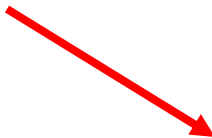


RN, Chapter 9

Predicate Calculus -- Inference



Logical Agents

- Reasoning [Ch 6]
 - Propositional Logic [Ch 7]
 - Predicate Calculus
 - Representation [Ch 8]
 - Syntax, Semantics, Expressiveness
 - Example: Circuits
 - Inference [Ch 9]
 - Resolution
 - Implemented Systems [Ch 10]
 - Planning [Ch 11]
- 

Proof Process for Predicate Calculus

- Why not “Model Checking” approach?
- Still use

Inference Rules

to obtain new facts from existing info

- Still seeking...

- Still exploiting
SOUND inference rules
MONOTONICITY

If \vdash is SOUND+COMPLETE,

$\Rightarrow \vdash \equiv \models$

\Rightarrow Computer can IGNORE SEMANTICS
and just push symbols!

(Sound) Inference Rules

$[MP]$	$\frac{P \Rightarrow Q}{P} \quad \frac{P}{Q}$	$[\vee D]$	$\frac{P \vee Q}{\neg P} \quad \frac{\neg P}{Q}$
$[\vee E]$	$\frac{\forall x. \varphi(x)}{\varphi(A)} \text{ for any } A$	$[MT]$	$\frac{P \Rightarrow Q}{\neg Q} \quad \frac{\neg Q}{\neg P}$
$[\&I]$	$\frac{P}{Q} \quad \frac{Q}{P \wedge Q}$	$[\&E]$	$\frac{P \wedge Q}{P}$
$[RC]$	$\frac{P \Rightarrow Q}{Q \Rightarrow R} \quad \frac{Q \Rightarrow R}{P \Rightarrow R}$	$[\vee I]$	$\frac{P}{P \vee Q}$
$[MG]$	$\frac{P \Rightarrow Q}{\neg P \Rightarrow Q} \quad \frac{\neg P \Rightarrow Q}{Q}$	$[\boxtimes]$	$\frac{P}{\neg P} \quad \frac{\neg P}{\text{Contradiction}}$

...

Resolution Rule (PC)

■ Simple example:

$\neg \text{Man}(x)$	\vee	$\text{Mortal}(x)$
$\text{Man}(\text{Socrates})$		
		$\text{Mortal}(\text{Socrates})$

using binding list $\lambda = \{ x/\text{Socrates} \}$

- $\text{Subst}(\text{Man}(\text{Socrates}), \lambda) = \text{Subst}(\text{Man}(x), \lambda)$
- $\text{Subst}(\text{Mortal}(x), \lambda) = \text{Mortal}(\text{Socrates})$

■ General:

$\alpha_1 \vee \alpha_2 \vee \dots \vee \alpha_n$		$\beta_1 \vee \beta_2 \vee \dots \vee \beta_m$
$\alpha'_1 \vee \alpha'_2 \vee \dots$	\vee	$\beta'_2 \vee \dots \vee \beta'_m$

where there is a binding list, λ , s.t.

$$\text{Subst}(\alpha_n, \lambda) = \neg \text{Subst}(\beta_1, \lambda)$$

$$\text{Subst}(\alpha_i, \lambda) = \alpha'_i \quad \forall i$$

$$\text{Subst}(\beta_j, \lambda) = \beta'_j \quad \forall j$$

+ Subsumption:

if KB includes $P(x)$, remove "P(A)", " $P(x) \vee Q(w)$ "

$A \vee B$, remove $A \vee B \vee C$



Requirement of Resolution

For Resolution to work, need:

1. Particular type of proof procedure to be “complete”

A: Called **Refutation Proof**

[... try to find contradiction...]

2. To express information as Conjunction of Disjunctions

A: Called *Conjunctive Normal Form*

[... is universal; can eliminate \Rightarrow , \exists , ...]

3. Process that takes two literals p , q and returns binding-list λ s.t.

$\text{Subst}(p, \lambda) = \text{Subst}(q, \lambda)$

A: Called **Unification**

[... is well defined, and efficient, and ...]

