesets take $\Theta(n)$ time ☺
- each Find(x) takes $\Theta(1)$ time ☺
- each Union(x,y) takes $\Theta(n)$ time ☹

better(?) DS implementation: forest of rooted trees

- elements of set $\leftrightarrow$ nodes of rooted tree
- representative of set $\leftrightarrow$ root of tree
- each node needs only parent $\Rightarrow$ implement forest via array

```
proc makeSet(n)   { initialize all parents
  for x <- 1 to n do
    P[x] <- x     { parent of root is root

proc find(x) { return element at root
  while P[x]<>x do
    x <- P[x]   { parent of x
  return x

proc union(x,y) { make root of x's tree child of root of y's
  rx <- find(x)
  ry <- find(y)
  P[rx] <- ry
```

```
 same example ***************************************
sets at start  {1} {2} {3} {4} {5} {6} {7} {8}

indices         1   2   3   4   5   6   7   8
---------------------------------------------------
P              [1   2   3   4   5   6   7   8]

U(1,4)         [4   2   3   4   5   6   7   8]
F(1)
F(4)
U(2,3)         [4   3   3   4   5   6   7   8]
U(5,1)         [4   3   3   4   4   6   7   8]
U(1,8)         [4   3   3   8   4   6   7   8]
U(6,5)         [4   3   3   8   4   8   7   8]
F(6)
F(3)

sets at finish:    {1,4,5,6,8}   {2,3}   {7}

forest at finish:        8          3      7
                        / \         |
                       4   6        2
                      / \
                     1   5
```

DS rooted forest implementation: analysis

- recall: n MakeSets, then $m - n$ Union/Finds
- each MakeSet: $\Theta(1)$ time
- each Find(x): $\Theta(\text{depth}(x))) \subseteq O(n)$ time
- each Union(x,y): $\Theta(\text{depth}(x)+\text{depth}(y)) \subseteq O(n)$ time
- Union(1,2), Union(1,3), ..., Union(1,n), then Find(1), Find(1), ...
- total, this sequence: $\Theta(n + \sum_{j=2}^{n}(j-1) + (m-n-(n-1))(n-1))$

  $\Theta(mn))$ if $m >> n$
- for $m >> n$, amortized cost per operation still $\Theta(n)$ ☹

better(!) DS imp'n: rooted forest with union by rank

- if `rUnion` used with `find` height = rank
- if `rUnion` used with `cFind` height $\leq$ rank

```
proc rUnion(x,y) { make smaller rank root child of other root
  rx <- find(x)  { root of x's tree
  ry <- find(y)  { root of y's tree
  if rank[rx]>rank[ry]  {compare ranks; initially 0
    then P[ry] <- rx
    else { rank[rx] less/equal rank[ry]
      P[rx] <- ry
      if rank[rx]=rank[ry]
        then INC[rank[ry]]
```

DS, union by rank, ordinary find: analysis

let $T(x)$: subtree rooted at x let $|T(x)|$: number of nodes in $T(x)$

- claim: rank[x] = height of $T(x)$
- claim: $|T(x)| \geq 2^{\text{rank[x]}}$
- ...so rank[x] $\leq \lg |T(x)| \leq \lg n$
- proofs? induction on $|T(x)|$

- time for rUnion/find in O(maximum depth),
- so time for rUnion/find in O(maximum rank),
- so worst case time in $O(n + (m - n) \lg n)$
- can show worst case time in $\Theta(n + (m - n) \lg n)$
- so for $m >> n$, amortized time/operation now $O(\lg n)$ ☺