1. Refutation Proof

- Deduction Theory

$KB \models \sigma$ *iff*
 $KB \cup \neg\sigma$ is inconsistent *iff*
 $KB \cup \neg\sigma \models \{\}$

- To prove σ :

Add $\neg\sigma$ to KB
(Attempt to) Prove a contradiction

- **Resolution is Refutation Complete (in PC)**

If $KB \models \sigma$ then

\exists resolution proof of $\{\}$ from $KB \cup \neg\sigma$

2. Conversion to Conjunctive Normal Form

0: $\forall x [(\forall y P(x, y)) \Rightarrow \neg \forall y Q(x, y) \Rightarrow R(x, y)]$

1: **Eliminate implication, iff, ...**

$\forall x [\neg(\forall v P(x, v)) \vee [\neg \forall v [\neg Q(x, v) \vee R(x, v)]]]$

2: **Move \neg inwards**

$\forall x [(\exists v \neg P(x, v)) \vee [\exists v Q(x, v) \wedge \neg R(x, v)]]$

3: **Standardize variables**

$\forall x [(\exists v \neg P(x, v)) \vee [\exists z Q(x, z) \wedge \neg R(x, z)]]$

4: **Move quantifiers left**

$\forall x \exists y \exists z [\neg P(x, y) \vee [Q(x, z) \wedge \neg R(x, z)]]$

5: **Skolemize (remove existentials); Drop $\forall s$**

$\neg P(x, F_1(x)) \vee [Q(x, F_2(x)) \wedge \neg R(x, F_2(x))]$

6: **Distribute \wedge over \vee**

$[\neg P(x, F_1(x)) \vee Q(x, F_2(x))] \wedge [\neg P(x, F_1(x)) \vee \neg R(x, F_2(x))]$

7: **Change to SET notation**

$\left\{ \begin{array}{l} \neg P(x, F_1(x)) \vee Q(x, F_2(x)), \\ \neg P(x, F_1(x)) \vee \neg R(x, F_2(x)) \end{array} \right\}$

8: **Make variables unique**

$\left\{ \begin{array}{l} \neg P(x_1, F_1(x_1)) \vee Q(x_1, F_2(x_1)), \\ \neg P(x_2, F_1(x_2)) \vee \neg R(x_2, F_2(x_2)) \end{array} \right\}$

Skolemizing

- To convert arbitrary Predicate Calculus to "Conjunctive Normal Form"
need to eliminate \exists
- **A:** Just "name" it ... Using *new* name, to avoid conflicts
- Eg: "There is a rich person" $\exists x \text{ Rich}(x)$
becomes $\text{Rich}(G_1)$
where G_1 is a new "Skolem constant"
- Note: $\text{Rich}(G_1)$ will NOT unify with
 $\text{Rich}(\text{Russ})$ nor
 $\text{Rich}(\beta)$ for any β appearing in KB.
- Eg: $\exists k \frac{d}{dy}(k^y) = k^y \longrightarrow \frac{d}{dy}(e^y) = e^y$
- Trickier when \exists is inside \forall ...

Skolemization #2

- "Everyone has a heart."

- $\forall X \text{ person}(X) \Rightarrow \exists Y \text{ heart}(Y) \ \& \ \text{has}(X, Y)$

- **Incorrect:** $\forall X \text{ person}(X) \Rightarrow \text{heart}(\mathbf{h}_1) \ \& \ \text{has}(X, \mathbf{h}_1)$
... ?everyone has the SAME heart \mathbf{h}_1 ?

- **Correct:**

$$\forall X \text{ person}(X) \Rightarrow \text{heart}(\mathbf{h}(X)) \ \& \ \text{has}(X, \mathbf{h}(X))$$

where $\mathbf{h}(\cdot)$ is a new symbol ("Skolem function")

- Skolem function arguments:

all enclosing universally quantified variables

- Eg: $\forall A \forall B \exists C \forall D \exists E \ g(A, B, C, D, E)$
 $\forall A \forall B \forall D \ g(A, B, f_C(A, B), D, f_E(A, B, D))$

Skolemization #2

Skolemizing procedure (to remove existentials)

For each existential X ,

let Y_1, \dots, Y_m be the universally quantified variables that are quantified to the LEFT of X 's " $\exists Y$ ".

Generate new function symbol, g_x , of m variables.

Replace each X with $g_x(Y_1, \dots, Y_m)$.

(Write $g_x()$ as g_x .)

$$\begin{aligned} \forall Y \exists X \phi(X) \ \& \ \rho(Y) &\rightarrow \forall Y \phi(g_x(Y)) \ \& \ \rho(Y) \\ \exists X \forall Y \phi(X) \ \& \ \rho(Y) &\rightarrow \forall Y \phi(g_x) \ \& \ \rho(Y) \end{aligned}$$



Requirement of Resolution

For Resolution to work, need:

1. Particular type of proof procedure to be "complete"

A: Called **Refutation Proof**

[... try to find contradiction...]

2. To express information as Conjunction of Disjunctions

A: Called *Conjunctive Normal Form*

[... is universal; can eliminate \Rightarrow , \exists , ...]



3. Process that takes two literals p , q and returns binding-list λ s.t.

$\text{Subst}(p, \lambda) = \text{Subst}(q, \lambda)$

A: Called **Unification**

[... is well defined, and efficient, and ...]

3. Unification (Specification)

- Fancy Match
- $\text{Unify}(p, q) = \sigma$
 - p, q : atomic propositions (w/variables)
 - σ : binding list ...
 - *Fail* or
 - $\{V_1/t_1, V_2/t_2, \dots, V_n/t_n\}$ where
 - V_i 's are distinct,
 - each t_j is { constant, variable, functional expr. }
 - no V_i appears in any t_j
- If non-Fail,
 $\text{Subst}(p, \sigma) = \text{Subst}(q, \sigma)$
... ie, σ makes p and q look the same

Variables capitalized

Substitution

- Substitution is set $\{ V_1/t_1 \ V_2/t_2 \ \dots \ V_n/t_n \}$

where

- V_i are distinct variables
- t_i are terms that do not use any of the V_j s

- Examples:

$\{ X / a \}$

$\{ X / a, Y / b, Z / f(a, W) \}$

$\{ X / W, Y / f(W), Z/W \}$

~~$\{ f(X) / a \}$~~

~~$\{ X / a, X / b \}$~~

~~$\{ X / f(X) \}$~~

~~$\{ X / f(Y), Y / g(q) \}$~~

$\{ X / f(g(q)), Y / g(q) \}$



Applying a Substitution

- Given $\begin{cases} t & \text{— a term} \\ \sigma & \text{— a substitution} \end{cases}$

“ $t\sigma$ ” is the term resulting from applying substitution σ to term t .

$$f(a, h(Y,b), X) \quad \{ X/b \} \quad = \quad f(a, h(Y,b), b)$$

$$f(a, h(Y,b), X) \quad \{ X/b \ Y/f(Z) \} \quad = \quad f(a, h(f(Z),b), b)$$

$$f(a, h(Y,b), X) \quad \{ X/Z \ Y/f(Z,a) \} \quad = \quad f(a, h(f(Z,a),b), Z)$$

$$f(a, h(Y,b), X) \quad \{ W/Z \} \quad = \quad f(a, h(Y,b), X)$$

- σ need not include *all* variables in t ;
 σ can include variables *not* in t

Composition of Substitutions

- Composition:

$\sigma \circ \theta$ is composition of substitutions σ, θ

For any term t , $t[\sigma \circ \theta] = (t \sigma) \theta$

- Example:

$$f(X) [\{X/Z\} \circ \{Z/a\}] = (f(X) \{X/Z\}) \{Z/a\}$$

$$= f(Z) \{Z/a\}$$

$$= f(a)$$

- $\sigma \circ \theta$ is a substitution (usually)

- Eg:

- $[\{X/a\} \circ \{Y/b\}] = \{X/a, Y/b\}$

- $[\{X/Z\} \circ \{Z/a\}] = \{X/a, Z/a\}$



Unifiers

- t_1 and t_2 are unified by θ iff $t_1 \theta = t_2 \theta$

Then θ is called a unifier

Examples: t_1 and t_2 are unifiable

t_1	t_2	unifer	term
$f(b,c)$	$f(b,c)$		
$f(X,b)$	$f(a,Y)$		
$f(a,b)$	$f(c,d)$		
$f(a,b)$	$f(X,X)$		
$f(X,a)$	$f(Y,Y)$		
$f(g(U),d)$	$f(X,U)$		
$f(X)$	$f(g(X))$		
$f(X,g(X))$	$f(Y,Y)$		
$f(X)$	$f(Y)$		

- Both t_1 and t_2 can have variables

Multiple Unifiers

Unifier for $t_1 = f(X)$ and $t_2 = f(Y)$	$t_1\theta = t_2\theta =$
θ	
$\{ X/Y \}$	$f(Y)$
$\{ Y/X \}$	$f(X)$
$\{ Y/a \quad X/a \}$	$f(a)$
$\{ Y/g(b,Z) \quad X/g(b,Z) \}$	$f(g(b(Z)))$
$\{ X/Y \quad W/f(q,Z) \}$	$f(Y)$

- $\{ Y/X \}$ and $\{ X/Y \}$ make sense, but $\{ Y/a \quad X/a \}$ has irrelevant constant
- $\{ X/Y \quad W/g \}$ has irrelevant binding (W)
- Adding irrelevant bindings:
 ∞ unifiers!
- Is there a best one ?

Quest for Best Unifier

Wish list:

- No irrelevant constants
 - So $\{Y/X\}$ preferred over $\{Y/a, X/a\}$
- No irrelevant bindings
 - So $\{Y/X\}$ preferred over $\{Y/X, W/f(4,Z)\}$

- Spse λ_1 has constant where λ_2 has variable.
Eg, $\lambda_1 = \{X/a, Y/a\}$, $\lambda_2 = \{X/Y\}$
Then \exists substitution μ s.t. $\lambda_2 \circ \mu = \lambda_1$
Eg, $\mu = \{Y/a\}$: $\{X/Y\} \circ \{Y/a\} = \{X/a, Y/a\}$
- Spse λ_1 has extra binding over λ_2
(Eg, $\lambda_1 = \{X/a, Y/b\}$, $\lambda_2 = \{X/a\}$)
Then \exists substitution μ s.t. $\lambda_2 \circ \mu = \lambda_1$
Eg, $\mu = \{Y/b\}$: $\{X/a\} \circ \{Y/b\} = \{X/a, Y/b\}$

INFERIOR unifier =
composition of
Good Unifier + another substitution

Most General Unifier

- σ is a *mgu* for t_1 and t_2 iff
 - σ unifies t_1 and t_2 , and
 - $\forall \mu$: unifier of t_1 and t_2 ,
 \exists substitution, θ , s.t. $\sigma \circ \theta = \mu$.
(Ie, for all terms t , $t\mu = (t\sigma)\theta$.)

- Example: $\sigma = \{X/Y\}$ is mgu for $f(X)$ and $f(Y)$
Consider unifier $\mu = \{X/a \quad Y/a\}$.
Use substitution $\theta = \{Y/a\}$:

$$\begin{aligned} f(X)\mu &= f(X)\{X/a \quad Y/a\} \\ &= f(a) \end{aligned}$$

$$\begin{aligned} f(X)[\sigma \circ \theta] &= (f(X)\sigma)\theta \\ &= (f(X)\{X/Y\})\theta \\ &= f(Y)\{Y/a\} \\ &= f(a) \end{aligned}$$

Similarly, $f(Y)\mu = f(a) = f(Y)[\sigma \circ \theta]$

(μ is NOT a mgu, as $\neg \exists \theta' \text{ s.t. } \mu \circ \theta' = \sigma$!)



MGU – Example#2

- A mgu for

$$f(W, g(Z), Z)$$

$$f(X, Y, h(X))$$

is $\{ X/W \quad Y/g(h(W)) \quad Z/h(W) \}$



MGU Procedure

Recursive Procedure MGU (x,y)

```
If x=y  $\Rightarrow$  Return ( )
If Variable(x)  $\Rightarrow$  Return( MguVar(x,y) )
If Variable(y)  $\Rightarrow$  Return( MguVar(y,x) )
If Constant(x) or Constant(y)  $\Rightarrow$  Return( False )
If Not(Length(x) = Length(y))  $\Rightarrow$  Return( False )
g  $\leftarrow$  []
For i = 1..Length(x) do
  s  $\leftarrow$  MGU( Part(x,i), Part(y,i) )
  g  $\leftarrow$  Compose(g,s)
  x  $\leftarrow$  Substitute(x,g)
  y  $\leftarrow$  Substitute(y,g)
Return( g )
```

End MGU

Procedure MguVar (v,e)

```
If Includes(v,e)  $\Rightarrow$  Return( False )
Else Return( [v/e] )
```

End



MGU (con't)

- Notes:
 - If t_1 and t_2 are unifiable, then \exists a mgu
 - Can be more than 1 mgu
but they differ only in variable names
 - Not every unifier is a mgu.
 - A mgu uses constants only as necessary.
- Implementation: \exists fast algorithm that computes a mgu of t_1 and t_2 , if one exists; or reports failure.
- (Slow part is verifying legal substitution:
none of v_i appear in any t_j .
Avoid by resetting Prolog's *occurscheck* parameter.)

Example

- Natural Language

Jack owns a dog.

Every dog owner is an animal lover.

No animal lover kills an animal.

Either Jack or Curiosity killed the cat (named Tuna).

Did Curiosity kill the cat?

- In predicate calculus:

$\exists X \text{ dog}(X) \ \& \ \text{owns}(\text{jack}, X)$

$\forall X (\exists Y \text{ dog}(Y) \ \& \ \text{owns}(X, Y)) \Rightarrow \text{animalLover}(X)$

$\forall X \text{ animalLover}(X) \Rightarrow (\forall Y \text{ animal}(Y) \Rightarrow \neg \text{kills}(X, Y))$

$\text{kills}(\text{jack}, \text{tuna}) \vee \text{kills}(\text{curiosity}, \text{tuna})$

$\text{cat}(\text{tuna})$

$\forall X \text{ cat}(X) \Rightarrow \text{animal}(X)$

$\neg \text{kill}(\text{curiosity}, \text{tuna})$

- Now what?



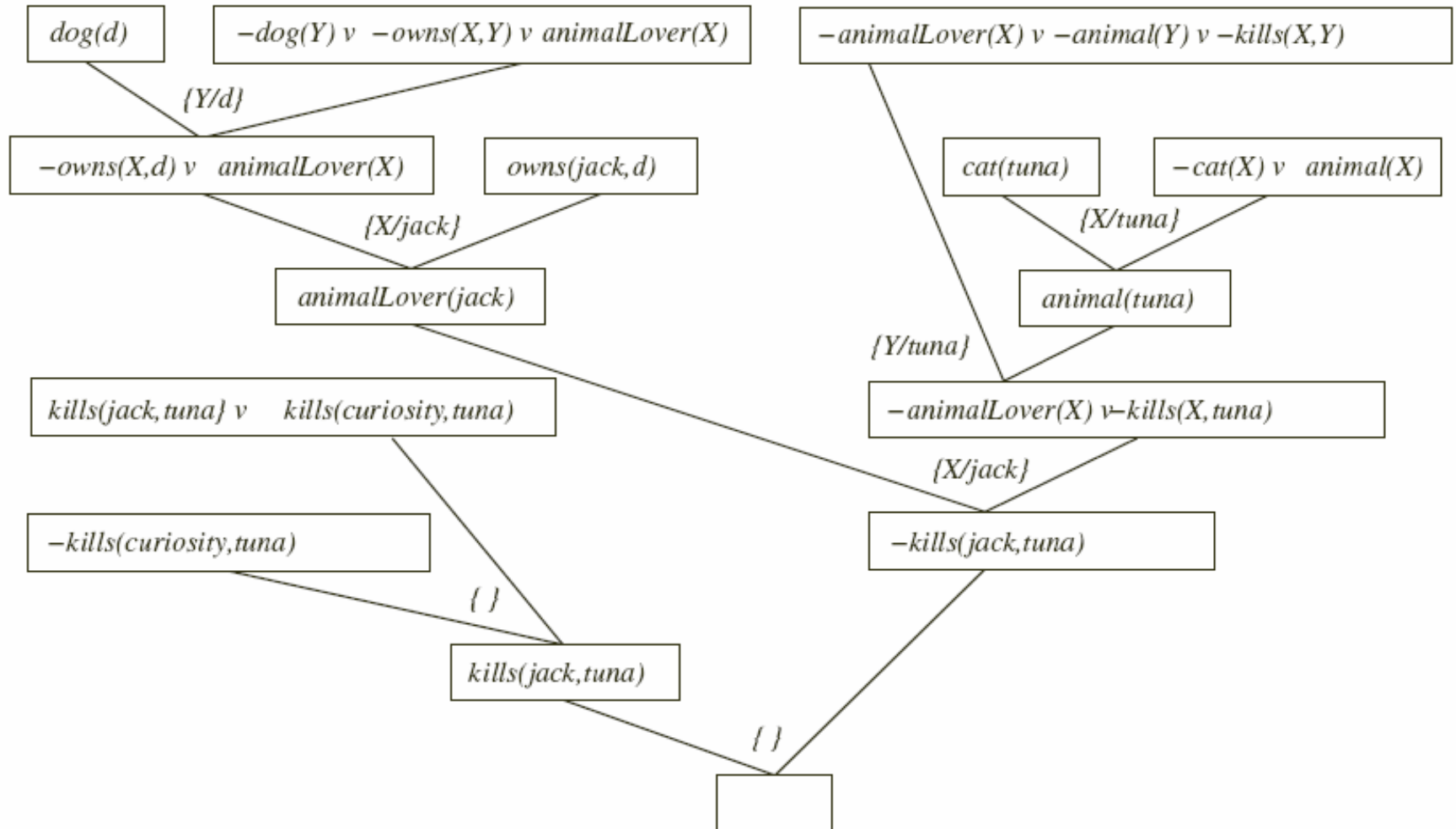
CNF Form

- ... in Conjunctive Normal Form

$\exists X \text{ dog}(X) \ \& \ \text{owns}(\text{jack}, X)$
 $\forall X (\exists Y \text{ dog}(Y) \ \& \ \text{owns}(X, Y)) \Rightarrow \text{animalLover}(X)$
 $\forall X \text{ animalLover}(X) \Rightarrow (\forall Y \text{ animal}(Y) \Rightarrow \neg \text{kills}(X, Y))$
 $\text{kills}(\text{jack}, \text{tuna}) \vee \text{kills}(\text{curiosity}, \text{tuna})$
 $\text{cat}(\text{tuna})$
 $\forall X \text{ cat}(X) \Rightarrow \text{animal}(X)$
 $\neg \text{kill}(\text{curiosity}, \text{tuna})$

- Note: Uniform structure
Use new constants / functions: d
for existentials ("Skolemizing")
 \Rightarrow easier to refer to those objects

Refutation Proof by Resolution





"Tricks"

- 1. Refutation Proof
- 2. Normalization: put in CNF form
 - Skolemize ... remove \exists
(by giving arbitrary, but unique name to \exists objects)
 - remove quantifiers
- 3. Unification: matching variables/ terms
to make literals look similar



Properties of Resolution

- **Sound**

- $KB \vdash_{RR} \sigma$ only if
 σ is true in EVERY world in which KB holds.

- **Refutation Complete**

- $KB \vdash_{RR} \sigma$ whenever
 σ is true in EVERY world in which KB holds.
(as \vdash_{ResIn} is []-complete)

- **Semi-Decidable in Predicate Calculus**

- $KB \vdash_{RR}^? \sigma$: If Yes, returns that answer eventually
If No, may never return

- **Intractable**

Exponential in $|KB|$ for Propositional Logic
(Linear for Proposition HORN)